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Electronic Structure Calculations Using Nonlinear Basis Expansions

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Background: Graphical Unitary Group Approach

$$N = 2a + b$$

$$S = b / 2$$

$$n = a + b + c$$

For Full-CI Expansions

$$N_{csf} = \frac{b+1}{n+1} \binom{n+1}{a} \binom{n+1}{c}$$

J. Paldus, *J. Chem. Phys.* **61**, 5321 (1974)

$$N_{row} = (a+1)(b+1)(c+1) + \frac{1}{6}\eta(\eta+1)(2\eta+3|a-c|+1)$$

I. Shavitt, *IJQC* **S11**, 131 (1977)

$$N_{arc} = (2a+1)b(2c+1) + \frac{1}{3}\eta(\eta+1)(4\eta+5) + |a-c|(\eta+1)(2\eta+1)$$

R. Shepard and M. Minkoff, *IJQC* **106**, 3190 (2006)

$$N_{\varphi} = b(3ac + a + c) - (ac + a + c) + \frac{1}{2}(\eta+1)[\eta(2\eta+3) + |a-c|(3\eta+2)]$$

$$N_{pair}^{unique} = 10(abc + \frac{1}{6}\eta(\eta+1)(2\eta+3|a-c|+1)) - 3ac + (a+c-2)(3b+\delta_{0b})$$

$$+ 5b + 3 + (1 - \delta_{0b})(\delta_{0c}(ab+b-1) + \delta_{0a}(cb+b-1))$$

$$\eta = \text{Min}(a, c)$$

R. Shepard, M. Minkoff, and S. R. Brozell, *IJQC* **107**, 3203 (2007)

GUGA...

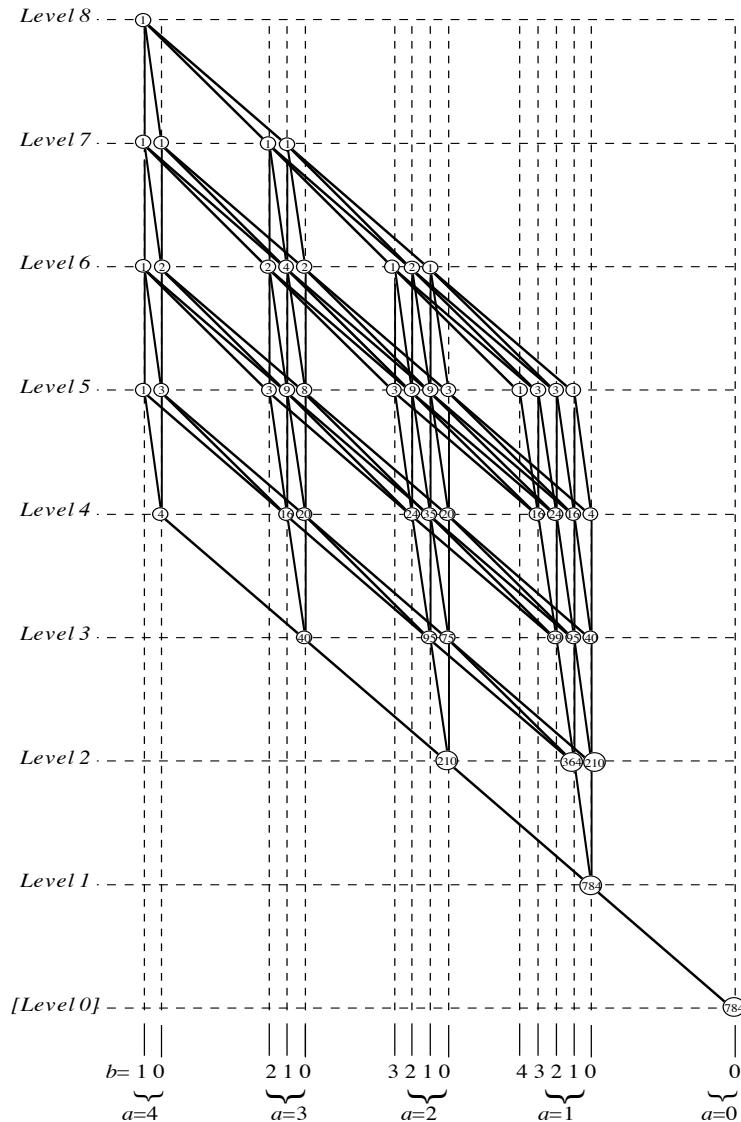
Example:

Shavitt graph for the $(1^2 7^7)$ CASSCF Expansion Space for CH_3 .

This wave function expansion is sufficiently flexible to allow dissociation to any of the ground or valence state fragments: $\text{CH}_2(^3\text{B}_1)$, $\text{H}(^2\text{S})$, $\text{H}_2(^1\Sigma_g)$, $\text{CH}(^2\Pi)$, and $\text{C}(^3\text{P})$.

This expansion space has 784 CSFs; the DRT has 47 Distinct Rows and 118 arcs. $(a,b,c)=(4,1,3)$.

An individual arc or node might be shared by many walks.



GUGA...

For Full-CI singlet expansions with $N=n$ for large n

$$N_{csf} = \frac{1}{n+1} \binom{n+1}{\frac{1}{2}n} \approx \left(\frac{8}{\pi} \right) \frac{4^n}{n^2}$$

$$N_{row} = (n+2)(n+3)(n+4)/24 \approx \frac{1}{24} n^3$$

$$N_{arc} = n(n+2)(2n+5)/12 \approx \frac{1}{6} n^3 \approx 4N_{row}$$

$$N_{\varphi} = n(n^2 + 3n - 2)/8 \approx \frac{1}{8} n^3 \approx 3N_{row}$$

$$n \approx \log_4(N_{csf})$$

$$N_{row} \approx \frac{1}{24} \log_4^3(N_{csf})$$

GUGA...

Full-CI (Singlet, n=N)

| $n=N$ | N_{csf} | N_{row} |
|-------|-----------------------------------|-----------|
| 2 | 3 | 5 |
| 4 | 20 | 14 |
| 6 | 175 | 30 |
| 8 | 1,764 | 55 |
| 10 | 19,404 | 91 |
| 12 | 226,512 | 140 |
| 14 | 2,760,615 | 204 |
| 16 | 34,763,300 | 285 |
| 18 | 449,141,836 | 385 |
| 20 | 5,924,217,936 | 506 |
| 22 | 79,483,257,308 | 650 |
| 24 | 1,081,724,803,600 | 819 |
| 26 | 14,901,311,070,000 | 1015 |
| 28 | 207,426,250,094,400 | 1240 |
| 30 | 2,913,690,606,794,775 | 1496 |
| 32 | 41,255,439,318,353,700 | 1785 |
| 34 | 588,272,005,095,043,500 | 2109 |
| 36 | 8,441,132,926,294,530,000 | 2470 |
| 38 | 121,805,548,126,430,067,900 | 2870 |
| 40 | 1,766,594,752,418,700,032,400 | 3311 |
| 42 | 25,739,723,541,439,406,257,200 | 3795 |
| 44 | 376,607,675,256,599,252,232,000 | 4324 |
| 46 | 5,531,425,230,331,301,517,157,500 | 4900 |

Graphically Contracted Wave Function Approach

- In the Graphically Contracted Wave Function (GCF) approach, each arc in the Shavitt Graph is associated with an **arc factor** $\alpha_{d,j}$
- The linear expansion coefficient associated with the walk m has the value x_m determined as the product of the arc factors

$$m = 1 + \sum_{p=0}^{n-1} y_{d_p j_p}$$

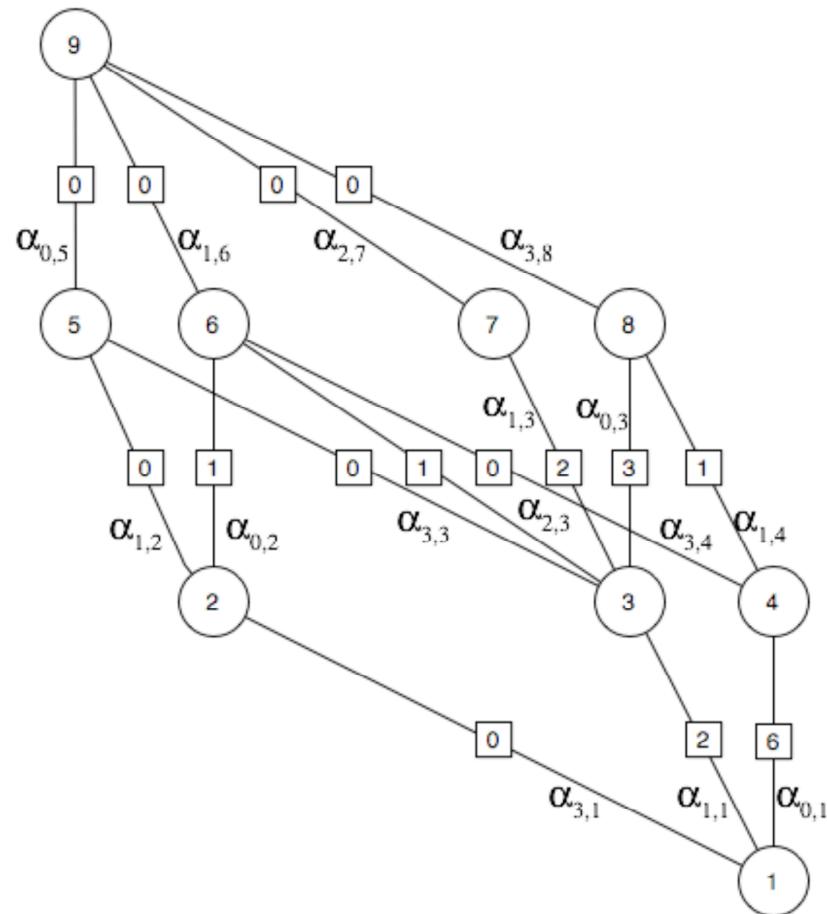
$$x_m = \prod_{p=0}^{n-1} \alpha_{d_p j_p}$$

$$|P\rangle = \sum_m x_m |\tilde{m}\rangle$$

GCF...

Example: 3^3 doublet Full-CI

$$\begin{aligned}
|P\rangle &= \sum_{m=1}^8 x_m |\tilde{m}\rangle \\
&= (\alpha_{3,1} \alpha_{1,2} \alpha_{0,5}) |310\rangle \\
&\quad + (\alpha_{3,1} \alpha_{0,2} \alpha_{1,6}) |301\rangle \\
&\quad + (\alpha_{1,1} \alpha_{3,3} \alpha_{0,5}) |130\rangle \\
&\quad + (\alpha_{1,1} \alpha_{2,3} \alpha_{1,6}) |121\rangle \\
&\quad + (\alpha_{1,1} \alpha_{1,3} \alpha_{2,7}) |112\rangle \\
&\quad + (\alpha_{1,1} \alpha_{0,3} \alpha_{3,8}) |103\rangle \\
&\quad + (\alpha_{0,1} \alpha_{3,4} \alpha_{1,6}) |031\rangle \\
&\quad + (\alpha_{0,1} \alpha_{1,4} \alpha_{3,8}) |013\rangle
\end{aligned}$$



$$\begin{array}{cccccc} b = & 1 & 0 & 2 & 1 & 0 \\ a = & & 1 & & & 0 \end{array}$$

GCF-CI...

- A single contracted function $|P\rangle$, corresponding to a set $\{\alpha^P\}$, is similar to other nonlinear expansion methods (PP-GVB, CCSD, DMRG, geminal product wfns) and to internal/external contracted-CI wfns.
- In our GCF-CI approach, the wave function is a **linear combination** of several GCF expansion terms.

$$|\psi\rangle = \sum_P^{N_\alpha} c_P |P\rangle$$

- The expansion coefficients c_P are computed variationally from the generalized real symmetric eigenvalue equation

$$\mathbf{H} \mathbf{c} = \mathbf{S} \mathbf{c} E$$

with $H_{PQ} = \langle P | H | Q \rangle$, $S_{PQ} = \langle P | Q \rangle$

Statistics for Singlet Full-CI Wave Function Expansions

| $n=N$ | N_{csf} | N_{row} | N_φ | N_{pair}^a | N_{value}^b | $t(H_{PQ})^c$ | $t(E')^d t(E';FD)^e$ |
|-------|-----------------------------------|---------------------------------|-------------|--------------|---------------|---------------|----------------------|
| 2 | | 3 | 5 | 2 | 10 | 26 | 0.00 0.00 0.00 |
| 4 | | 20 | 14 | 13 | 43 | 278 | 0.00 0.00 0.00 |
| 6 | | 175 | 30 | 39 | 120 | 1058 | 0.00 0.00 0.02 |
| 8 | | 1,764 | 55 | 86 | 261 | 2682 | 0.00 0.01 0.10 |
| 10 | | 19,404 | 91 | 160 | 486 | 5466 | 0.00 0.05 0.64 |
| 12 | | 226,512 | 140 | 267 | 815 | 9726 | 0.00 0.16 3.20 |
| 14 | | 2,760,615 | 204 | 413 | 1268 | 15778 | 0.01 0.44 12.39 |
| 16 | | 34,763,300 | 285 | 604 | 1865 | 23938 | 0.04 1.24 36.24 |
| 18 | | 449,141,836 | 385 | 846 | 2626 | 34522 | 0.07 3.48 118.44 |
| 20 | | 5,924,217,936 | 506 | 1145 | 3571 | 47846 | 0.13 9.29 297.70 |
| 22 | | 79,483,257,308 | 650 | 1507 | 4720 | 64226 | 0.21 25.67 632.94 |
| 24 | | 1,081,724,803,600 | 819 | 1938 | 6093 | 83978 | 0.34 65.49 1.32E3 |
| 26 | | 14,901,311,070,000 | 1015 | 2444 | 7710 | 107418 | 0.54 140.61 2.64E3 |
| 28 | | 207,426,250,094,400 | 1240 | 3031 | 9591 | 134862 | 0.82 250.45 4.97E3 |
| 30 | | 2,913,690,606,794,775 | 1496 | 3705 | 11756 | 166626 | 1.21 423.87 8.97E3 |
| 32 | | 41,255,439,318,353,700 | 1785 | 4472 | 14225 | 203026 | 1.75 676.76 1.57E4 |
| 34 | | 588,272,005,095,043,500 | 2109 | 5338 | 17018 | 244378 | 2.49 1.07E3 2.66E4 |
| 36 | | 8,441,132,926,294,530,000 | 2470 | 6309 | 20115 | 290998 | 3.46 1.62E3 4.37E4 |
| 38 | | 121,805,548,126,430,067,900 | 2870 | 7391 | 23656 | 343202 | 4.66 2.38E3 6.89E4 |
| 40 | | 1,766,594,752,418,700,032,400 | 3311 | 8590 | 27541 | 401306 | 6.27 3.48E3 1.08E5 |
| 42 | | 25,739,723,541,439,406,257,200 | 3795 | 9912 | 31830 | 465626 | 8.25 4.93E3 1.64E5 |
| 44 | | 376,607,675,256,599,252,232,000 | 4324 | 11363 | 36543 | 536478 | 11.19 6.88E3 2.54E5 |
| 46 | 5,531,425,230,331,301,517,157,500 | 4900 | 12949 | 41700 | 614178 | 14.43 | 9.47E3 3.74E5 |

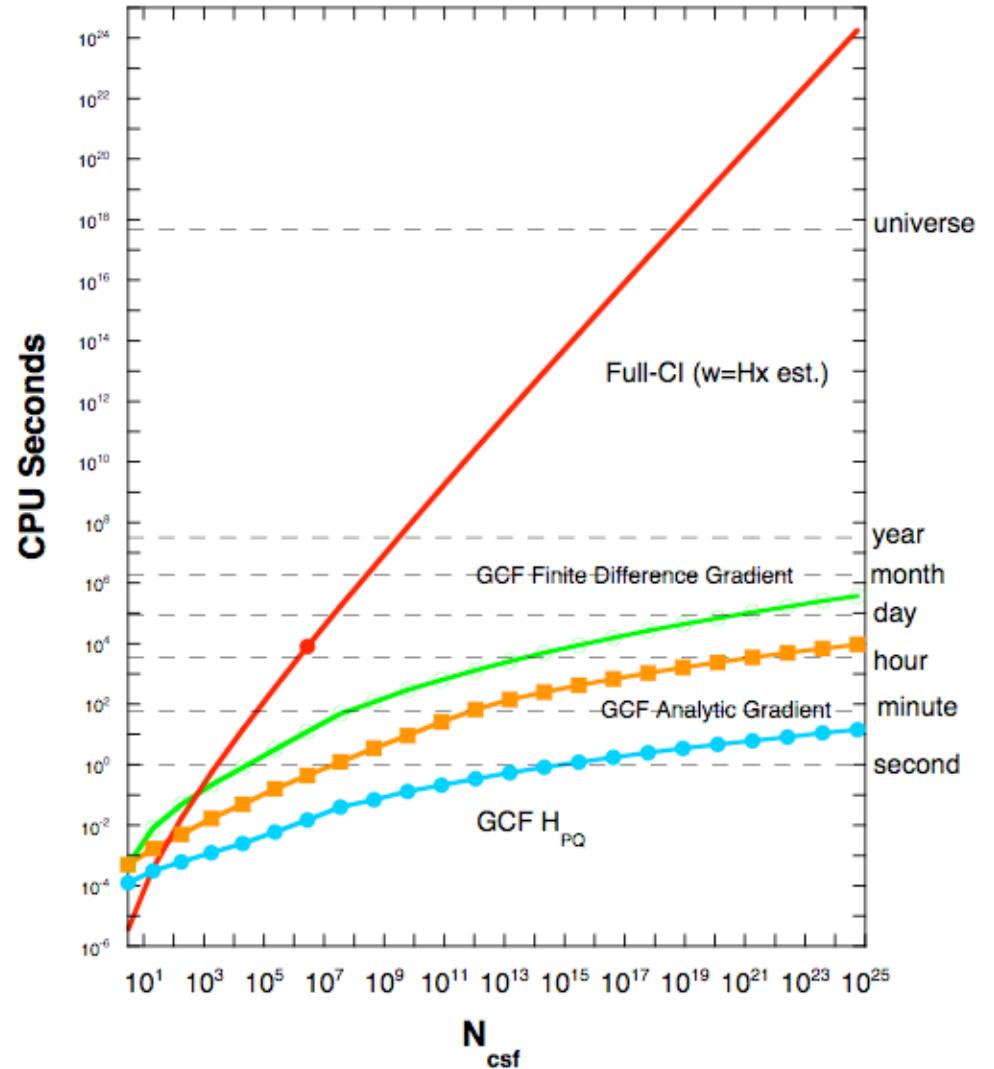
a) The number of node pairs that contribute to nonzero Shavitt loop values. This is also the number of vertices in the auxiliary pair graph data structure. b) The total number of nonzero segment values in the Shavitt graph. c) Times are in seconds on a 2.5GHz PowerMac G5 to construct a single $\langle P | \hat{H} | Q \rangle$ matrix element. d) Times in seconds to construct the analytic gradient vector

$E'(\Phi_0) \equiv \partial E(\Phi) / \partial \varphi_{(mM)} \Big|_{\Phi_0}$ for $N_\alpha=1$ using the $\mathbf{G}^{[u]}$ and $\mathbf{S}^{[u]}$ arrays. e) Times in seconds to construct the gradient with a finite-difference approximation, $t(E';FD)=2N_\varphi t(H_{PQ})$.

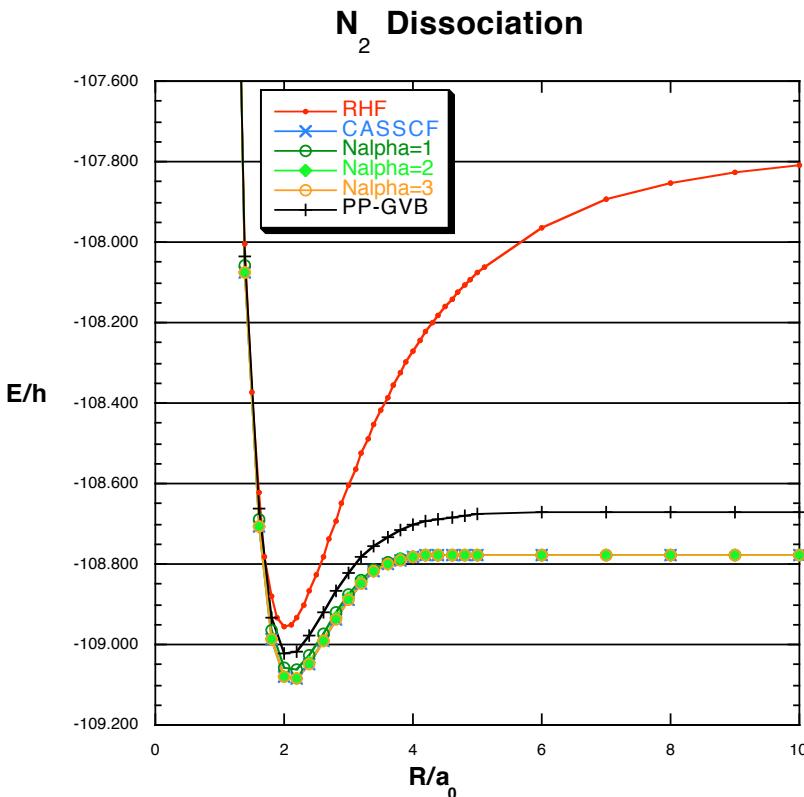
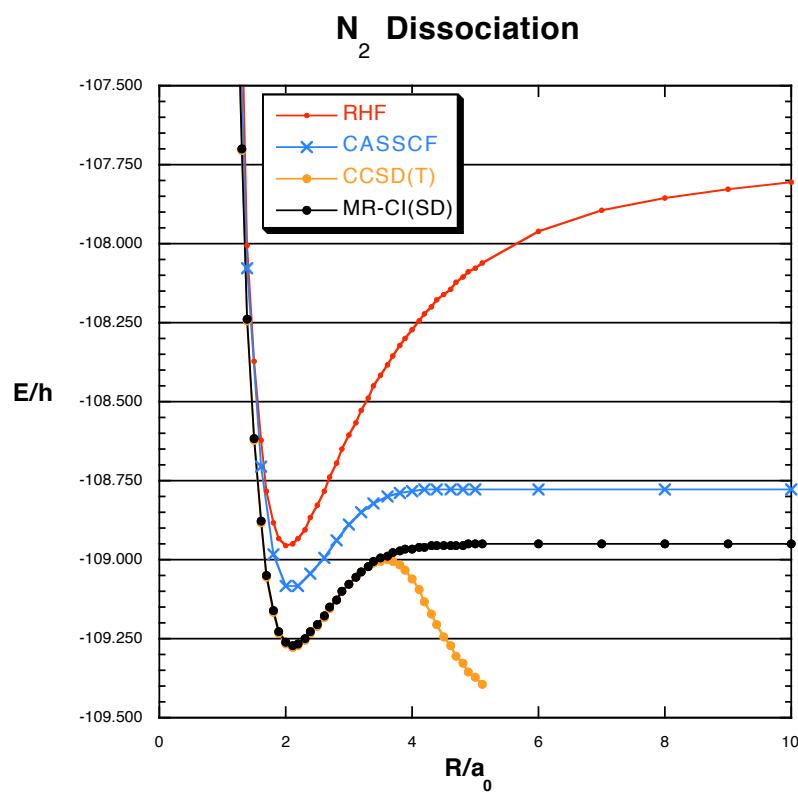
GCF-CI...

$H_{PQ} = \langle P | H | Q \rangle$ and Gradient Construction Time
(n=N,Singlet,Full-CI)

| Quantity | Effort |
|-------------------|--|
| S_{PQ} | $\mathcal{O}(N_{\text{row}}) = \mathcal{O}(\beta n)$ |
| \mathbf{D}^{PQ} | $\mathcal{O}(\beta n^2)$ |
| \mathbf{d}^{PQ} | $\mathcal{O}(\beta n^4)$ |
| H_{PQ} | $\mathcal{O}(\beta n^4)$ |
| H'_{PQ} | $\mathcal{O}(\beta n^5)$ |
| Full-CI H_{PQ} | $\mathcal{O}(N^2 n^2 N_{\text{csf}}) \approx \mathcal{O}(n^2 4^n)$ |



Valence Correlation:

 N_2 : 6⁶ Valence Full-CI

GCF-CI...

(14^{10}) Full-CI H_2O

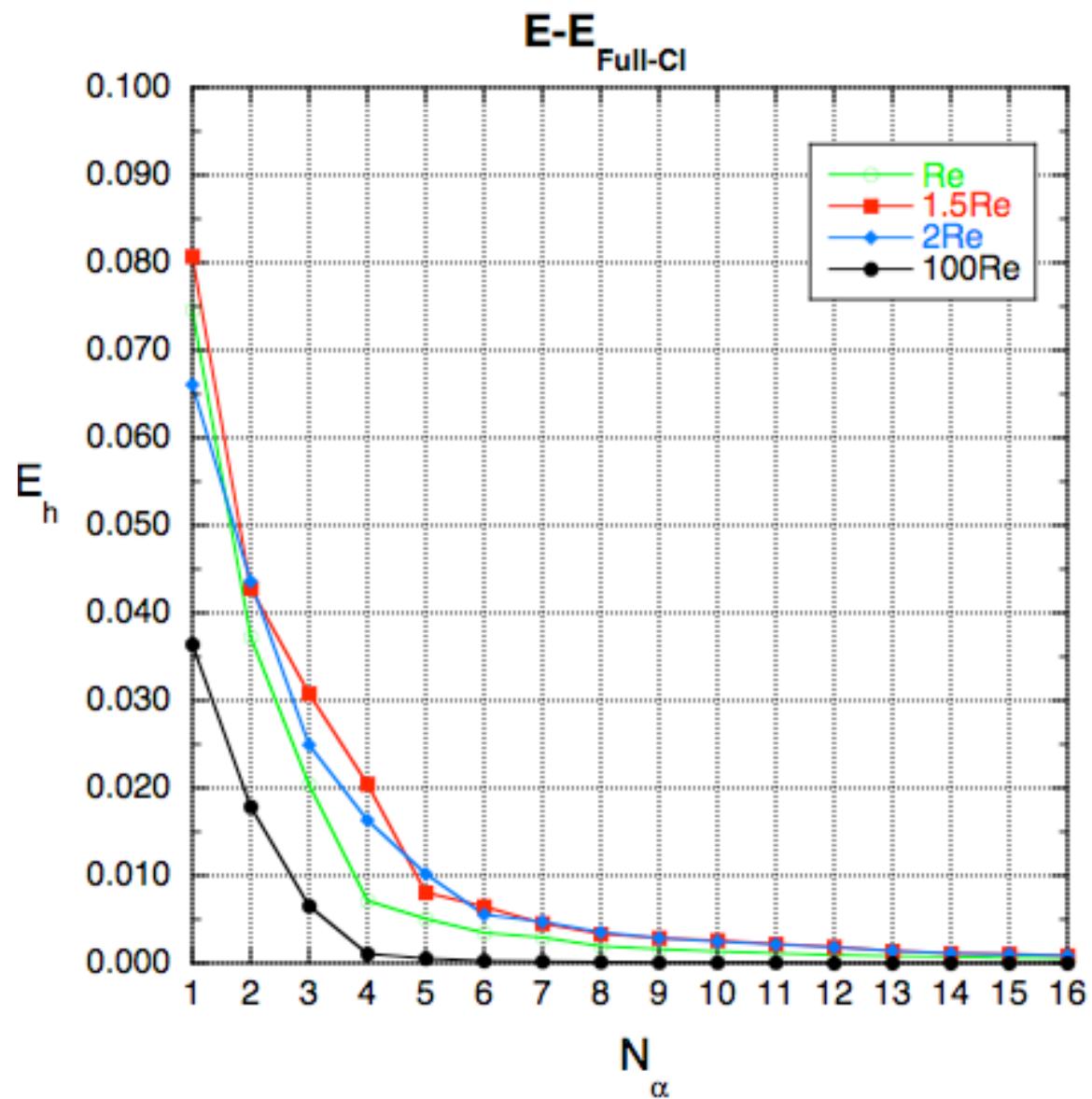
$N_{\text{csf}} = 256473$

$N_{\text{row}} = 175$

$N_{\text{arc}} = 514 \rightarrow 513$

$N_{\phi} = 340 \rightarrow 339$

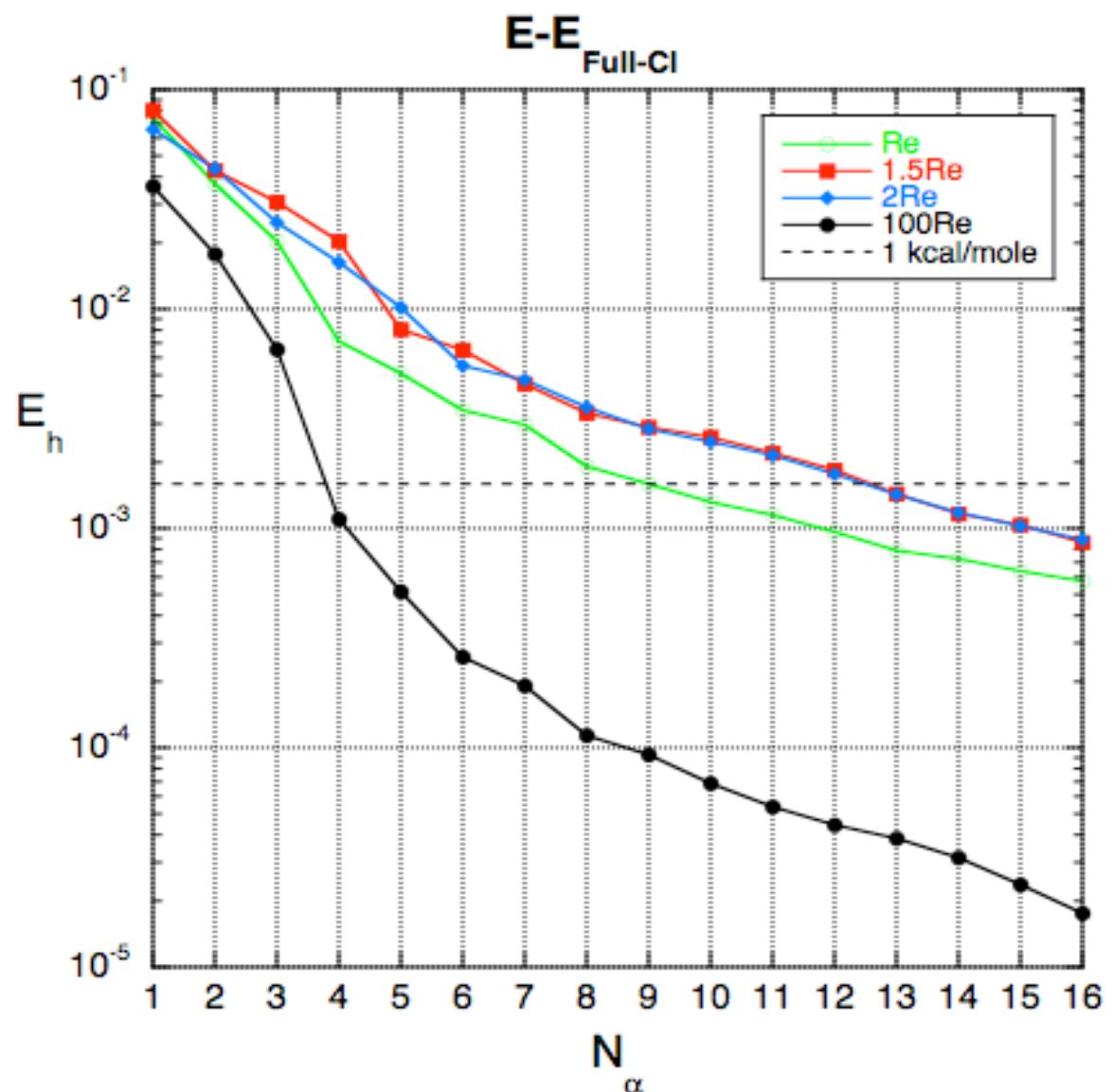
H_2O Valence and Dynamical Correlation Energy



GCF-CI...

(14^{10}) Full-CI H_2O

H_2O Valence and Dynamical Correlation Energy



GCF-CI...

Wave Function Analysis Based on the Shavitt Graph – How to analyze a wave function expanded in 10^{100} CSFs?

- Node Density:

$$1 = \langle \psi | \psi \rangle = \sum_j^{(\text{Level } p)} D_j^\psi$$
$$= \sum_j^{(\text{Level } p)} \sum_{M,N} c_M c_N \gamma_j^{M,N} \bar{\gamma}_j^{M,N}$$

- Arc Density:

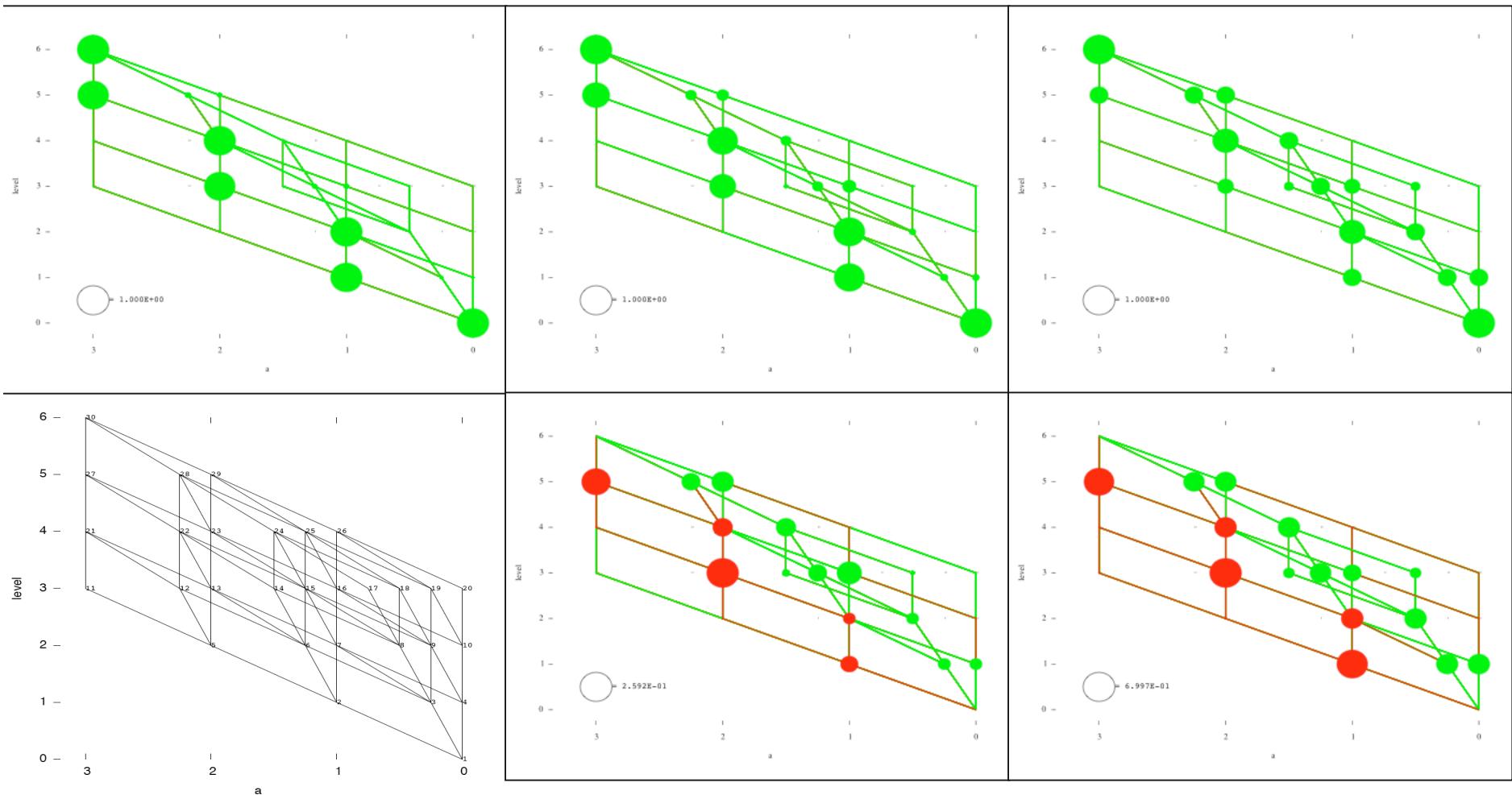
$$1 = \langle \psi | \psi \rangle = \sum_\mu^{(\text{Level } p)} D_\mu^\psi$$
$$= \sum_\mu^{(\text{Level } p)} \sum_{M,N} c_M c_N \alpha_\mu^M \alpha_\mu^N \gamma_{\text{Bottom}(\mu)}^{M,N} \bar{\gamma}_{\text{Top}(\mu)}^{M,N}$$

N_2 Arc and Node Density

R=2.2

R=3.2

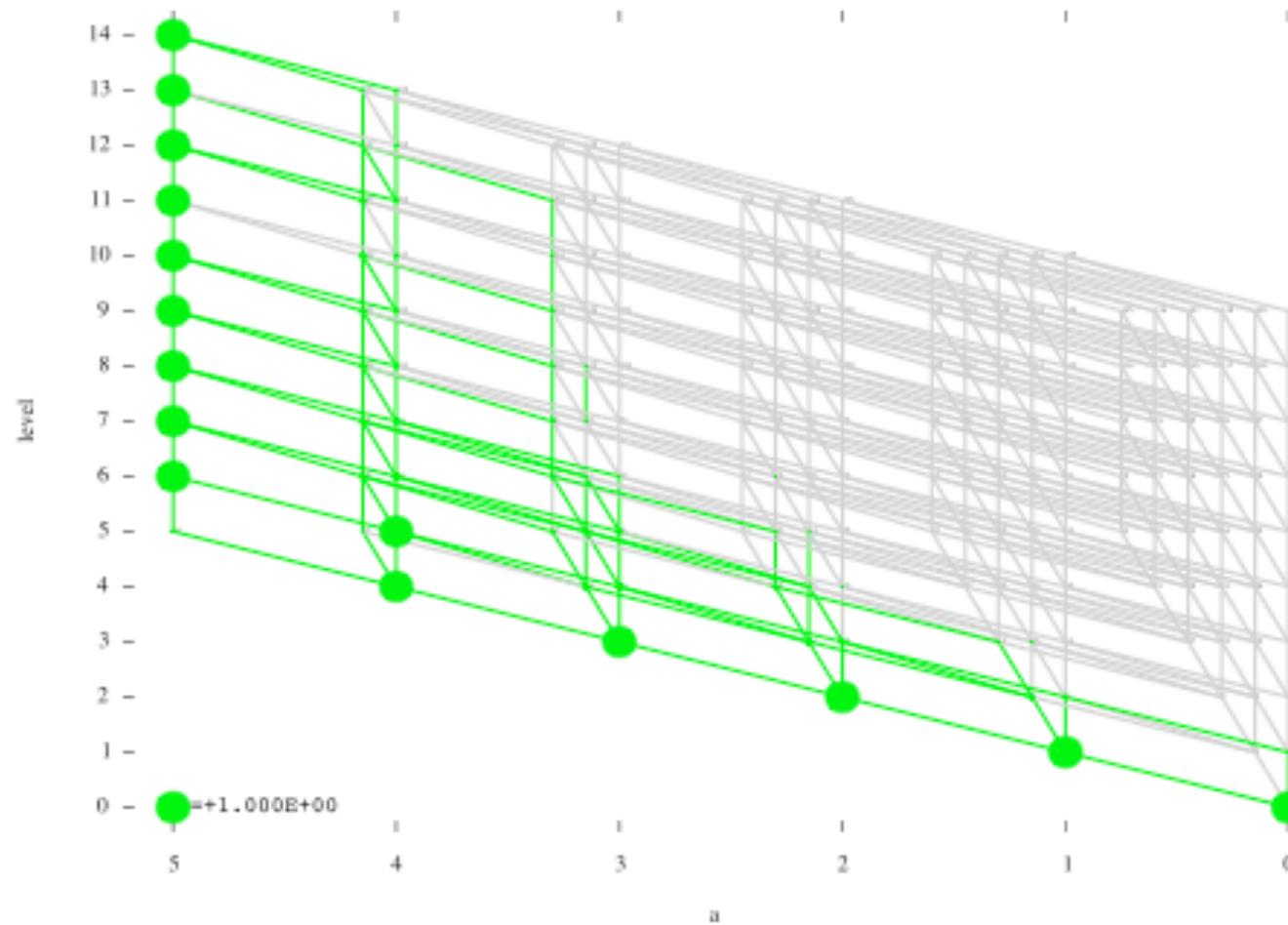
R=10.0



GCF-Cl...

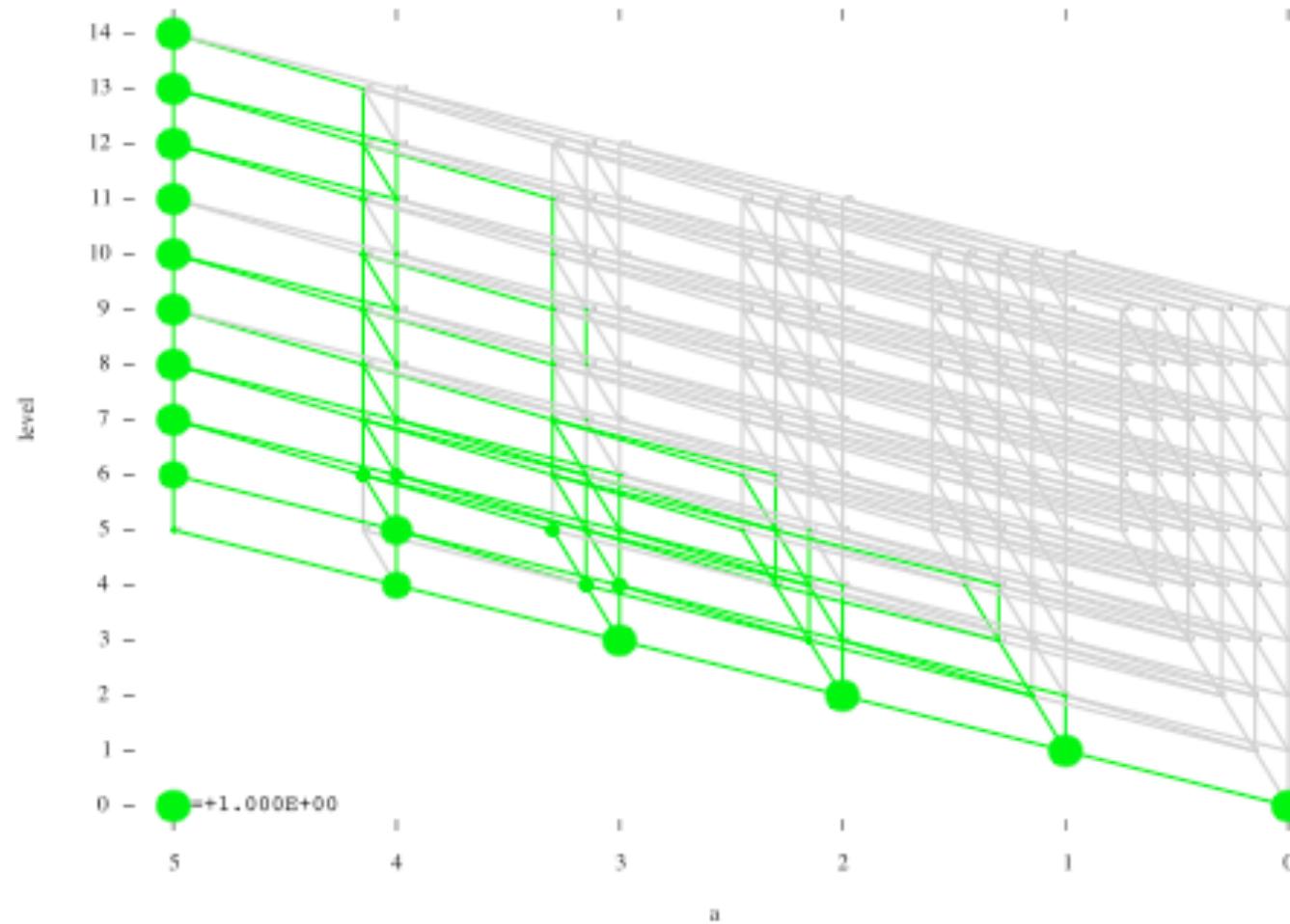
H₂O Arc and Node Density

R_e Graph Density



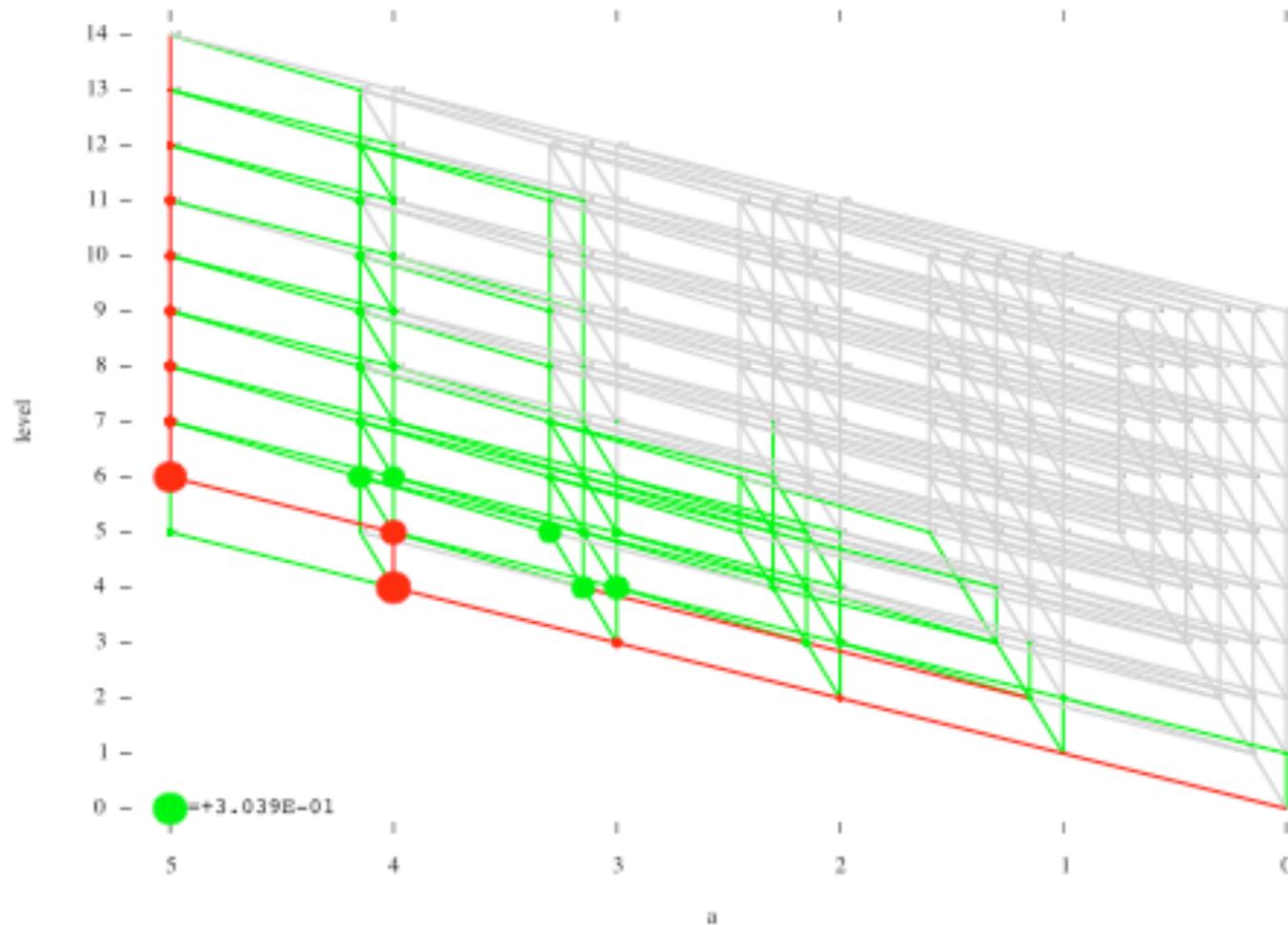
H₂O Arc and Node Density

2R_e Graph Density



H₂O Arc and Node Density

2R_e Density-R_e Density



Summary

- The GCF-CI approach has enormous potential because the S_{PQ} and H_{PQ} matrix element construction is very efficient.
- The storage requirements and the computational effort scale as N_{row} . No effort or storage scales as N_{csf} which can be, in principle, arbitrarily large.
- The method may be applied to both ground and excited states; the Ritz variational principle applies to all computed eigenvalues of $\mathbf{H}\mathbf{c}=\mathbf{S}\mathbf{c}E$.
- Our implementation is based on GUGA (low- and high-spin states, no spin contamination, no spin instabilities).
- An initial effort has been made to allow wave function characterization based directly on the Shavitt Graph (arc density, node density, qualitative interpretation of the arc factors).

Summary...

- The wave function form is not based on expansion about a reference wave function; its accuracy is not limited or biased by a failure of the HF reference.
- There are no inherent excitation-level limitations (e.g. CI-SD, CC-SD(T), etc.). The wave function flexibility is determined by the underlying Shavitt graph.

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