

Neutrino Masses and Oscillations

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⇒ neutrinos have a mass (SNO, Super-K '03)

⇒ lepton number is not conserved (can have $\nu_e \rightarrow \nu_\mu$, etc.)
assume that ⇒ may have ν 's mixing

⇒ similar to quarks have

mass eigenstates \neq weak eigenstates

$$(\nu_1, \nu_2, \nu_3) \quad (\nu_e, \nu_\mu, \nu_\tau)$$

⇒ for simplicity, consider 2 generations:

$$\begin{pmatrix} \nu_\mu \\ \nu_e \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Pontecorvo '58, '68

Maki et al '62

Gribov & Pontecorvo '69

θ = mixing angle

Consider an EW process which produces either ν_e or

ν_μ . Specifically, let's say ν_μ is produced:

$$|\nu_\mu(t=0)\rangle = \cos \theta |\nu_1(t=0)\rangle + \sin \theta |\nu_2(t=0)\rangle$$

$$\text{Now, } |\nu_1(t)\rangle = e^{-iE_1 t} |\nu_1(0)\rangle, \quad |\nu_2(t)\rangle = e^{-iE_2 t} |\nu_2(0)\rangle$$

$$\text{where } E_1 = \sqrt{p^2 + m_1^2}, \quad E_2 = \sqrt{p^2 + m_2^2}$$

⇒ assume a relativistic beam of neutrinos, all having the same momentum \vec{p} ⇒ plane wave factor $e^{i\vec{p}\cdot\vec{x}}$ is implied implicitly in all wave functions

Similarly, electron neutrino state is

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$$|\nu_e(0)\rangle = -\sin\theta |\nu_1(0)\rangle + \cos\theta |\nu_2(0)\rangle.$$

After time t we have (for the muon neutrino state):

$$\begin{aligned} |\nu_\mu(t)\rangle &= \cos\theta |\nu_1(t)\rangle + \sin\theta |\nu_2(t)\rangle = \\ &= \cos\theta e^{-iE_1 t} |\nu_1(0)\rangle + \sin\theta e^{-iE_2 t} |\nu_2(0)\rangle. \end{aligned}$$

Probability that the state $|\nu_\mu(t)\rangle$ is still a muon neutrino is

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_\mu) &= \left| \langle \nu_\mu(0) | \nu_\mu(t) \rangle \right|^2 = \left| \cos^2\theta e^{-iE_1 t} + \sin^2\theta e^{-iE_2 t} \right|^2 \\ &= \cos^4\theta + \sin^4\theta + \cos^2\theta \sin^2\theta 2\cos[(E_1 - E_2)t] \\ &= 1 + 2\sin^2\theta \cos^2\theta \left\{ \cos[(E_1 - E_2)t] - 1 \right\} \\ &= 1 - \sin^2 2\theta \sin^2\left(\frac{E_1 - E_2}{2} t\right). \end{aligned}$$

For small neutrino masses write $E_i = \sqrt{p^2 + m_i^2} \approx p + \frac{m_i^2}{2p}$

$$\Rightarrow E_1 - E_2 = \frac{m_1^2}{2E_1} - \frac{m_2^2}{2E_2} \approx \frac{m_1^2 - m_2^2}{2E} \quad \text{as } E_1 \approx E_2 \text{ up to higher-order corrections}$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2(2\theta) \sin^2\left(\frac{1.27 \Delta m^2 L}{E}\right)$$

with $\Delta m^2 \equiv m_2^2 - m_1^2 \left(\frac{eV^2}{c^4} \right)$, $L = tc$ (meters), E is in MeV. (19)

$$\text{as } P(\nu_\mu \rightarrow \nu_\mu) + P(\nu_\mu \rightarrow \nu_e) = 1 \Rightarrow$$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta) \sin^2\left(\frac{1.27 \Delta m^2 L}{E}\right)$$

$\Rightarrow \nu_\mu$ can turn into ν_e & vice versa

\Rightarrow neutrino oscillations!

Solar neutrino problem: # ν_e 's from the Sun was (w/o oscillations)

~ 3 times smaller than expected from solar models.

(Ray Davies '68 \sim experiment, John Bahcall '80 \sim solar theory)

\rightarrow SNO experiment in 2003 measured ν_e and ν_μ

from the sun: total # of neutrinos was in

agreement with solar models \Rightarrow oscillations!

\rightarrow see also Super-Kamiokande, KamLAND, Daya Bay.

\Rightarrow for 3 neutrino flavors write:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Pontecorvo-Maki
-Makagawa-Sakata
(PMNS) matrix

unitary

Common parameterization:

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$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13} e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix}.$$

$$\cdot \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \delta = \text{CP violating phase}$$

$$\theta_{12} \approx 33^\circ, \quad \theta_{23} = 40^\circ, \quad \theta_{13} \approx 8.7^\circ$$

$$\Delta m_{12}^2 = 8 \times 10^{-5} \text{ eV}^2 \quad \Delta m_{32}^2 = 2.4 \times 10^{-3} \text{ eV}^2$$

~ note large mixing angles

=> mass hierarchy has not been established for neutrinos (i.e., is it $m_1 < m_2 < m_3$ or $m_3 > m_2 > m_1$, or something else).