

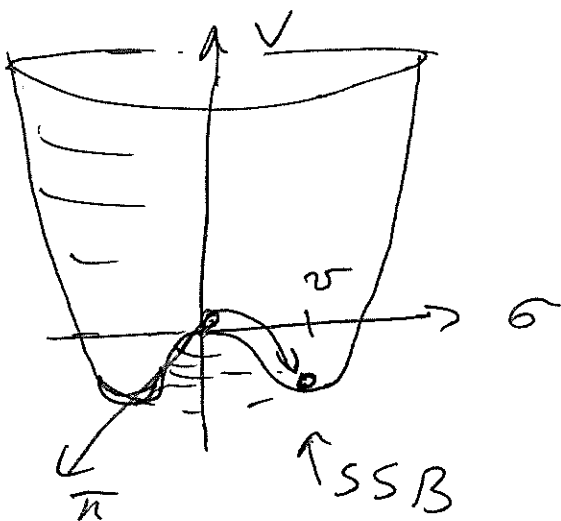
Last time

The Nambu - Goldstone Theorem  
(cont'd)

Th'n Spontaneous breakdown of a continuous symmetry implies existence of massless spin-0 particles. (Nambu - Goldstone bosons)

Example 2 | Abelian  $\sigma$ -model:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma + \frac{1}{2} \partial_\mu \pi \partial^\mu \pi + \underbrace{\frac{\mu^2}{2} (\sigma^2 + \bar{\pi}^2) - \frac{\lambda}{4} (\sigma^2 + \bar{\pi}^2)^2}_{-V}$$



$$v = \mu \sqrt{\frac{1}{\lambda}} = \langle 0 | \sigma | 0 \rangle$$

$$\langle 0 | \bar{\pi} | 0 \rangle = 0$$

write  $\sigma(x) = v + \sigma'(x)$

$$\Rightarrow m_{\sigma'} = \mu \sqrt{2}$$

$$m_{\bar{\pi}} = 0 \sim N-G \text{ boson}$$



## Example 2: Abelian $\sigma$ -Model:

(126)

$$\mathcal{L} = \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma + \frac{1}{2} \partial_\mu \bar{\pi} \partial^\mu \bar{\pi} + \underbrace{\frac{\mu^2}{2} (\sigma^2 + \bar{\pi}^2) - \frac{\lambda}{4} (\sigma^2 + \bar{\pi}^2)^2}_{-V}$$

with  $\mu^2 > 0, \lambda > 0$  (constants).

$\sigma, \bar{\pi} \sim$  real fields

$\mathcal{L}$  is invariant under rotations:

$$\begin{pmatrix} \sigma \\ \bar{\pi} \end{pmatrix} \rightarrow \begin{pmatrix} \sigma' \\ \bar{\pi}' \end{pmatrix} = \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} \sigma \\ \bar{\pi} \end{pmatrix}, \quad \alpha \sim \text{real \#}$$

$\Rightarrow O(2)$  symmetry ( $= U(1)$ ).

$\Rightarrow$  get "Mexican hat"  
potential again:

$\Rightarrow$  the minimum is at

$$\sigma^2 + \bar{\pi}^2 = v^2$$

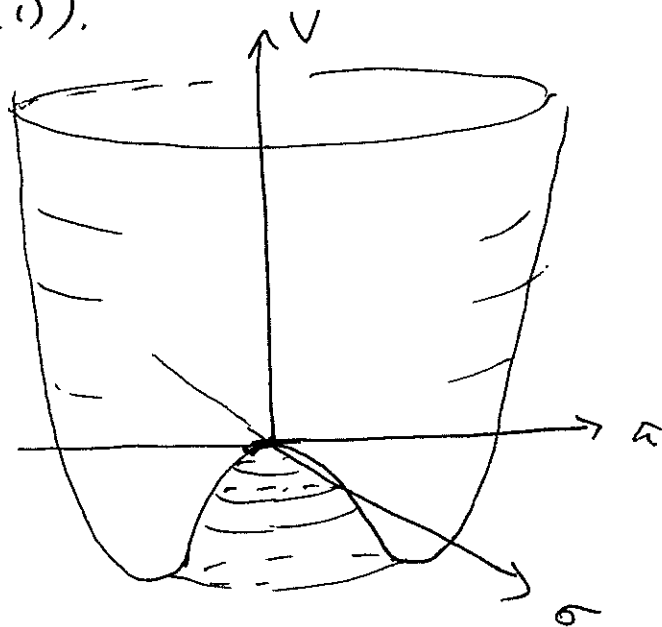
$$\Rightarrow \left( \frac{\mu^2}{2} \cdot v^2 - \frac{\lambda}{4} v^4 \right)'_v = 0$$

$$\mu^2 \cdot v - \lambda v^3 = 0$$

$$\Rightarrow v = \mu \sqrt{\frac{1}{\lambda}}$$

$\Rightarrow$  Direction in  $(\sigma, \bar{\pi})$  space is random  $\Rightarrow$

$\Rightarrow$  pick the vacuum to be at  $\langle 0 | \sigma | 0 \rangle = v, \langle 0 | \bar{\pi} | 0 \rangle = 0$ .



Expand & near the vacuum:  $\sigma = v + \sigma'$  (127)

$$\begin{aligned} \Rightarrow \mathcal{L} &= \frac{1}{2} \partial_\mu \sigma' \partial^\mu \sigma' + \frac{1}{2} \partial_\mu \bar{\pi} \partial^\mu \bar{\pi} + \frac{\mu^2}{2} \left[ (v + \sigma')^2 + \bar{\pi}^2 \right] \\ &- \frac{\lambda}{4} \left[ (v + \sigma')^2 + \bar{\pi}^2 \right]^2 = \frac{1}{2} \partial_\mu \sigma' \partial^\mu \sigma' + \frac{1}{2} \partial_\mu \bar{\pi} \partial^\mu \bar{\pi} + \text{const} \\ &+ \sigma' \left[ \cancel{\mu^2 v} - \frac{\lambda}{4} \cdot 4v^3 \right] \rightarrow 0 + \sigma'^2 \left[ \cancel{\frac{\mu^2}{2}} - \frac{\lambda}{4} \cdot (2v^2 + 4v^2) \right] + \\ &+ \bar{\pi}^2 \left[ \cancel{\frac{\mu^2}{2}} - \frac{\lambda}{4} \cdot 2v^2 \right] \rightarrow 0 - \frac{\lambda}{4} \left[ 4\sigma' v (\sigma'^2 + \bar{\pi}^2) + (\sigma'^2 + \bar{\pi}^2)^2 \right] \\ &= \frac{1}{2} \partial_\mu \sigma' \partial^\mu \sigma' + \frac{1}{2} \partial_\mu \bar{\pi} \partial^\mu \bar{\pi} - \mu^2 \sigma'^2 - \lambda v \sigma' (\sigma'^2 + \bar{\pi}^2) \\ &- \frac{\lambda}{4} (\sigma'^2 + \bar{\pi}^2)^2. \end{aligned}$$

$\Rightarrow$  now  $\bar{\pi}$ 's have no  $\bar{\pi}^2$  term  $\Rightarrow \bar{\pi}$  field is massless in agreement with Goldstone th'm!  
 $m_{\bar{\pi}} = 0, m_{\sigma'} = \mu\sqrt{2}.$

### Non-Abelian $\sigma$ -Model

Let's illustrate how the chiral  $SU(3)_L \otimes SU(3)_R$  symmetry is broken in QCD. As an example consider breaking of  $SU(2)_L \otimes SU(2)_R$  symmetry.

Start with the Lagrangian:

$$\mathcal{L} = \frac{1}{2} \left( \partial_\mu \sigma \partial^\mu \sigma + \partial_\mu \vec{\bar{\pi}} \partial^\mu \vec{\bar{\pi}} \right) + \frac{\mu^2}{2} (\sigma^2 + \vec{\bar{\pi}}^2) - \frac{\lambda}{4} \left[ \sigma^2 + \vec{\bar{\pi}}^2 \right]^2$$

$\mu^2, \lambda > 0$ ,  $\sigma, \vec{\bar{\pi}} = (\bar{\pi}_1, \bar{\pi}_2, \bar{\pi}_3)$  isoscalar real fields  
 $\underbrace{\hspace{10em}}_{\text{iso triplet, pions}}$

Define a  $2 \times 2$  matrix field  $(\Sigma = \sigma \mathbb{1} + i \vec{\tau} \cdot \vec{n})$  (128)

$\tau^1, \tau^2, \tau^3$  ~ Pauli matrices (we use  $\tau$  to not confuse them with  $\sigma$ )

$$\Rightarrow \text{tr} \left[ \Sigma \Sigma^\dagger \right] = \text{tr} \left[ \sigma^2 \mathbb{1} + i \vec{\tau} \cdot \vec{n} (-i) \vec{\tau} \cdot \vec{n} \right]$$

$$= 2 \sigma^2 + 2 \vec{n}^2 \quad \text{as } \text{tr} \tau^i \tau^j = 2 \delta^{ij}$$

$$\Rightarrow \text{tr} \left[ \partial_\mu \Sigma \partial^\mu \Sigma^\dagger \right] = 2 \left[ \partial_\mu \sigma \partial^\mu \sigma + \partial_\mu \vec{n} \partial^\mu \vec{n} \right]$$

$$\Rightarrow \mathcal{L}_\Sigma = \frac{1}{4} \left[ \text{tr} \partial_\mu \Sigma \partial^\mu \Sigma^\dagger \right] + \frac{M^2}{4} \text{tr} \left[ \Sigma \Sigma^\dagger \right] - \frac{\lambda}{16} \left( \text{tr} \left[ \Sigma \Sigma^\dagger \right] \right)^2$$

Now add "quarks": (originally they were protons and neutrons):  $q = \begin{pmatrix} u \\ d \end{pmatrix}$  or  $\begin{pmatrix} p \\ n \end{pmatrix} = q^N$

$$\mathcal{L} = \bar{q}^N i \gamma \cdot \partial q^N - g \bar{q}^N \left[ \sigma \mathbb{1} + i \vec{\tau} \cdot \vec{n} \gamma_5 \right] q^N + \mathcal{L}_\Sigma$$

Such that

$$\mathcal{L} = \bar{q}^N i \gamma \cdot \partial q^N - g \bar{q}^N \left[ \sigma \mathbb{1} + i \vec{\tau} \cdot \vec{n} \gamma_5 \right] q^N + \frac{1}{2} \left( \partial_\mu \sigma \partial^\mu \sigma + \partial_\mu \vec{n} \partial^\mu \vec{n} \right) + \frac{M^2}{2} \left( \sigma^2 + \vec{n}^2 \right) - \frac{\lambda}{4} \left( \sigma^2 + \vec{n}^2 \right)^2$$

full Lagrangian for  $SU(2)_L \otimes SU(2)_R$   $\sigma$ -model.

(Gell-Mann & Levi, 1960)

As usual write  $q^N = q_L^N + q_R^N \Rightarrow$

$$\bar{q}^N i \gamma \cdot \partial q^N = \bar{q}_L^N i \gamma \cdot \partial q_L^N + \bar{q}_R^N i \gamma \cdot \partial q_R^N$$

$$\bar{q}^N [\sigma_1 + i \vec{c} \cdot \vec{\tau} \gamma_5] q^N = \left( \underbrace{\bar{q}^N \frac{1 + \gamma_5}{2}}_{\bar{q}_L} + \underbrace{\bar{q}^N \frac{1 - \gamma_5}{2}}_{\bar{q}_R} \right),$$

$$[\sigma_1 + i \vec{c} \cdot \vec{\tau} \gamma_5] \left( \underbrace{\frac{1 - \gamma_5}{2} q^N}_{q_L} + \underbrace{\frac{1 + \gamma_5}{2} q^N}_{q_R} \right) = \text{as } (\gamma_5)^2 = 1$$

$$= \sigma \left[ \bar{q}_L^N q_R^N + \bar{q}_R^N q_L^N \right] + i \left[ -\bar{q}_R^N \vec{c} \cdot \vec{\tau} q_L^N + \bar{q}_L^N \vec{c} \cdot \vec{\tau} q_R^N \right]$$

$$= \bar{q}_L^N \sum q_R^N + \bar{q}_R^N \sum^+ q_L^N$$

$$\Rightarrow \mathcal{L} = \bar{q}_L^N i \gamma \cdot \partial q_L^N + \bar{q}_R^N i \gamma \cdot \partial q_R^N + \frac{1}{4} \text{tr} [\partial_\mu \Sigma \partial^\mu \Sigma^+] + \frac{M^2}{4} \text{tr} [\Sigma \Sigma^+] - \frac{\lambda}{16} (\text{tr} [\Sigma \Sigma^+])^2 - g \left[ \bar{q}_L^N \Sigma q_R^N + \bar{q}_R^N \Sigma^+ q_L^N \right]$$

(effective low-energy Lagrangian not QCD, but has the right symmetries)

=> this Lagrangian is symmetric under

$$\left[ \begin{aligned} q_L^N &\rightarrow q_L^{N'} = e^{i \vec{\alpha}_L \cdot \frac{\vec{\tau}}{2}} q_L^N \equiv U_L q_L^N \\ q_R^N &\rightarrow q_R^{N'} = e^{i \vec{\alpha}_R \cdot \frac{\vec{\tau}}{2}} q_R^N \equiv U_R q_R^N \\ \Sigma &\rightarrow \Sigma' = U_L \Sigma U_R^+ \end{aligned} \right.$$

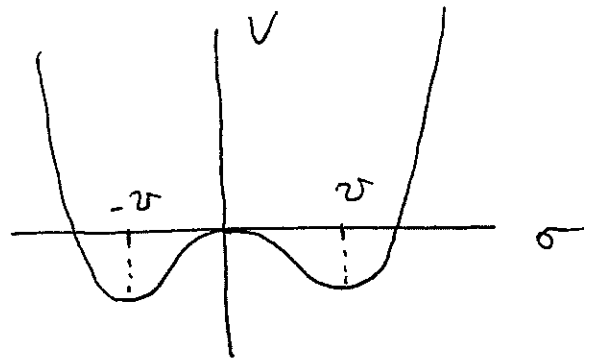
=> it has  $SU(2)_L \otimes SU(2)_R$  symmetry!

For  $\mu^2 > 0$  the  $SU(2)_L \otimes SU(2)_R$  symmetry is (130)

spontaneously broken:

$$\left( \frac{\mu^2}{2} \sigma^2 - \frac{\lambda}{4} \sigma^4 \right)' = 0$$

$$\Rightarrow \boxed{v = \frac{\mu}{\sqrt{\lambda}}}$$



$\Rightarrow$  pick  $\langle \psi_0 | \sigma | \psi_0 \rangle = v$ ,  $\langle \psi_0 | \vec{\pi} | \psi_0 \rangle = 0$ . as the vacuum.

Write  $\sigma = v + \sigma'$   $\Rightarrow \mathcal{L} = \bar{q}^N i \gamma \cdot \partial q^N - \bar{q}^N [v + \sigma' + i \vec{\tau} \cdot \vec{\pi} \gamma_5] q^N + \frac{1}{2} [\partial_\mu \sigma' \partial^\mu \sigma' + \partial_\mu \vec{\pi} \partial^\mu \vec{\pi}] - \mu^2 \sigma'^2 - \lambda v \sigma' (\sigma'^2 + \vec{\pi}^2) - \frac{\lambda}{4} (\sigma'^2 + \vec{\pi}^2)^2$ .

$\Rightarrow \sigma'$  has mass  $\sqrt{2} \mu$ .

$\vec{\pi}$  have mass 0.  $\sim$  Goldstone bosons (pions)

$q^N$  (proton, neutron) have mass  $g v$ .  $\sim$  can be large!

Identify  $\vec{\pi} \leftrightarrow \bar{q} \gamma_5 \vec{c} q$  ( $q$  now are real quarks)

$$\sigma \leftrightarrow \bar{q} q$$

$q^N \sim$  proton, neutron  $\sim$  nucleons

$\Rightarrow SU(2)_L \otimes SU(2)_R$  is spontaneously broken down to  $SU(2)$

$\Rightarrow$  pions <sup>( $\pi^+, \pi^0, \pi^-$ )</sup> are Goldstone bosons of (131)

chiral SSB,  $m_\pi = 0$  ( $SU(2)$  has 3 generators  $\Rightarrow$  3 pions!)

$\Rightarrow$  protons, neutrons get a mass  $m_N = g^2 v$  which is large.

$\Rightarrow$  if  $SU(2)_L \otimes SU(2)_R$  was exact would have  $m_\pi = 0$  but as  $m_u \neq m_d \neq 0$   $SU(2)_L \otimes SU(2)_R$  is explicitly broken too  $\Rightarrow$  get massive pions!

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$\Rightarrow$  for  $N_f = 3$  have  $SU(3)_L \otimes SU(3)_R$  broken down spontaneously to  $SU(3)$  flavor.

$\Rightarrow$   $SU(3)$  has 8 symmetry charges

$$Q^a, \quad a = 1, \dots, 8$$

$\Rightarrow$  have 8 Goldstone bosons:

$$\pi^+, \pi^-, \pi^0, K^+, K^0, \bar{K}^0, K^-, \eta^0$$

$\Rightarrow$   $SU(3)_L \otimes SU(3)_R$  is also badly broken explicitly as  $m_s \neq m_u \neq m_d \neq 0 \Rightarrow$   $K$ 's &  $\eta$  are also massive!

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what is  $v$  (VEV) in QCD? Remember  $\sigma = \bar{q}q \Rightarrow$

$$v = \langle 0 | \bar{q}q | 0 \rangle \simeq - (230 \text{ MeV})^3 \quad \text{quark condensate or chiral condensate.}$$
$$m_\pi^2 \sim (m_u + m_d) \langle 0 | \bar{q}q | 0 \rangle.$$