

# Computation of Hydration Free Energies Using the Multiple Environment Single System Quantum Mechanical/Molecular Mechanical Method

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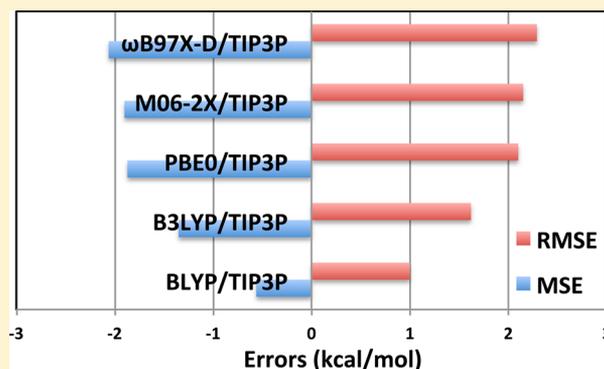
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## Supporting Information

**ABSTRACT:** A recently developed MESS-E-QM/MM method (multiple-environment single-system quantum mechanical molecular/mechanical calculations with a Roothaan-step extrapolation) is applied to the computation of hydration free energies for the blind SAMPL4 test set and for 12 small molecules. First, free energy simulations are performed with a classical molecular mechanics force field using fixed-geometry solute molecules and explicit TIP3P solvent, and then the non-Boltzmann-Bennett method is employed to compute the QM/MM correction (QM/MM-NBB) to the molecular mechanical hydration free energies. For the SAMPL4 set, MESS-E-QM/MM-NBB corrections to the hydration free energy can be obtained 2 or 3 orders of magnitude faster than fully converged QM/MM-NBB corrections, and, on average, the hydration free energies predicted with MESS-E-QM/MM-NBB fall within 0.10–0.20 kcal/mol of full-converged QM/MM-NBB results. Out of five density functionals (BLYP, B3LYP, PBE0, M06-2X, and  $\omega$ B97X-D), the BLYP functional is found to be most compatible with the TIP3P solvent model and yields the most accurate hydration free energies against experimental values for solute molecules included in this study.



## I. INTRODUCTION

The prediction of solvation free energy, which is the energy required to transfer a solute molecule from vacuum to a solvent environment, is of both scientific and methodological interest. Scientifically, an accurate prediction of solvation free energy can aid many areas of basic and applied research, such as (a) solvent selection in inorganic/organic synthesis; (b) drug solubility prediction, which is often carried out via a two-step process: first from crystal to vacuum and then from vacuum to solvent; (c) ligand binding free energy prediction, where a ligand is transferred from a pure solvent environment to a macro-molecular environment; and (d)  $pK_a$  predictions. Methodologically, the prediction of solvation free energies has been an important way to assess the performance of various free energy methods<sup>1–9</sup> thanks to the availability of reliable experimental solvation free energies for thousands of solute/solvent combinations.

In particular, the statistical assessment of modeling of proteins and ligands (SAMPL) challenges,<sup>5–9</sup> which started in 2008, has provided a general picture about the accuracy of various computational methods for predicting solvation free energies. In the most recent SAMPL4 challenge, for example, it was observed that<sup>9</sup> “many methods are apparently converging on robust, predictive protocols with RMS errors under 1.5 kcal/mol”. Methods used in the challenge included the following: (a) knowledge-based models; (b) molecular mechanics (MM) simulations with implicit PB/SA solvent; (c) *ab initio* quantum mechanics (QM) calculations in implicit solvent; (d) MM simulations with explicit solvent, with and without hybrid quantum mechanical molecular mechanics (QM/MM) corrections, etc.

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In this work, we shall focus mainly on the last category of methods, i.e. hydration free energy calculations with explicit solvent, with special attention paid to the accuracy and efficiency of the QM/MM correction to MM hydration free energies. In the computation of MM hydration free energies, the accuracy is controlled by three obvious factors: (a) the MM force field; (b) the free energy simulation method; and (c) the length of each trajectory in the free energy simulation. For the QM/MM correction, one takes the ensemble as generated from the MM simulation and uses the QM/MM energy to reweight configurations selected at regular intervals, which should in principle lead to an improved value for the hydration free energy. Needless to say, the quality of QM/MM corrections depends on the underlying QM/MM energy function, which involves the following: (a) the QM method in use; (b) the MM charges that polarize the QM wave function; and (c) the parametrization of the van der Waals (vdW) interactions between QM and MM atoms. In addition, a QM/MM correction can improve the accuracy of MM hydration free energy only if the MM potential energy surface (PES) in use significantly overlaps with the QM/MM PES,<sup>10,11</sup> i.e. all essential configurations are already sampled in the MM ensemble which requires an agreement of the bonded terms.

Given all of these factors, it is very encouraging that, in two explicit solvent simulations in the SAMPL4 challenge, MM results were improved upon with a QM/MM correction. Genheden et al.<sup>12</sup> performed all-atom Monte Carlo simulations on SAMPL4 molecules and reported a mean unsigned average error of 3.0 kcal/mol in their computed MM hydration free energies using the general AMBER force field (GAFF) and a smaller error of 1.8 kcal/mol with QM/MM corrections at the B3LYP/6-31G\* level of theory. Meanwhile, König et al.<sup>13</sup> reported a RMSE of 2.3 kcal/mol in their MM-TIP3P solvation energies using the CHARMM generalized force field (CGenFF), which was reduced to 1.6 kcal/mol after QM/MM corrections based on the non-Boltzman Bennett (NBB) method (QM/MM-NBB, which employs data from two end states to minimize the variance of the estimate of free energy differences)<sup>10,14</sup> and the B3LYP/6-31G\* level of theory.

So far, the accuracy associated with QM/MM corrections is only made possible with a high computational cost. For example, the aforementioned QM/MM NBB correction utilized only one frame for each 1 ps (1000 time steps) of two MM simulation trajectories; but, with an average of 10 to 15 self-consistent field (SCF) cycles required to fully converge QM energies for each of the thousands of frames, the QM/MM NBB correction can take several times longer than the MM simulation. It is clearly desirable to develop ways to speed up the QM/MM correction part of the calculation.

We note that the QM/MM-NBB corrected hydration free energy for each SAMPL4 molecule has a standard deviation of larger than 0.3 kcal/mol (with the largest standard deviations larger than 1 kcal/mol in Table 1 of ref 13). With such a large standard deviation, one can question the necessity of tightly converging the QM/MM energy for each frame. In the case of density functional theory (DFT) calculations like B3LYP/6-31G\*, it is certainly desirable to reduce the computer time by going through fewer SCF cycles. In the best case scenario, one avoids all SCF cycles and instead estimates the QM/MM energy (hopefully with an accuracy of about 0.1 or 0.2 kcal/mol).

Recently, several methods have indeed been developed to estimate the QM/MM energy. Hu et al.<sup>15</sup> developed two

response kernels to describe how the electrostatic-potential-derived (ESP) charges respond to external electrostatic fields and to QM nuclear motions and used those two kernels to compute the QM/MM energy for a given configuration. Pulay and Janowski used generalized multipole moments and polarizabilities<sup>16,17</sup> to compute the change in QM/MM energy with an external electrostatic field due to MM atoms. More recently, we developed two multiple environment single system (MESS) QM/MM schemes,<sup>18</sup> one based on Fock matrix extrapolation (MESS-E) and the other based on the inverse electronic Hessian (MESS-H), for rapidly estimating QM/MM energies for a frozen-geometry QM system embedded in a dynamical MM environment.

In this work, we will apply the MESS-E scheme to the computation of hydration free energies of SAMPL4 molecules and 12 additional small solute molecules, for which all solute molecules will be kept at their gas-phase geometry. The 12 molecules introduce additional chemical heterogeneity and include water and amino acid side chain analogs as well as structural mimics of sugars. They are also small enough to perform expensive QM/MM calculations, and their pK<sub>a</sub> values ensure charge neutrality at a pH of 7.

In Section II, the MESS-E scheme will be briefly reviewed, and a scaling of the MESS-E step size will be introduced to avoid a systematic overestimation of QM/MM polarization energies. In the same section, other computational details like implicit solvent methods and MM free energy calculation setup will be presented. In Section III, for the purpose of comparison, results from four implicit solvation models will be presented: SM8,<sup>19</sup> SM12MK, SM12CHELPG,<sup>20</sup> and SMD.<sup>21</sup> Results from MM hydration free energy simulations and QM/MM-NBB corrections (with and without applying the MESS-E scheme) will be shown and discussed in Sections IV and V. Concluding remarks will be made in Section VI.

## II. THEORY AND COMPUTATIONAL DETAILS

**A. The MESS-E-QM/MM Scheme with Scaled Step Sizes.** The QM/MM polarization energy,  $\Delta E_2$ , arises from the relaxation of occupied orbitals within the MM embedding potential that lowers the energy of (and thus stabilizes) the QM region. Following our previous work,<sup>18</sup> for a fixed-geometry QM region embedded in an MM electrostatic potential  $\Delta v(\mathbf{r})$ , it is defined as

$$\Delta E_2 = E - E_0 - \Delta E_1 = E - E_0 - \left[ \sum_{A \in \text{QM}} Z_A \Delta v(\mathbf{A}) - \int d\mathbf{r} \rho_0(\mathbf{r}) \Delta v(\mathbf{r}) \right] \quad (1)$$

where  $E$  is the total energy (excluding QM/MM vdW interactions and pure MM interactions),  $E_0$  is the gas-phase Kohn–Sham DFT energy, and  $\rho_0(\mathbf{r})$  is the corresponding electron density.  $\Delta E_1$  is the QM/MM permanent electrostatic interaction energy, which contains contributions from both QM nuclei (with charges of  $Z_A$ ) and the gas-phase electron density  $\rho_0(\mathbf{r})$ . In this work, the MM electrostatic embedding potential,  $\Delta v(\mathbf{r})$ , is computed as a sum of contributions from MM (TIP3P) point charges ( $\{q_B\}$  at  $\mathbf{B}$ )

$$\Delta v(\mathbf{r}) = \sum_{B \in \text{MM}} \frac{q_B}{|\mathbf{r} - \mathbf{B}|} \quad (2)$$

In our previous work,<sup>18</sup> we reported two schemes for estimating the QM/MM polarization energy in eq 1: (a)

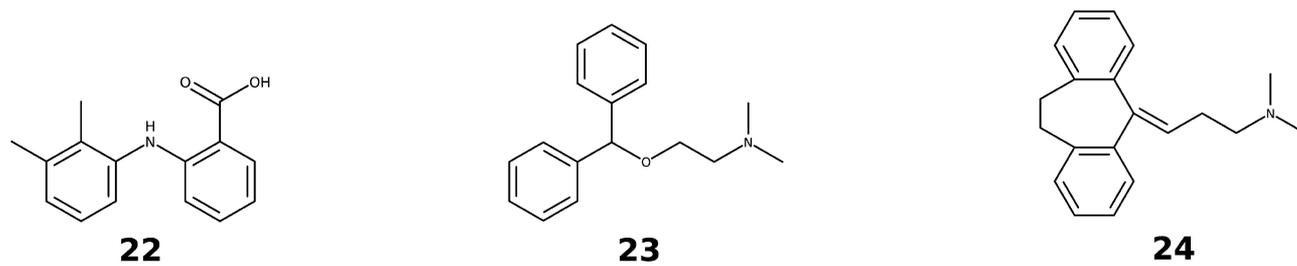
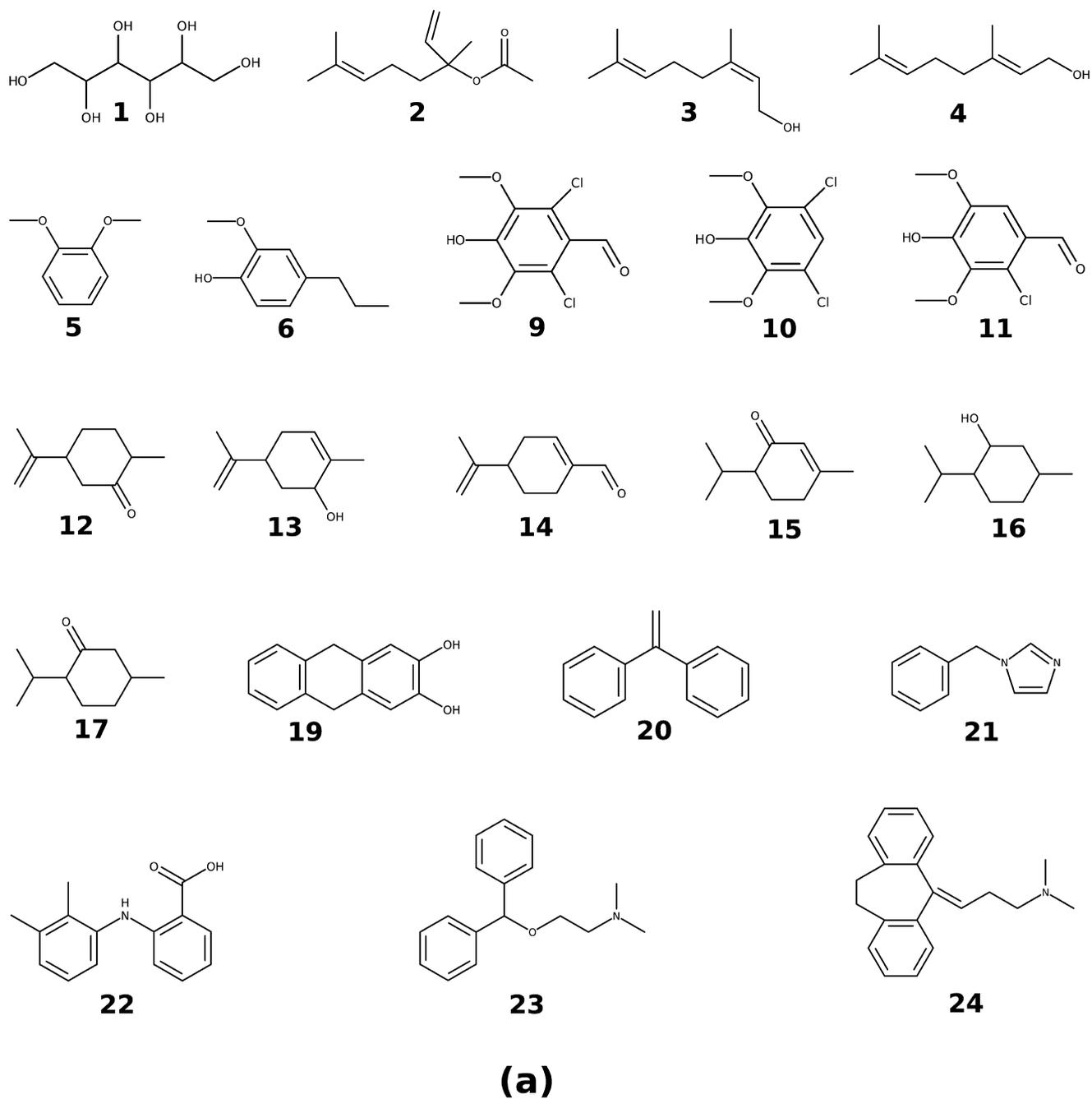


Figure 1. (a) SAMPL4 molecules and (b) 12 small molecules studied in this work.

MESS-H, which is based on the electronic Hessian (also known as response kernel or stability matrix or simply energy second derivatives with respect to orbital rotations); and (b) MESS-E, which is based on Fock matrix extrapolation and resembles the basis set extrapolation,<sup>22–25</sup> functional jump,<sup>26</sup> and other extrapolation methods in quantum chemistry. The SAMPL4 molecules in this study contain 22 to 44 atoms, which makes the computation of gas-phase electronic Hessian (used in the MESS-H scheme) rather expensive in terms of both CPU time and memory requirement. It is possible to speed up the computation of the electron Hessian through representing it in a subspace of nonorthonormal base vectors and through invoking the resolution of the identity of approximation, which will be explored in the near future. Meanwhile, in this study, only the MESS-E scheme will be employed, and the QM/MM polarization energy (eq 1) will be estimated via a three-stage process:

- **Gas-phase calculation.** Compute gas-phase density matrix,  $P_0$ , electron density,  $\rho_0(\mathbf{r})$ , and Fock matrix,  $F_0$ .

- **Training period.** For the first 10 MM configurations, which are used as a training set, fully converge Kohn–Sham DFT. Find a step size scaling factor,  $\lambda$ , as defined below in eq 3 to minimize the root-mean-square deviation of the estimated QM/MM polarization energies (in eq 4) from the fully converged values.

- **Production period.** For each MM configuration

- Compute the MM electrostatic embedding potential,  $\Delta v(\mathbf{r})$ , and its atomic-orbital representation,  $\Delta \mathbf{h}$ .
- Form a perturbed Fock matrix

$$F_1 = F_0 + \lambda \Delta \mathbf{h} \quad (3)$$

- Diagonalize the perturbed Fock matrix to obtain a perturbed density matrix  $P_1$ .

- Compute the MESS-E estimation to the QM/MM polarization energy:

$$\Delta E_2(\text{MESS-E-QM/MM}) = F_1 \cdot (P_1 - P_0) \quad (4)$$

Within this procedure, a parameter  $\lambda$  is employed to scale down the Roothaan step size in order to avoid a systematic overestimation of the QM/MM polarization energy. Specifically, the first 10 MM configurations are used as a training set to optimize the  $\lambda$  value at a given level of QM theory (i.e., density functional and basis set).

### B. Classical Simulations of Hydration Free Energies.

The CHARMM program<sup>27</sup> was employed to compute the hydration free energies, using CGenFF<sup>28,29</sup> parameters for the *fixed-geometry* solute molecule and TIP3P for solvent water molecules.<sup>30</sup> In all simulations, the unit cell is a truncated octahedron, containing 1492 water molecules for simulations on the primary set of SAMPL4 molecules, which are shown in Figure 1(a). We also simulated the hydration of 12 additional small molecules, water, methanol, ethanol, methanethiol, acetamide, tetrahydrofuran, benzene, aniline, phenol, ethane, hexane, and cyclohexane, which are shown in Figure 1(b), to test whether trends found from our SAMPL4 molecule calculations are applicable to common solute molecules. Among, methanol, ethanol, methanethiol, and acetamide have been previously used as amino acid side chain analogs,<sup>1</sup> while other molecules are selected from a previous data set from Mobley et al.<sup>31</sup> to represent ring compounds and hydrophobic molecules. In the simulation of these small solute molecules, the unit cell contained 1687 TIP3P water molecules.

Following previous calculations,<sup>13</sup> we use the PERT module in CHARMM to gradually turn off all nonbonded interactions (electrostatic or Lennard-Jones) between the solute and solvent molecules and the nonbonded interactions internal to the solute molecules. This alchemical mutation was done through 24  $\lambda$  points: first gradually weakening electrostatic interactions 13  $\lambda$  points ( $\lambda_{elec} = 1.00, 0.90, \dots, 0.20, 0.10, 0.05, 0.00$ ) and then gradually weakening vdW interactions ( $\lambda_{LJ} = 1.00, 0.95, 0.90, \dots, 0.20, 0.10, 0.05, 0.00$ ) using soft core.

To enhance the sampling efficiency,  $\lambda$ -Hamiltonian replica exchange<sup>32</sup> was employed to conditionally swap structures between the neighboring  $\lambda$  points every 1,000 time steps (1 ps). The solution-phase free energy difference is  $\Delta G_{solution} = \sum_{i=0}^{23} \Delta G_{i, i+1}$  and the gas-phase energy difference is  $\Delta G_{gas} = E_{gas}(\lambda_{elec} = 0.00; \lambda_{LJ} = 0.00) - E_{gas}(\lambda_{elec} = 1.00; \lambda_{LJ} = 1.00)$ . Through the thermodynamic cycle, the hydration free energy is  $\Delta G_{gas} - \Delta G_{solution}$ .

It should be noted that both the gradual switching-off of nonbonded interactions within the solute molecule and the Hamiltonian replica exchange were useful in the previous work<sup>13</sup> for enhancing the conformational sampling for the solute molecules. In our work, neither should be absolutely necessary because the solute molecule adopts a fixed geometry. We retained the same procedure so that a direct comparison can be made to previous results.<sup>13</sup>

Each of the 24  $\lambda$  points refers to a 1 ns Langevin NVT trajectory at a temperature of 300 K. The first 100 ps is the equilibration period, the remaining 900 ps is divided into five 180 ps segments for the computation of five hydration free energies (including  $\Delta E_{gas}$ ):  $\Delta G_m$ ,  $m = 1, M$  where  $M$  is 5. The average hydration free energy is then  $\Delta G_{average} = \frac{1}{M} \sum_{m=1}^M \Delta G_m$ , and the standard deviation is  $\sqrt{\sum_{m=1}^M (\Delta G_m - \Delta G_{average})^2 / (M - 1)}$ .

**C. Non-Boltzmann Bennett Procedure for Computing QM/MM Energy Corrections.** To compute the QM/MM energy correction to the MM hydration free energy, frames from the last 900 ps of the first two MM trajectories, 0: ( $\lambda_{elec} = 1.00, \lambda_{LJ} = 1.00$ ) and 1: ( $\lambda_{elec} = 0.90, \lambda_{LJ} = 1.00$ ), are collected at intervals of 100 timesteps, yielding 9,000 frames for each trajectory. Then the QM/MM energy (without periodic boundary conditions),  $U^{QM/MM}$ , is evaluated for each frame, and the potential energy difference,  $V^b = U^{MM} - U^{QM/MM}$ , is employed as the biasing potential. The QM/MM free energy difference between the two MM trajectories (for each of the 180 ps segments) is computed via non-Boltzmann-Bennett as in ref 10

$$\Delta A^{0 \rightarrow 1} = \beta^{-1} \ln \left( \frac{\langle f(\Delta U_{bw} + C) \exp(\beta V_1^b) \rangle_{1,MM} \langle \exp(\beta V_0^b) \rangle_{0,MM}}{\langle f(\Delta U_{fw} - C) \exp(\beta V_0^b) \rangle_{0,MM} \langle \exp(\beta V_1^b) \rangle_{1,MM}} \right) + C \quad (5)$$

where  $\langle \rangle$  denotes ensemble average,  $\beta$  is  $1/kT$ ,  $f(x)$  is the Fermi function  $(1 + \exp(\beta x))^{-1}$ ,  $U_{bw}$  is the backward perturbation  $U_0 - U_1$ , and  $U_{fw}$  is the forward perturbation  $U_1 - U_0$ . The  $C$  value is solved for iteratively.

**D. Other Computational Details.** For QM/MM calculations on the MM frames, a developmental version of the Q-CHEM 4.3 software<sup>33</sup> was employed within the Q-CHEM/CHARMM software interface.<sup>34</sup> The gas-phase geometries of all solute molecules were optimized using the  $\omega$ B97X-D density functional<sup>35</sup> and the 6-311++G\*\* basis set. In all subsequent

**Table I. Errors (in kcal/mol) in Implicit Hydration Free Energies for SAMPL4 Molecules Using SM8, SM12MK, SM12CHELPG, and SMD Models and Five Density Functionals and with the 6-31G\* Basis Set<sup>a</sup>**

model	error	BLYP	B3LYP	PBE0	M06-2X	$\omega$ B97X-D
SM8	MSE'	0.84	0.76	0.43	1.03	0.42
	RMSE'	1.04	0.96	0.75	1.21	0.72
SM12MK	MSE'	0.46	-0.19	-0.75	-0.71	-0.85
	RMSE'	0.99	1.07	1.58	1.54	1.60
SM12CHELPG	MSE'	0.63	0.03	-0.45	-0.42	-0.55
	RMSE'	0.93	0.82	1.17	1.14	1.18
SMD	MSE'	1.66	0.84	0.18	0.22	0.07
	RMSE'	1.89	1.24	1.06	1.05	1.01

<sup>a</sup>MSE' and RMSE' are computed for molecules 2–21.

solvation free energy calculations, the solute molecules are fixed to these gas-phase geometries.

Several density functionals, BLYP,<sup>36,37</sup> B3LYP,<sup>36–38</sup> PBE0,<sup>39</sup> M06-2X,<sup>40</sup> and  $\omega$ B97X-D,<sup>35</sup> were applied to all solute molecules using the 6-31G\* basis set. Numerical integration was performed with an atom-centered grid<sup>41</sup> with 99 radial points and 590 angular points. For the 12 small solute molecules, two additional QM methods were applied: the Coulomb-attenuated MP2 method<sup>42,43</sup> using the aug-cc-pVDZ basis and rimp2-aug-cc-pVDZ auxiliary basis set (i.e., ATT-RIMP2/aug-cc-pVDZ) and the XYGJ-OS functional<sup>44,45</sup> within the 6-311+G(3df,2p) basis set.

For comparison, four Minnesota implicit solvent models were also applied to all solute molecules: SM8,<sup>19</sup> SM12MK, SM12CHELPG,<sup>20</sup> and SMD,<sup>21</sup> all with the 6-31G\* basis set. Three out of the four models, SM8, SM12MK, and SM12CHELPG, employ the generalized Born models to describe the electrostatic component of solvation free energy with different sets of charges: CM4 charges for SM8;<sup>46</sup> Merz–Kollman ESP charges<sup>47,48</sup> for SM12MK; CHELPG charges<sup>49</sup> (as implemented in Q-CHEM<sup>50,51</sup>) for SM12CHELPG. SMD is a polarized continuum model, where the electrostatic contribution to the solvation free energies are computed via a set of screening charges on the molecular van der Waals surface. In all four models, cavity-dispersion-solvent-structure (CDS) terms are also added to describe other contributions to the solvation free energy.

In all cases, the mean signed error (MSE) is computed as

$$\text{MSE} = \frac{1}{K} \sum_{k=1}^K (\Delta G_k(\text{computed}) - \Delta G_k(\text{expt})) \quad (6)$$

where  $k$  runs over the solute molecules. The root-mean-square error (RMSE) is computed as

$$\text{RMSE} = \sqrt{\frac{1}{K} \sum_{k=1}^K (\Delta G_k(\text{computed}) - \Delta G_k(\text{expt}))^2} \quad (7)$$

Note that out of the SAMPL4 molecules, the experimental hydration free energy for molecule 1 is actually an extrapolation from a subcooled liquid based on nonexperimental values.<sup>52</sup> Furthermore, molecules 22, 23, and 24 contain protonizable groups, which makes their hydration free energies strongly dependent on their  $\text{p}K_a$ 's. Unfortunately there are no experimental  $\text{p}K_a$ 's for those molecules. Since we lack the required expertise to evaluate the usability of the experimental data for those molecules, we provide the reader with two sets of MSE and RMSE values, where MSE and RMSE cover the entire set of molecules, and MSE' and RMSE' exclude the

controversial molecules 1, 22, 23, and 24. The  $R^2$  values cover the entire set of 21 molecules.

### III. RESULTS FROM IMPLICIT SOLVENT CALCULATIONS

**A. SAMPL4 Molecules.** SM8, SM12MK, SM12CHELPG, and SMD hydration free energies with five density functionals (BLYP, B3LYP, PBE0, M06-2X, and  $\omega$ B97X-D) and the 6-31G\* basis set for SAMPL4 molecules at their gas-phase  $\omega$ B97X-D/6-311++G\*\* geometries are listed in Tables S1–S4, and the average errors are collected in Table I. By computing the hydration free energies at the gas-phase geometry, we have neglected the effects from geometry relaxations in the condensed phase and the corresponding vibrational zero-point energy differences.

For SM8, the MSE errors are shown in Table I to be all positive, meaning that the solvation free energies are all underestimated. The smallest error occurs with the  $\omega$ B97X-D functional (MSE' = 0.42 kcal/mol; RMSE' = 0.72 kcal/mol) and the PBE0 functional (MSE' = 0.43 kcal/mol; RMSE' = 0.75 kcal/mol). The B3LYP results have slightly larger error (MSE' = 0.76 kcal/mol; RMSE' = 0.96 kcal/mol). BLYP errors (MSE' = 0.84 kcal/mol; RMSE' = 1.04 kcal/mol) and M06-2X (MSE' = 1.03 kcal/mol; RMSE' = 1.21 kcal/mol) are even larger, but these errors are overall still reasonable. MSE and RMSE values, which also include molecules 1, 22, 23 and 24, lead to the same overall picture that PBE0 and  $\omega$ B97X-D yield the most accurate hydration free energies. The  $R^2$  values are 0.95 and 0.96, suggesting an overall good correlation between SM8 hydration energies and experimental values.

With the other three Minnesota solvation models, SM12MK, SM12CHELPG, and SMD, the  $R^2$  values remain around 0.92 and 0.96. One can note that the MSE and MSE' values become systematically more negative for functionals containing larger ratios of Hartree–Fock exchange. With SM12MK, for example, the MSE' errors are 0.46 kcal/mol (BLYP); -0.19 kcal/mol (B3LYP); -0.75 kcal/mol (PBE0); -0.71 kcal/mol (M06-2X); and -0.85 kcal/mol ( $\omega$ B97X-D).

This systematic trend reflects that larger ratios of Hartree–Fock exchange usually lead to more charge separation in a molecule and thus lead to larger electrostatic potentials (in terms of the absolute values) around the molecule. Both SM12MK and SM12CHELPG models are based on ESP-fitted charges (MK and CHELPG), thus yielding more negative electrostatic energies within the generalized Born model. With the SMD model, the surface charges are employed to cancel the solute electrostatic potential, and, with a higher ratio of Hartree–Fock exchange, they can also lead to overall more negative electrostatic energies.

**Table II. Errors (in kcal/mol) in Implicit Hydration Free Energies for 12 Molecules Using SM8, SM12MK, SM12CHELPG, and SMD Models and Five Density Functionals and the 6-31G\* Basis Set**

model	error	BLYP	B3LYP	PBE0	M06-2X	$\omega$ B97X-D
SM8	MSE	-0.08	-0.14	-0.36	-0.07	-0.34
	RMSE	1.09	1.09	1.08	1.06	1.11
SM12MK	MSE	-0.35	-0.72	-1.08	-1.09	-1.13
	RMSE	0.79	1.02	1.37	1.38	1.42
SM12CHELPG	MSE	-0.26	-0.61	-0.92	-0.95	-0.98
	RMSE	0.75	0.94	1.25	1.26	1.30
SMD	MSE	0.73	0.34	-0.04	-0.05	-0.09
	RMSE	1.18	0.87	0.78	0.78	0.77

In terms of RMSE and RMSE' values with the SM12MK model, BLYP (RMSE = 1.19 kcal/mol; RMSE' = 0.99 kcal/mol) and B3LYP (RMSE = 1.02 kcal/mol; RMSE' = 1.07 kcal/mol) are more accurate than three other functionals. With SM12CHELPG, B3LYP outperforms all four other functionals with a RMSE of 0.91 kcal/mol and RMSE' of 0.82 kcal/mol. With the SMD model, on the other hand, PBE0, M06-2X, and  $\omega$ B97X-D yielded smaller RMSE and RMSE' values of between 1.01 and 1.22 kcal/mol. So, out of these combinations, the best performance comes from the SM12CHELPG model using the B3LYP functional.

**B. Twelve Small Molecules.** The hydration free energies of these molecules based on implicit solvent model are collected in Tables S1–S8, which all correlate reasonably well with experiments with  $R^2$  values of 0.91–0.97. As shown in Table II, the SM8 results with all five functionals are roughly equally accurate, with a RMSE value of between 1.06 and 1.11 kcal/mol.

With the SM12MK, SM12CHELPG, and SMD models, a systematic change (with the functionals) in the hydration free energy is again observed. With SM12MK, for example, the MSE errors are -0.35 kcal/mol (BLYP); -0.72 kcal/mol (B3LYP); -1.08 kcal/mol (PBE0); -1.09 kcal/mol (M06-2X); and -1.13 kcal/mol ( $\omega$ B97X-D).

Like the SAMPL4 molecules, this systematic change in the hydration free energies for small molecules is caused by the change in the electronic structure with the density functionals. As shown in Table S9, seven out of the 12 molecules (water, methanol, ethanol, methanethiol, acetamide, tetrahydrofuran, and phenol) acquire larger gas-phase dipole moments from BLYP to B3LYP, PBE0, M06-2X, and  $\omega$ B97X-D functionals and thus exhibit larger electrostatic potentials (in terms of the absolute values) outside the molecule, yielding a more negative electrostatic component for the hydration energy. Molecular dipole moments are zero or quite small for four other molecules (benzene, ethane, hexane, and cyclohexane). For those four molecules (and aniline), when the ratio of Hartree–Fock exchange interaction increases in the density functional, higher-order multipole moments are expected to enhance the electrostatic potential outside the molecule.

In terms of RMSE errors with the SM12MK model, BLYP (0.79 kcal/mol) and B3LYP (1.02 kcal/mol) are again the most accurate. With the SM12CHELPG model, BLYP (0.75 kcal/mol) and B3LYP (0.94 kcal/mol) also outperform the three other functionals. This is again reversed with the SMD model: with an RMSE error of 0.77 or 0.78 kcal/mol, PBE0, M06-2X, and  $\omega$ B97X-D perform better than BLYP and B3LYP.

**C. Choice of Implicit Solvation Models and Functionals.** Overall, for both sets of molecules, SM12CHELPG performs better than SM12MK; but the relative performance

among SM8, SM12CHELPG, and SMD is highly dependent upon the functional. For these two sets of molecules, it seems that SM12CHELPG is best used with BLYP and B3LYP functionals, while SMD is better used with PBE0, M06-2X, and  $\omega$ B97X-D. However, this should not be overgeneralized, because the two test sets are rather small and because the implementation of SM12MK, SM12CHELPG, and SMD models can vary significantly from package to package, especially when it comes to surface construction and discretization.

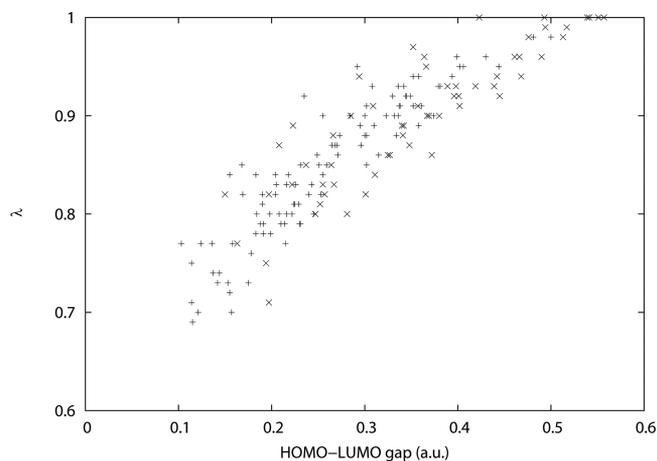
Meanwhile, it would be desirable to reduce the functional dependence of the SM12MK, SM12CHELPG, and SMD solvation free energies. Since stronger electrostatic interactions might arise with higher ratios of Hartree–Fock exchange, one can imagine at least two possible solutions: (1) making the vdW radii in the SM12MK and SMD models density dependent, where one can potentially follow the strategy of scaling vdW radii in the many-body dispersion (MBD) method of Tkatchenko et al.,<sup>53,54</sup> or (2) making the dispersion term of the CDS energy contributions density dependent.

#### IV. RESULTS FROM EXPLICIT SOLVENT CALCULATIONS

**A.  $\lambda$  Values.** As mentioned in Section IIB, a scaling factor ( $\lambda$ ) is employed in MESS-E-QM/MM calculations to avoid a systematic overestimation of QM/MM polarization energies. In our calculations, the DFT/MM calculations were fully converged for the first 10 MM frames of each trajectory. The  $\lambda$  value is then optimized for MESS-E-QM/MM calculations to best reproduce these converged DFT/MM energies.

The optimal  $\lambda$  values from all test cases are plotted against the HOMO–LUMO gaps in Figure 2, with the actual  $\lambda$  values and HOMO–LUMO gaps listed in Tables S10–S13. It is clear from Figure 2 that smaller HOMO–LUMO gaps tend to lead to smaller  $\lambda$  values. In other words, smaller MESS-E step sizes are taken with BLYP than other functionals, while the step sizes are the largest with M06-2X and  $\omega$ B97X-D. For example, as shown in Table S10, HOMO–LUMO gaps of molecule 1 of the SAMPL4 blind test are 0.226 (BLYP); 0.310 (B3LYP); 0.336 (PBE0); 0.430 (M06-2X); and 0.481 ( $\omega$ B97X-D). Meanwhile, the  $\lambda$  values in Table S12 for this molecule are 0.830 (BLYP); 0.890 (B3LYP); 0.900 (PBE0); 0.960 (M06-2X); and 0.980 ( $\omega$ B97X-D).

**B. MESS-QM/MM-NBB versus Full QM/MM-NBB.** QM/MM-NBB-corrected solvation energies are collected for the blind SAMPL4 data set in Table III, using both fully converged M06-2X/TIP3P energies and MESS-E-QM/MM energies. The MESS-E-QM/MM-NBB solvation energies deviate from fully converged QM/MM-NBB values by a MSE' of 0.09 kcal/mol and a RMSE' of 0.18 kcal/mol. This deviation is comparable to



**Figure 2.** Computed  $\lambda$  values vs HOMO–LUMO gap for SAMPL4 molecules (denoted with “+”) and 12 small molecules (denoted by “x”).

**Table III.** Solvation Free Energies in kcal/mol from QM/MM-NBB and MESS-E-QM/MM NBB Calculations Using M06-2X/6-31G\*<sup>a</sup>

molecule	QM/MM-NBB	MESS-E-QM/MM-NBB
1	−22.22 ± 0.44	−22.04 ± 0.42
2	−4.18 ± 0.10	−4.27 ± 0.13
3	−7.52 ± 0.07	−7.47 ± 0.08
4	−7.21 ± 0.23	−7.09 ± 0.25
5	−6.76 ± 0.53	−6.51 ± 0.46
6	−10.87 ± 0.62	−10.37 ± 0.51
9	−10.57 ± 0.98	−10.27 ± 0.91
10	−6.85 ± 0.20	−6.70 ± 0.19
11	−9.23 ± 0.37	−9.10 ± 0.38
12	−5.31 ± 0.19	−5.25 ± 0.20
13	−6.63 ± 0.21	−6.52 ± 0.21
14	−5.15 ± 0.30	−5.29 ± 0.36
15	−6.45 ± 0.25	−6.53 ± 0.30
16	−5.04 ± 0.30	−5.07 ± 0.30
17	−4.34 ± 0.19	−4.49 ± 0.21
19	−5.23 ± 0.23	−5.05 ± 0.21
20	−3.70 ± 0.29	−3.64 ± 0.30
21	−10.04 ± 0.32	−9.95 ± 0.38
22	−10.19 ± 0.20	−10.09 ± 0.18
23	−6.39 ± 0.27	−6.33 ± 0.27
24	−7.35 ± 0.25	−7.48 ± 0.28
MSE'		0.09
RMSE'		0.18

<sup>a</sup>MSE' and RMSE' are computed for molecules 2–21, against full QM/MM-NBB results (column 2).

the standard deviations for the computed solvation free energies, which is usually around 0.2 or 0.3 kcal/mol.

Among molecules 2–21, the smallest deviations occur with molecule 16 (−5.04 vs −5.07 kcal/mol) and molecule 3 (−7.52 vs −7.47 kcal/mol), and the largest deviations occur with molecule 9 (−10.57 vs −10.27 kcal/mol) and molecule 6 (−10.87 vs −10.37 kcal/mol).

For the 12 small molecules, two sets of full QM/MM energy evaluations were performed, BLYP/TIP3P and M06-2X/TIP3P, and the results are shown in Table IV. With BLYP, the MESS-E-QM/MM-NBB corrected solvation energies differ from the fully converged QM/MM-NBB corrected values by a

MSE of 0.05 kcal/mol and a RMSE of 0.15 kcal/mol. The smallest deviation occurs with tetrahydrofuran (both −3.29 kcal/mol) and ethane (both 2.04 kcal/mol), while the largest deviation occurs with acetamide (−11.68 vs −11.19 kcal/mol). With M06-2X, the MESS-E-QM/MM-NBB corrected solvation energies differ from the fully converged QM/MM-NBB corrected values by a MSE of 0.06 kcal/mol and a RMSE of 0.10 kcal/mol. The smallest deviation occurs with ethane (both 2.02 kcal/mol), while the largest deviation occurs again with acetamide (−13.62 vs −13.37 kcal/mol).

**C. The Significance of QM/MM Polarization Energy Contribution to the Hydration Free Energy.** If we keep only QM/MM permanent electrostatics,  $\Delta E_1$  in eq 1, and neglect QM/MM polarization energy,  $\Delta E_2$ , the QM/MM-NBB correction to the hydration free energies changes rather significantly. As shown for the 12 molecules in Table IV, the computed QM/MM-NBB-corrected hydration free energy will differ by a MSE of 1.81 kcal/mol with the BLYP functional applied to the QM region and a MSE of 2.01 kcal/mol with the M06-2X functional. The RMSE values are also large: 2.18 kcal/mol with BLYP and 2.41 kcal/mol with M06-2X.

This means that QM/MM polarization energies cannot be neglected in the QM/MM-NBB hydration free energy calculations. On the other hand, if one adopts a condensed-phase reference (with an implicit model or an average solvent potential) instead of a gas-phase one, the MSE values might get reduced; but we expect the deviations there to be still significant.

**D. Results for the Blind SAMPL4 Hydration Free Energy Test Set. 1. Computational Time.** The computational time for hydration free energy calculations on the SAMPL4 molecules are listed in Table V. Since 1492 water molecules are included in the calculations, it takes roughly the same amount of computer time — about 2,500 CPU hours using a single core of an Intel Xeon E5520 2.27 GHz processor — to compute the MM solvation free energies for each solute. Most of the cost arises from the generation of the 24 parallel MM trajectories (each 1 ns long), with a small overhead due to the computation of MM energies for frames on neighboring trajectories for  $\lambda$ -Hamiltonian replica exchange.

Even for the smallest SAMPL4 solute (molecule 5), it takes about 10,000 CPU hours to compute the QM/MM energies for all 20,000 frames, and this cost increases to about 65,000 CPU hours for the largest SAMPL4 solute (molecule 24). Overall, the QM/MM corrections can be 4 to 26 times more expensive than the MM stage of the calculation.

With the current implementation of MESS-E-QM/MM, the Q-CHEM program is called for each frame to evaluate the current MM embedding potential and to then compute the permanent electrostatic energy and the MESS-E estimation for the QM/MM polarization energy. For 18,000 frames, this only takes about 160 to 300 CPU hours, which is 60 to 200 faster than the full QM/MM calculations. Compared to the MM stage of the calculation, the MESS-E-QM/MM correction only adds 7% to 12% of additional cost. Clearly, MESS-E-QM/MM provides a very fast way to compute the QM/MM-NBB correction to MM hydration free energies for rigid solute molecules.

**2. Accuracy of Hydration Free Energies.** In Table VI, we list the experimental hydration free energies for the blind SAMPL4 set, the fixed-geometry-solute MM hydration free energies (F-MM-TIP3P), and the corresponding MESS-E-QM/MM-NBB corrected hydration free energies using the Non-Boltzmann-

**Table IV. Hydration Free Energies (in kcal/mol) from QM/MM-NBB and MESS-QM/MM-NBB Calculations Using BLYP or M06-2X Functionals and the 6-31G\* Basis Set<sup>a</sup>**

molecule	BLYP			M06-2X		
	full QM/MM	MESS-E-QM/MM	$\Delta E_1$ only	full QM/MM	MESS-E-QM/MM	$\Delta E_1$ only
water	$-7.10 \pm 0.14$	$-7.08 \pm 0.14$	$-4.99 \pm 0.14$	$-8.42 \pm 0.15$	$-8.37 \pm 0.15$	$-6.15 \pm 0.14$
methanol	$-3.84 \pm 0.05$	$-3.81 \pm 0.06$	$-2.06 \pm 0.09$	$-5.08 \pm 0.04$	$-5.00 \pm 0.04$	$-3.00 \pm 0.09$
ethanol	$-3.89 \pm 0.10$	$-3.85 \pm 0.11$	$-1.99 \pm 0.10$	$-5.13 \pm 0.13$	$-5.10 \pm 0.14$	$-2.94 \pm 0.10$
methanethiol	$-3.10 \pm 0.32$	$-3.09 \pm 0.33$	$-1.81 \pm 0.14$	$-3.28 \pm 0.34$	$-3.22 \pm 0.34$	$-1.95 \pm 0.14$
acetamide	$-11.68 \pm 0.30$	$-11.19 \pm 0.21$	$-6.61 \pm 0.21$	$-13.62 \pm 0.34$	$-13.37 \pm 0.27$	$-8.12 \pm 0.20$
tetrahydrofuran	$-3.29 \pm 0.07$	$-3.29 \pm 0.08$	$-1.03 \pm 0.09$	$-4.71 \pm 0.09$	$-4.68 \pm 0.10$	$-2.02 \pm 0.07$
benzene	$-0.59 \pm 0.12$	$-0.56 \pm 0.12$	$0.34 \pm 0.12$	$-1.39 \pm 0.12$	$-1.35 \pm 0.12$	$-0.31 \pm 0.12$
phenol	$-6.03 \pm 0.13$	$-5.92 \pm 0.14$	$-3.60 \pm 0.16$	$-7.67 \pm 0.15$	$-7.50 \pm 0.13$	$-4.92 \pm 0.15$
aniline	$-6.67 \pm 0.31$	$-6.82 \pm 0.34$	$-4.36 \pm 0.19$	$-8.29 \pm 0.33$	$-8.26 \pm 0.32$	$-5.75 \pm 0.19$
ethane	$2.04 \pm 0.12$	$2.04 \pm 0.12$	$2.34 \pm 0.12$	$2.02 \pm 0.12$	$2.02 \pm 0.12$	$2.32 \pm 0.12$
hexane	$2.19 \pm 0.26$	$2.16 \pm 0.26$	$2.93 \pm 0.27$	$2.15 \pm 0.26$	$2.12 \pm 0.26$	$2.89 \pm 0.27$
cyclohexane	$1.03 \pm 0.10$	$1.03 \pm 0.10$	$1.69 \pm 0.09$	$1.01 \pm 0.10$	$1.00 \pm 0.10$	$1.67 \pm 0.10$
MSE		0.05	1.81		0.06	2.01
RMSE		0.15	2.18		0.10	2.41

<sup>a</sup>QM/MM-NBB results without including polarization energy ( $\Delta E_1$  only) are also listed for comparison. For each functional, MSE and RMSE values are computed against full QM/MM-NBB results.

**Table V. Computational Time for Hydration Free Energy Calculations Using a Single Core of an Intel Xeon E5520 2.27 GHz Processor<sup>a</sup>**

	time (CPU hours)
MM	2,500
full QM/MM-NBB correction	10,000–65,000
MESS-E-QM/MM-NBB correction	160–300

<sup>a</sup>For QM/MM corrections, M06-2X calculations were performed using the 6-31G\* basis set and a (99, 590) grid.

Bennett algorithm and five different density functionals (BLYP, B3LYP, PBE0, M06-2X, and  $\omega$ B97X-D).

The MM hydration free energies with fixed-geometry solute molecules yielded a MSE' of  $-1.14$  kcal/mol and a RMSE' of  $1.79$  kcal/mol. This is comparable to the MSE' value of  $-0.83$  kcal/mol and a RMSE' of  $1.75$  kcal/mol (also averaged over molecules 2–21) obtained from flexible solute geometry simulations.<sup>13</sup> The RMSE (including molecules 1, 22, 23, and 24) is  $2.33$  kcal/mol, which is also close to the reported RMSE of  $2.3$  kcal/mol using completely flexible solutes.<sup>13</sup> This indicates that the SAMPL4 blind data set is not very sensitive to conformational entropy.

In Table VI, one can also observe a systematic change: with few exceptions, the MESS-E-QM/MM corrected hydration free energies become more negative with higher ratios of Hartree-Fock exchange. The MSE' values are  $-0.55$  kcal/mol (BLYP);  $-1.35$  kcal/mol (B3LYP);  $-1.87$  kcal/mol (PBE0);  $-1.90$  kcal/mol (M06-2X); and  $-2.06$  kcal/mol ( $\omega$ B97X-D). The corresponding RMSE' values are  $1.02$  kcal/mol (BLYP);  $1.63$  kcal/mol (B3LYP);  $2.10$  kcal/mol (PBE0);  $2.15$  kcal/mol (M06-2X); and  $2.29$  kcal/mol ( $\omega$ B97X-D). For the entire set of 21 molecules, BLYP also yielded a rather small MSE value of  $0.10$  kcal/mol and the smallest RMSE value of  $1.95$  kcal/mol. So out of five functionals, BLYP clearly leads to the most accurate MESS-QM/MM-NBB corrected hydration free energies, which indicates that BLYP might be the most compatible functional with the TIP3P solvent model. This was also observed by Shaw et al.,<sup>55</sup> who computed the free energy for the perturbation of a QM water molecule into a MM water molecule in bulk MM solvent. The accuracy of BLYP/

TIP3P corrected hydration free energies is also comparable to the accuracy of implicit solvent models presented above in Section III.

As was mentioned in eq 1, when a fixed-geometry solute molecule is moved from one frame to another, its energy changes contain two contributions: QM/MM permanent electrostatics ( $\Delta E_1$ ) and QM/MM polarization ( $\Delta E_2$ ). Further we found that, when different functionals are employed, the main change would come from the permanent electrostatics contribution. Here, similar to the observation for the implicit solvent models, higher ratios of Hartree-Fock exchange cause larger charge separation in the gas-phase solute electronic charge, therefore enhancing the electrostatic potential (from the gas-phase electron structure) at external MM sites, thus causing the permanent QM/MM electrostatic interaction to be more significant.

Overall, it is clear from Table VI that QM/MM-NBB corrections can improve MM results. MSE, RMSE, MSE', and RMSE' are all improved upon the MESS-E-QM/MM-NBB correction using BLYP/TIP3P. For example, the RMSE' value is reduced from  $1.79$  to  $1.02$  kcal/mol. The  $R^2$  value is increased from  $0.72$  to  $0.82$ – $0.87$  upon QM/MM correction.

### E. Results for Twelve Small Solute Molecules.

Experimental hydration free energies for the 12 small solute molecules are collected from several references<sup>1–3,31,56,57</sup> and listed in Table VII. Also shown in the table are the hydration free energies from fixed-solute-geometry MM simulations, which have a MSE of  $0.77$  kcal/mol and a RMSE of  $0.97$  kcal/mol and therefore are of good quality.

When the MESS-E-QM/MM-NBB correction is added, the MSE values are  $-0.18$  kcal/mol (BLYP);  $-0.67$  kcal/mol (B3LYP);  $-1.05$  kcal/mol (PBE0);  $-1.12$  kcal/mol (M06-2X); and  $-1.15$  kcal/mol ( $\omega$ B97X-D). Again, one obtains more negative hydration free energies with higher ratios of Hartree-Fock exchange. For these 12 molecules, as shown in Table S14, the energy change between frames is clearly dominated by QM/MM permanent electrostatics ( $\Delta E_1$ ). Take the water molecule for instance. Compared to the value using the BLYP functional, its  $\Delta E_1$  gets reduced by an average of  $-0.65$  kcal/mol with B3LYP,  $-1.01$  kcal/mol with PBE0, and  $-1.26$  kcal/mol with M06-2X and  $\omega$ B97X-D. Meanwhile, the changes in

**Table VI. Hydration Free Energies in kcal/mol from F-MM-TIP3P (MM Simulations with Frozen-Gas-Phase-Geometry SAMPL4 Solute Molecules and TIP3P Water Molecules) and MESS-E-QM/MM-NBB Calculations Using Five Density Functionals and the 6-31G\* Basis Set<sup>a</sup>**

molecule	expt	F-MM-TIP3P	MESS-E-QMMM-NBB				
			BLYP	B3LYP	PBE0	M06-2X	$\omega$ B97X-D
1	-23.62	-18.75 ± 0.19	-18.45 ± 0.23	-20.35 ± 0.31	-21.19 ± 0.37	-22.04 ± 0.42	-21.82 ± 0.40
2	-2.49	-3.69 ± 0.11	-3.18 ± 0.13	-3.91 ± 0.14	-4.45 ± 0.16	-4.27 ± 0.13	-4.51 ± 0.16
3	-4.78	-5.42 ± 0.03	-6.03 ± 0.03	-6.77 ± 0.04	-7.41 ± 0.07	-7.47 ± 0.08	-7.53 ± 0.07
4	-4.45	-4.79 ± 0.23	-5.55 ± 0.24	-6.29 ± 0.24	-7.06 ± 0.26	-7.09 ± 0.25	-7.10 ± 0.26
5	-5.33	-7.04 ± 0.20	-4.45 ± 0.48	-5.56 ± 0.46	-6.25 ± 0.50	-6.51 ± 0.46	-6.57 ± 0.47
6	-5.26	-9.13 ± 0.08	-7.56 ± 0.45	-8.91 ± 0.46	-9.99 ± 0.50	-10.37 ± 0.51	-10.44 ± 0.54
9	-8.24	-12.26 ± 0.09	-9.38 ± 0.92	-10.12 ± 0.87	-10.14 ± 0.94	-10.27 ± 0.91	-10.49 ± 0.88
10	-6.24	-7.63 ± 0.24	-5.30 ± 0.18	-6.08 ± 0.19	-6.35 ± 0.19	-6.70 ± 0.19	-6.71 ± 0.18
11	-7.78	-9.10 ± 0.14	-7.95 ± 0.48	-8.82 ± 0.35	-8.89 ± 0.37	-9.10 ± 0.38	-9.30 ± 0.36
12	-3.75	-5.34 ± 0.16	-4.23 ± 0.18	-5.00 ± 0.20	-5.34 ± 0.20	-5.25 ± 0.20	-5.65 ± 0.20
13	-4.44	-5.38 ± 0.19	-5.04 ± 0.24	-5.68 ± 0.22	-6.41 ± 0.21	-6.52 ± 0.21	-6.54 ± 0.20
14	-4.09	-6.54 ± 0.32	-4.63 ± 0.36	-5.24 ± 0.37	-5.56 ± 0.42	-5.29 ± 0.36	-5.63 ± 0.37
15	-4.48	-4.03 ± 0.17	-5.95 ± 0.32	-6.78 ± 0.35	-6.87 ± 0.28	-6.53 ± 0.30	-7.10 ± 0.33
16	-3.20	-2.87 ± 0.25	-3.84 ± 0.28	-4.49 ± 0.29	-4.86 ± 0.29	-5.07 ± 0.30	-5.05 ± 0.30
17	-2.53	-4.57 ± 0.16	-3.62 ± 0.20	-4.32 ± 0.22	-4.54 ± 0.21	-4.49 ± 0.21	-4.75 ± 0.23
19	-3.78	-2.93 ± 0.15	-3.51 ± 0.25	-4.17 ± 0.23	-5.23 ± 0.22	-5.05 ± 0.21	-5.12 ± 0.22
20	-2.78	-2.21 ± 0.30	-2.23 ± 0.30	-2.88 ± 0.30	-3.79 ± 0.30	-3.64 ± 0.30	-3.67 ± 0.30
21	-7.63	-7.73 ± 0.23	-8.29 ± 0.32	-9.19 ± 0.36	-9.95 ± 0.39	-9.95 ± 0.38	-10.12 ± 0.37
22	-6.78	-4.97 ± 0.14	-8.09 ± 0.20	-9.06 ± 0.20	-9.99 ± 0.20	-10.09 ± 0.18	-10.06 ± 0.19
23	-9.34	-4.18 ± 0.13	-3.98 ± 0.19	-5.10 ± 0.23	-6.38 ± 0.27	-6.33 ± 0.27	-6.43 ± 0.27
24	-7.43	-5.00 ± 0.32	-5.03 ± 0.24	-6.00 ± 0.24	-7.62 ± 0.29	-7.48 ± 0.28	-7.44 ± 0.27
MSE		-0.24	0.10	-0.78	-1.42	-1.48	-1.60
RMSE		2.33	1.95	1.96	2.18	2.18	2.30
R <sup>2</sup>		0.72	0.82	0.84	0.87	0.87	0.86
MSE'		-1.14	-0.55	-1.35	-1.87	-1.90	-2.06
RMSE'		1.79	1.02	1.63	2.10	2.15	2.29

<sup>a</sup>MSE' and RMSE' are computed for molecules 2–21.

**Table VII. Hydration Free Energies (in kcal/mol) from F-MM-TIP3P (MM Simulations with Frozen-Gas-Phase-Geometry Small Solute Molecules and TIP3P Water Molecules) and MESS-E-QM/MM-NBB Calculations Using Five Density Functionals and the 6-31G\* Basis Set**

molecule	expt	F-MM-TIP3P	MESS-E-QM/MM NBB				
			BLYP	B3LYP	PBE0	M06-2X	$\omega$ B97X-D
water	-6.31 <sup>3</sup>	-6.19 ± 0.09	-7.08 ± 0.14	-7.72 ± 0.15	-8.12 ± 0.15	-8.37 ± 0.15	-8.31 ± 0.15
methanol	-5.10 <sup>2,31</sup>	-4.30 ± 0.10	-3.81 ± 0.06	-4.45 ± 0.04	-4.75 ± 0.04	-5.00 ± 0.04	-5.03 ± 0.04
ethanol	-5.05 <sup>57</sup>	-4.01 ± 0.10	-3.85 ± 0.11	-4.50 ± 0.12	-4.86 ± 0.13	-5.10 ± 0.14	-5.08 ± 0.14
methanethiol	-1.24 <sup>2,31</sup>	-0.15 ± 0.08	-3.09 ± 0.33	-3.19 ± 0.33	-3.45 ± 0.38	-3.22 ± 0.34	-3.40 ± 0.36
acetamide	-9.68 <sup>1,56</sup>	-8.05 ± 0.07	-11.19 ± 0.21	-12.47 ± 0.23	-13.04 ± 0.25	-13.37 ± 0.27	-13.52 ± 0.26
tetrahydrofuran	-3.47 <sup>31</sup>	-2.38 ± 0.07	-3.29 ± 0.08	-4.12 ± 0.09	-4.39 ± 0.09	-4.68 ± 0.10	-4.75 ± 0.10
benzene	-0.86 <sup>2,31</sup>	-0.38 ± 0.12	-0.56 ± 0.12	-0.90 ± 0.12	-1.44 ± 0.12	-1.35 ± 0.12	-1.40 ± 0.12
phenol	-6.61 <sup>2,31</sup>	-4.66 ± 0.15	-5.92 ± 0.14	-6.64 ± 0.13	-7.43 ± 0.13	-7.50 ± 0.13	-7.55 ± 0.13
aniline	-5.49 <sup>2,31</sup>	-5.58 ± 0.18	-6.82 ± 0.34	-7.43 ± 0.33	-8.44 ± 0.34	-8.26 ± 0.32	-8.29 ± 0.31
ethane	1.83 <sup>2,31</sup>	2.23 ± 0.12	2.04 ± 0.12	2.04 ± 0.12	2.01 ± 0.12	2.02 ± 0.12	2.04 ± 0.12
hexane	2.48 <sup>2,31</sup>	2.79 ± 0.26	2.16 ± 0.26	2.15 ± 0.26	2.11 ± 0.26	2.12 ± 0.26	2.17 ± 0.26
cyclohexane	1.23 <sup>2,31</sup>	1.60 ± 0.11	1.03 ± 0.10	1.02 ± 0.10	0.98 ± 0.10	1.00 ± 0.10	1.02 ± 0.10
MSE		0.77	-0.18	-0.67	-1.05	-1.12	-1.15
RMSE		0.97	1.00	1.25	1.59	1.64	1.69
R <sup>2</sup>		0.98	0.94	0.95	0.95	0.96	0.95

the QM/MM polarization energy ( $\Delta E_2$ ) are about ten times smaller: 0.07 kcal/mol with B3LYP; 0.10 kcal/mol with PBE0; 0.11 kcal/mol with M06-2X; and 0.17 kcal/mol with  $\omega$ B97X-D. There, the QM/MM polarization energy, which is always negative (between 0 and -12 kcal/mol for these 12 molecules), becomes slightly less negative with increasing ratios of Hartree-Fock exchange.

As shown in Table VII, the RMSE in hydration free energies for these solute molecules are 1.00 kcal/mol with BLYP; 1.25 kcal/mol with B3LYP; 1.59 kcal/mol with PBE0; 1.64 kcal/mol with M06-2X; and 1.69 kcal/mol with  $\omega$ B97X-D. Thus, BLYP, the least sophisticated functional out of the five, again produces the most accurate hydration free energies. Interestingly, BLYP is slightly worse than the MM-results in terms of the RMSE

values (1.00 vs 0.96 kcal/mol), but it has a more favorable MSE ( $-0.18$  vs  $0.77$  kcal/mol).

Since these 12 small molecules are relatively small, two advanced *ab initio* quantum chemistry methods can also be employed: ATT-RIMP2/aug-cc-pVDZ, which is a Coulomb-attenuated MP2 method;<sup>42,43</sup> and XYGJ-OS/6-311+G(3df,2p), which is a double-hybrid density functional.<sup>44,45</sup> As shown in Table VIII, the ATT-RIMP2/aug-cc-pVDZ method yields a

**Table VIII. Hydration Free Energies (in kcal/mol) from QM/MM-NBB Calculations Using ATT-RIMP2/aug-cc-pVDZ or XYGJ-OS/6-311+G(3df,2p) Methods for the Solute Molecules**

molecule	expt	QMMM-NBB	
		ATT-RIMP2	XYGJ-OS
water	-6.31	$-6.85 \pm 0.14$	$-7.50 \pm 0.15$
methanol	-5.10	$-4.81 \pm 0.06$	$-5.23 \pm 0.06$
ethanol	-5.05	$-5.18 \pm 0.15$	$-5.48 \pm 0.16$
methanethiol	-1.24	$-3.48 \pm 0.37$	$-3.10 \pm 0.38$
acetamide	-9.68	$-14.31 \pm 0.57$	$-15.02 \pm 0.65$
tetrahydrofuran	-3.47	$-4.99 \pm 0.13$	$-5.25 \pm 0.14$
benzene	-0.86	$-1.48 \pm 0.12$	$-1.85 \pm 0.12$
phenol	-6.61	$-7.77 \pm 0.11$	$-8.26 \pm 0.14$
aniline	-5.49	$-8.20 \pm 0.30$	$-8.66 \pm 0.32$
ethane	1.83	$1.87 \pm 0.12$	$1.90 \pm 0.12$
hexane	2.48	$1.80 \pm 0.26$	$1.88 \pm 0.25$
cyclohexane	1.23	$0.75 \pm 0.11$	$0.81 \pm 0.11$
MSE		-1.20	-1.46
RMSE		1.80	2.06
R <sup>2</sup>		0.93	0.95

MSE of  $-1.20$  kcal/mol and a RMSE of  $1.80$  kcal/mol. Meanwhile, the XYGJ-OS method yields a MSE of  $-1.46$  kcal/mol and a RMSE of  $2.06$  kcal/mol. So these two methods produce even less accurate hydration free energies than the previous five density functionals. This indicates that, when the solute molecule retains a fixed geometry, more sophisticated *ab initio* quantum chemistry methods alone do not necessarily lead to more accurate hydration free energies.

In QM/MM calculations with a fixed-geometry QM region, there exists a balance between two nonbonded interactions: permanent electrostatics and vdW. As more sophisticated *ab initio* quantum chemistry methods are applied to the QM region, the quality of QM/MM electrostatics might be improved; but this might be counter balanced by the treatment of the vdW interaction. In the future, it is desirable to develop more sophisticated schemes for the QM/MM vdW interaction and make it explicitly dependent on the electron structure, which hopefully makes the final results less dependent on the choice of density functionals.

**F. QM/MM Sampling Frequency.** As mentioned in Section II C, frames are collected from MM trajectories at intervals of 100 time steps (or 0.1 ps). In order to evaluate the influence of the sampling frequency on the free energy estimate, we also subsampled the data according to different intervals: 200, 500, and 1000 time steps (0.2, 0.5, and 1.0 ps). This reduces the total number of samples from 10000 to 5000, 2000, or 1000 data points for each 1 ns MM trajectory. The corresponding MESS-E-QM/MM-NBB corrected hydrations are listed in Tables S15, S16, S17, and S18.

In Table S15, which lists BLYP/TIP3P results for SAMPL4 molecules, significantly larger standard deviations are found for

molecules 2, 10, 11, 12, 13, 15, and 21 with longer sampling intervals. For example, for molecule 2, the standard deviation is  $0.13$  kcal/mol with 100 time step intervals and  $0.32$  kcal/mol with 1000 time step intervals. This is expected because longer intervals lead to fewer data points for the QM/MM-NBB free energy calculation. The QM/MM-corrected hydration free energy changes by no more than  $0.1$  kcal/mol for 12 out of the 21 molecules (2, 3, 4, 10, 11, 12, 13, 16, 17, 19, 20, and 21). For example, the hydration free energy for molecule 2 ranges from  $-3.18$  to  $-3.08$  kcal/mol. For five other molecules (1, 6, 22, 23, and 24), the hydration free energies change by no more than  $0.2$  kcal/mol. The largest changes occur for the remaining four molecules (5, 9, 14, and 15). For example, for molecule 9, the hydration energy ranges from  $-9.38$  to  $-8.70$  kcal/mol. This is likely due to the relatively smaller overlaps between the MM and QM/MM surfaces for these molecules. For all 21 molecules, the MSE and RMSE values change by no more than  $0.09$  kcal/mol, and the R<sup>2</sup> values stay constant at 0.82 or 0.83. Very similar trends can be observed in Table S16, which collects B3LYP/TIP3P results for the SAMPL4 molecules. The hydration energies of four molecules (1, 5, 9, and 15) vary by more than  $0.2$  kcal/mol. The overall MSE and RMSE values change by no more than  $0.11$  kcal/mol, and R<sup>2</sup> ranges from 0.84 to 0.87.

For the 12 smaller solute molecules, the results in Tables S17 (with BLYP/TIP3P) and S18 (with B3LYP/TIP3P) yield a similar picture. For seven molecules (water, methanol, ethanol, benzene, ethane, hexane, and cyclohexane), the computed hydration free energies vary by no more than  $0.1$  kcal/mol with different sampling frequencies. For three other molecules (tetrahydrofuran, phenol, and aniline), the computed hydration free energies change by no more than  $0.2$  kcal/mol. A larger change between  $0.3$  and  $0.4$  kcal/mol is observed only for methanethiol and acetamide. Overall, with both functionals, the MSE and RMSE values change no more than  $0.13$  kcal/mol with different sampling frequencies, and the R<sup>2</sup> value stays constant at 0.94 or 0.95.

This suggests that, overall, the free energy estimate is not very sensitive to the sampling intervals within the range of 100 to 1000 time steps. Since the MSE and RMSE values change by no more than  $0.13$  kcal/mol, this does not change the observation that, between the two functionals, BLYP/TIP3P yields more accurate QM/MM-NBB corrected hydration free energies.

## V. DISCUSSION

The MESS-E-QM/MM method presented in this work keeps the solute molecules frozen at their gas-phase geometry. When BLYP/TIP3P is used, this method has been shown to yield reasonably accurate hydration free energies for not-so-flexible molecules including the SAMPL4 molecules and a dozen smaller solutes. It is also expected to work well for computing the QM/MM-corrected binding free energy of rigid ligand molecules (such as steroids) to receptors, where a continuum model for the MM environment is definitely inappropriate.

However, for cases where the QM region is flexible, the MESS-E-QM/MM method is expected to yield larger errors, because it neglects conformational entropy. There are three potential remedies:

- For molecules with multiple rotational isomers it is possible to perform a MESS-E-QM/MM simulation for each low-energy rotational substate and then combine the data according to a scheme proposed by Straatsma and McCam-

mon.<sup>58</sup> Following recent work,<sup>11,59</sup> it is also possible to account for the free energy costs of imposing constraints on the bonded terms by performing harmonic analyses and correcting for the Jacobian factors of each frame of the trajectory. The required Hessians can be approximated at the MM level due to the stiffness of the bonded terms.

- Relaxing the solute geometry for one step at regular intervals (e.g., every 10,000 time steps) during the MM free energy simulation. This is similar to the microiterative approach,<sup>60</sup> sequential sampling,<sup>15</sup> and hybrid differential relaxation algorithm.<sup>61</sup> If the QM/MM sampling frequency remains at 100 timesteps, then during each interval there will be 100 frames with identical solute geometry where MESS-E-QM/MM can be applied to reliably estimate QM/MM energies. Since the HOMO–LUMO gap is expected to be insensitive to molecular geometry, we expect the same scaling factor for MESS-E can be applied for all solute geometries.

- Combining MESS-E (which approximates the response of the solute molecule to external perturbations from solute molecules) and accurate/efficient models for mapping the solute potential energy surface (i.e., energy changes due to internal perturbations–solute geometry relaxation). There, the solute potential energy surface can be described either by a sophisticated force field<sup>62–64</sup> or interpolation methods.<sup>65,66</sup> With this approach, one also has to account for the coupling between responses to external perturbations and those due to internal perturbations.

These three approaches will be compared in future studies.

## VI. CONCLUSIONS

In this work, we computed hydration free energies for the blind SAMPL4 test set and 12 small molecules using a fixed gas-phase geometry. Three different levels of theory were applied: (a) classical molecular dynamics based free energy simulations with 24  $\lambda$  points; (b) MESS-E-QM/MM-NBB corrections with explicit solvents; and (c) Minnesota implicit solvent models (SM8, SM12MK, SM12CHELPG, and SMD).

The main observations are

- The MESS-E-QM/MM-NBB correction is computationally 60 to 200 times faster than the full QM/MM-NBB correction for the blind SAMPL4 test set. It provides results that deviate from fully converged QM/MM-NBB results only by a RMSE of 0.18 kcal/mol for the SAMPL4 data set and by a smaller RMSE of 0.10 or 0.15 kcal/mol for the 12 small molecules.

- Compared to the MM stage of the calculation, the MESS-E-QM/MM-NBB correction only adds 7% to 12% additional cost. MESS-E-QM/MM provides a very fast way to compute the QM/MM-NBB correction to MM hydration free energies for rigid solute molecules.

- The MESS-E-QM/MM-NBB corrected hydration energies become systematically more negative with higher ratios of Hartree–Fock exact exchange, i.e. from BLYP to B3LYP to PBE0 to M06-2X and to  $\omega$ B97X-D. This reflects stronger and stronger permanent QM/MM electrostatic interactions with higher Hartree–Fock exchange. Out of these five functionals, BLYP is most compatible with the TIP3P solvent model and leads to the most accurate hydration free energies for molecules in this study. More sophisticated quantum chemistry methods like ATT-RIMP2 and XYGJ-OS are even less compatible with the TIP3P solvent model and lead to less accurate hydration free energies for the 12 small molecules.

- The QM/MM polarization energies contribute significantly to the QM/MM-NBB correction to the MM hydration free

energies. If the QM/MM polarization energies are simply neglected, the computed hydration free energies can change by about 2 kcal/mol for the 12 small molecules.

- The implicit solvent methods in general provide results with comparable accuracy. For three models, SM12MK, SM12CHELPG, and SMD, the implicit solvation free energies also exhibited a systematic shift with increasing ratios of Hartree–Fock exchange.

At the same time, besides the frozen-geometry issue discussed in the last section, this work has other limitations:

- So far, MESS-E-QM/MM has only been applied to the estimation of QM/MM energies. It has to be extended to QM/MM gradient calculations, which will be necessary for driving QM/MM dynamics and for computing rigid-body enthalpic/entropic corrections mentioned above.

- So far we have applied the MESS-E-QM/MM scheme on charge-neutral systems, and its application to charged systems has yet to be investigated.

and we are working to address some of these limitations.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jctc.5b00874.

Implicit solvation free energies, gas-phase dipole moments, HOMO–LUMO gaps, and optimized  $\lambda$  value for the molecules studied in this work, average changes in QM/MM permanent electrostatic energy and in QM/MM polarization energy with density functionals for the 12 small molecules, and QM/MM-NBB-corrected hydration free energies with different sampling frequencies (PDF)

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### Notes

The authors declare no competing financial interest.

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