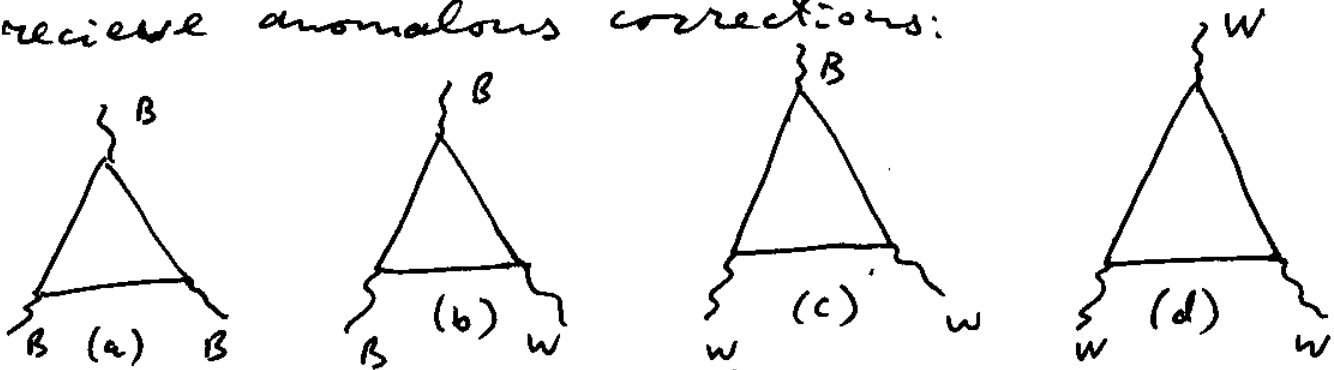


Last time: talked about  $\pi^0$  decay into  $\gamma\gamma$ , and argued that it is possible due to axial anomaly. The decay rate is (we did not derive this):

$$\Gamma(\pi^0 \rightarrow \gamma\gamma) = \frac{\alpha_{EM}^2}{64\pi^3} \frac{m_\pi^3}{f_\pi^2}$$

$\Rightarrow$  We then considered axial anomaly contribution to 3-vector meson coupling in SM & argued that for the theory to be gauge-invariant & renormalizable the anomaly should cancel.

Writing the SM Lagrangian in terms of the Abelian field  $B_\mu$  & the non-Abelian field  $\vec{W}_\mu$  we considered 3 types of vertices which may receive anomalous corrections:



$\sim$  Summing over all quarks & leptons in the loops we showed that  $(a)=0$ ,  $(b)=0$ ,  $(c)=0$ ,  $(d)=0$ .

$\Rightarrow$  anomaly cancels in SM!

# Instantons

Consider  $SU(2)$  Yang-Mills theory in

Euclidean space:  $x_\mu x^\mu = (x^0)^2 + \vec{x}^2$ ,  $\mu=1,2,3,4$  a time

$$A_\mu = \sum_{a=1}^3 \frac{\tau^a}{2} A_\mu^a, \quad F_{\mu\nu} = \sum_{a=1}^3 \frac{\tau^a}{2} F_{\mu\nu}^a, \quad \tau^a \sim \text{Pauli matrices}$$

$$\mathcal{L} = -\frac{1}{2} \text{tr}(F_{\mu\nu} F^{\mu\nu})$$

redefine  $A_\mu^{\text{new}} = \frac{g}{i} A_\mu^{\text{old}} \Rightarrow \mathcal{L} = \frac{1}{2g^2} \text{tr}(F_{\mu\nu} F^{\mu\nu})$

with  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu]$ .

Gauge transformation now is

$$A_\mu \rightarrow U A_\mu U^{-1} + U \partial_\mu U^{-1}$$

$\Rightarrow$  look for solution of Y-M equations

in vacuum  $D_\mu F^{\mu\nu} = 0$

which is localized in space-time:

$$F_{\mu\nu}(x) \xrightarrow{|x| \rightarrow \infty} 0$$

However,  $F_{\mu\nu} = 0$  does not imply  $A_\mu = 0$ .

The field could be "pure gauge":

$$A_\mu \Big|_{|x| \rightarrow \infty} = U \partial_\mu U^{-1}$$

In  $A^0 = 0$  gauge one can classify different  $U$ 's by the winding (Pontryagin) number

$$n_w = \frac{1}{24\pi^2} \int d^3x \epsilon^{ijk} \text{Tr} \left[ (U^\dagger \partial_i U) (U^\dagger \partial_j U) (U^\dagger \partial_k U) \right]$$

Written in terms of gauge fields it is called Chern-Simons number

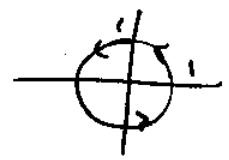
$$n_{CS} = \frac{1}{16\pi^2} \int d^3x \epsilon^{ijk} \left( A_i^a \partial_j A_k^a + \frac{1}{3} \epsilon^{abc} A_i^a A_j^b A_k^c \right)$$

Winding # counts how many times the manifold is covered.

Example  $f(\theta) = e^{im\theta}$ ,  $0 \leq \theta \leq 2\pi$   
 $m \sim \text{integer}$

$$\Rightarrow n_w = \int_0^{2\pi} \frac{d\theta}{2\pi i} \frac{f'(\theta)}{f(\theta)} \Rightarrow n_w = m \sim \text{counts}$$

how many times  $f(\theta)$  went around the unit circle.



$f(\theta)$  maps a circle (1-sphere) onto  $U(1)$  group space.

Example | map 3-sphere onto  $SU(2)$  group

space: an element of  $SU(2)$  is

$$U = e^{i \vec{a} \cdot \vec{\tau}}, \quad \vec{\tau} \sim \text{Pauli matrices}$$

Equivalently  $U = \cos |\vec{a}| + i \frac{\vec{a} \cdot \vec{\tau}}{|\vec{a}|} \sin |\vec{a}|$

$$= u_0 + i \vec{a} \cdot \vec{\tau}$$

with  $u_0^2 + \vec{a}^2 = 1 \Rightarrow$  a 3-sphere.

Define a non-gauge invariant current

$$K_\mu = 4 \epsilon_{\mu\nu\rho\sigma} \text{tr} \left[ A_\nu \partial_\rho A_\sigma + \frac{2}{3} A_\nu A_\rho A_\sigma \right]$$

One can show that  $\partial_\mu K^\mu = 2 \text{tr} (F_{\mu\nu} \tilde{F}^{\mu\nu})$

where the dual field strength is defined

by 
$$\tilde{F}_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} F_{\rho\sigma}$$

$$\begin{aligned}
2 \text{tr} (F_{\mu\nu} \tilde{F}^{\mu\nu}) &= \text{tr} (\epsilon^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma}) = \\
&= \text{tr} \left[ \epsilon^{\mu\nu\rho\sigma} (\partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu]) (\partial_\rho A_\sigma - \partial_\sigma A_\rho \right. \\
&\quad \left. + [A_\rho, A_\sigma]) \right] = \text{tr} \left[ \epsilon^{\mu\nu\rho\sigma} (4 (\partial_\mu A_\nu) (\partial_\rho A_\sigma) + \right. \\
&\quad \left. + 2 \partial_\mu A_\nu [A_\rho, A_\sigma] + 2 [A_\mu, A_\nu] \partial_\rho A_\sigma + [A_\mu, A_\nu] \cdot \right. \\
&\quad \left. \cdot [A_\rho, A_\sigma]) \right] = \epsilon^{\mu\nu\rho\sigma} \text{tr} \left[ 4 \partial_\mu (A_\nu \partial_\rho A_\sigma) + \right. \\
&\quad \left. + 4 \partial_\mu A_\nu \underbrace{[A_\rho, A_\sigma]}_{2 A_\rho A_\sigma} + [A_\mu, A_\nu] [A_\rho, A_\sigma] \right]
\end{aligned}$$

~~$\text{tr} [A_\mu A_\nu A_\rho A_\sigma + A_\nu A_\rho A_\sigma A_\mu + A_\rho A_\sigma A_\mu A_\nu + A_\sigma A_\mu A_\nu A_\rho]$~~

Now  $\epsilon^{\mu\nu\rho\sigma} \text{tr} [[A_\mu, A_\nu] [A_\rho, A_\sigma]] = \epsilon^{\mu\nu\rho\sigma}$

$$\begin{aligned}
& A_\mu^a A_\nu^b A_\rho^c A_\sigma^d \quad \epsilon^{fab} \epsilon^{cde} \text{tr}(T^e T^f) \\
&= -\epsilon^{\mu\nu\rho\sigma} \cdot \frac{1}{2} A_\mu^a A_\nu^b A_\rho^c A_\sigma^d f^{abe} f^{cde} \\
&= -\epsilon^{\mu\nu\rho\sigma} \frac{1}{2} A_\mu^a A_\nu^b A_\rho^c A_\sigma^d \left( \frac{2}{3} (S^{ac} S^{bd} - S^{ad} S^{bc}) \right. \\
&\quad \left. + (d^{ace} d^{bde} - d^{bce} d^{ade}) \right) = 0
\end{aligned}$$

as  $d^{abc}$  are absolutely symmetric

$$d^{abc} = 2 \text{tr}(T^a \{T^b, T^c\})$$

We get  $2 \text{tr}(F_{\mu\nu} \tilde{F}^{\mu\nu}) = 4 \epsilon^{\mu\nu\rho\sigma} \text{tr}[\partial_\mu (A_\nu \partial_\rho A_\sigma) + 2 (\partial_\mu A_\nu) A_\rho A_\sigma] = \partial_\mu K^\mu$ , as desired.

$\frac{1}{3} \partial_\mu (A_\nu A_\rho A_\sigma) \sim$  cyclic property of the trace

$$\Rightarrow N_{CS} = \frac{1}{16\pi^2} \cdot \frac{1}{2} \cdot \int d^4x K^\mu$$

Define Topological charge (4d Pontryagin index)

$$Q = \frac{1}{16\pi^2} \int d^4x \text{tr}[F_{\mu\nu} \tilde{F}^{\mu\nu}]$$

$$\Rightarrow Q = \frac{1}{32\pi^2} \int d^4x \partial_\mu K^\mu$$

Euclidean action

$$S_E = \frac{1}{2g^2} \int d^4x \operatorname{tr}(F_{\mu\nu} F^{\mu\nu})$$

$$\Rightarrow \text{as } \int d^4x \operatorname{tr}(F_{\mu\nu} \pm \tilde{F}_{\mu\nu})^2 \geq 0 \quad (\tilde{F}_{\mu\nu} \tilde{F}^{\mu\nu} = F_{\mu\nu} F^{\mu\nu})$$

$$\Rightarrow \int d^4x \operatorname{tr}(F_{\mu\nu} F^{\mu\nu} \pm F_{\mu\nu} \tilde{F}^{\mu\nu}) \geq 0$$

$$\Rightarrow \int d^4x \operatorname{tr} F_{\mu\nu} F^{\mu\nu} \geq \mp \int d^4x \operatorname{tr} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$\Rightarrow S_E = \frac{1}{2g^2} \int d^4x \operatorname{tr} F_{\mu\nu} F^{\mu\nu} \geq \frac{1}{2g^2} \left| \int d^4x \operatorname{tr} F_{\mu\nu} \tilde{F}^{\mu\nu} \right|$$

$\frac{1}{2g^2} \cdot 16\pi^2 Q$

$$\Rightarrow \boxed{S_E \geq \frac{8\pi^2}{g^2} \cdot Q}$$

the minimum of the action is reached

when  $F_{\mu\nu} = \pm \tilde{F}_{\mu\nu}$

$\Rightarrow$  fields are (anti)-self-dual!

$\Rightarrow$  as the action is minimized  $\Rightarrow$  also a solution of YM equations

If we find a solution which falls off (102)  
fast as  $|\vec{x}| \rightarrow \infty \Rightarrow$

$$\begin{aligned} \mathcal{Q} &= \frac{1}{16\bar{u}^2} \int d^4x \operatorname{tr} [F_{\mu\nu} \tilde{F}^{\mu\nu}] = \frac{1}{32\bar{u}^2} \int d^4x \partial_\mu K^\mu \\ &= \frac{1}{32\bar{u}^2} \int dt \frac{d}{dt} \int d^3x K^0 = n_{CS}(t=+\infty) - n_{CS}(t=-\infty) \end{aligned}$$

$\Rightarrow$  we may have a field configuration which takes us from a vacuum with one  $n_{CS}$  at  $t=-\infty$  to another one (with different  $n_{CS}$ ) at  $t=+\infty$  ~ quantum tunneling.

Define 't Hooft symbol  $\gamma_{a\mu\nu}$  by

$$\gamma_{a\mu\nu} = \begin{cases} \epsilon_{a\mu\nu} & , \mu, \nu = 1, 2, 3 \\ \delta_{a\mu} & , \nu = 4 \\ -\delta_{a\nu} & , \mu = 4 \end{cases}$$

$a = 1, 2, 3$  ~ color index.

$$\gamma_{a\mu\nu} = -\gamma_{a\nu\mu}$$

$A_\mu^a = 2 \gamma_{a\mu\nu} \frac{x_\nu}{x^2}$  is a pure gauge

solution with  $F_{\mu\nu}^a = 0$  everywhere.

(check!)



To construct instanton solution use

the ansatz:

$$A_\mu^a = 2\eta_{a\mu\nu} \frac{x_\nu}{x^2} f(x^2)$$

with  $f \rightarrow 1$  as  $x^2 \rightarrow \infty$ .

Plug this into  $F_{\mu\nu}^a = \tilde{F}_{\mu\nu}^a$ .

Should get  $x^2 f'(x^2) - f(1-f) = 0$ .

$$\begin{aligned}
F_{\mu\nu}^a &= \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + \epsilon^{abc} A_\mu^b A_\nu^c = \\
&= 2\eta_{a\mu\nu} \partial_\mu \left( \frac{x_\nu}{x^2} f(x^2) \right) - 2\eta_{a\nu\mu} \partial_\nu \left( \frac{x_\mu}{x^2} f(x^2) \right) + \\
&+ \epsilon^{abc} \cdot 4 \eta_{b\mu\rho} \eta_{c\nu\sigma} \frac{x_\rho}{x^2} \frac{x_\sigma}{x^2} f^2(x^2) = \underbrace{2\eta_{a\nu\mu}}_{\substack{\leftarrow \text{Su}(2) \\ 0}} \frac{f(x^2)}{x^2} \\
&- \underbrace{2\eta_{a\mu\nu}} \frac{f}{x^2} - \underbrace{2\eta_{a\nu\mu}} x_\rho \frac{2x_\mu}{(x^2)^2} f + \underbrace{2\eta_{a\mu\rho}} x_\rho \frac{2x_\nu}{(x^2)^2} f \\
&+ 4 \eta_{a\nu\mu} \frac{x_\rho}{x^2} \cdot x_\mu \cdot f' - 4 \eta_{a\mu\rho} \frac{x_\rho}{x^2} x_\nu f' + \\
&+ 4 \frac{x_\rho x_\sigma}{(x^2)^2} f^2(x^2) \cdot \left[ \delta_{\rho\nu} \eta_{a\sigma\mu} - \delta_{\rho\mu} \eta_{a\sigma\nu} + \delta_{\rho\sigma} \eta_{a\mu\nu} \right. \\
&\left. - \delta_{\rho\nu} \eta_{a\mu\sigma} \right]
\end{aligned}$$

where we've used  $\epsilon^{abc} \eta_{b\mu\rho} \eta_{c\nu\sigma} = \delta_{\mu\sigma} \eta_{a\rho\nu}$

$$- \delta_{\rho\sigma} \eta_{a\mu\nu} + \delta_{\rho\sigma} \eta_{a\mu\nu} - \delta_{\rho\nu} \eta_{a\mu\sigma}$$

$$\begin{aligned}
 F_{\mu\nu}^a &= -4 \eta_{\alpha\mu\nu} \frac{f}{x^2} (1-f) - 4 \eta_{\alpha\nu\beta} \frac{x_\beta x_\mu}{(x^2)^2} f (1-f) \\
 &+ 4 \eta_{\alpha\mu\beta} \frac{x_\beta x_\nu}{(x^2)^2} f (1-f) + 4 \eta_{\alpha\nu\beta} \frac{x_\mu x_\beta}{x^2} f' - 4 \eta_{\alpha\mu\beta} \\
 &\cdot \frac{x_\beta x_\nu}{x^2} f'
 \end{aligned}$$

$$\tilde{F}_{\mu\nu} = \frac{1}{2} \varepsilon_{\mu\nu\sigma\tau} F_{\sigma\tau} = \frac{1}{2} \varepsilon_{\mu\nu\alpha\beta} F_{\alpha\beta} =$$

$$\begin{aligned}
 \text{(using } \varepsilon_{\mu\nu\alpha\beta} \eta_{\alpha\beta\gamma\delta} &= \delta_{\beta\mu} \eta_{\alpha\nu\delta} + \delta_{\beta\nu} \eta_{\alpha\mu\delta} + \\
 &+ \delta_{\beta\mu} \eta_{\alpha\nu\delta} + \delta_{\beta\nu} \eta_{\alpha\mu\delta})
 \end{aligned}$$

$$= -2 \varepsilon_{\mu\nu\alpha\beta} \eta_{\alpha\beta\gamma\delta} \frac{1}{x^2} f (1-f) - 2 \varepsilon_{\mu\nu\alpha\beta} \eta_{\alpha\beta\gamma\delta} \cdot$$

$$\cdot \frac{x_\beta x_\alpha}{(x^2)^2} f (1-f) + 2 \varepsilon_{\mu\nu\alpha\beta} \eta_{\alpha\beta\gamma\delta} \frac{x_\beta x_\gamma}{(x^2)^2} f (1-f) +$$

$$+ 2 \varepsilon_{\mu\nu\alpha\beta} \eta_{\alpha\beta\gamma\delta} \frac{x_\alpha x_\beta}{x^2} f' - 2 \varepsilon_{\mu\nu\alpha\beta} \eta_{\alpha\beta\gamma\delta} \frac{x_\beta x_\gamma}{x^2} f'$$

$$= -2 \left( \eta_{\alpha\nu\mu} + 4 \eta_{\alpha\mu\nu} + \eta_{\alpha\nu\mu} \right) \frac{1}{x^2} f (1-f) +$$

$\underbrace{\hspace{10em}}_{2 \eta_{\alpha\mu\nu}}$

$$+ 2 \left( \delta_{\beta\mu} \eta_{\alpha\nu\delta} + \delta_{\beta\nu} \eta_{\alpha\mu\delta} + \delta_{\beta\mu} \eta_{\alpha\nu\delta} \right) \frac{x_\beta x_\alpha}{(x^2)^2} f (1-f)$$

$$+ 2 \left( \delta_{\beta\mu} \eta_{\alpha\nu\delta} + \delta_{\beta\nu} \eta_{\alpha\mu\delta} + \delta_{\beta\mu} \eta_{\alpha\nu\delta} \right) \frac{x_\beta x_\gamma}{(x^2)^2} f (1-f)$$

$$- 2 \left( \delta_{\beta\mu} \eta_{\alpha\nu\delta} + \delta_{\beta\nu} \eta_{\alpha\mu\delta} + \delta_{\beta\mu} \eta_{\alpha\nu\delta} \right) \frac{x_\alpha x_\beta}{x^2} f' \cdot 2$$

$$= \cancel{-4 \eta_{\mu\nu} \frac{1}{x^2} f(1-f)} + 4 \eta_{\nu\alpha} \frac{x_\mu x_\alpha}{(x^2)^2} f(1-f)$$

$$+ \cancel{4 \eta_{\mu\nu} \frac{1}{x^2} f(1-f)} + 4 \eta_{\alpha\mu} \frac{x_\nu x_\alpha}{(x^2)^2} f(1-f)$$

$$- 4 \eta_{\nu\alpha} \frac{x_\alpha x_\mu}{x^2} f' - 4 \eta_{\mu\nu} f' - 4 \eta_{\alpha\mu} \frac{x_\alpha x_\nu}{x^2} f'$$

$$\Rightarrow \tilde{F}_{\mu\nu} = 4 \eta_{\nu\alpha} \frac{x_\mu x_\alpha}{(x^2)^2} f(1-f) + 4 \eta_{\alpha\mu} \frac{x_\nu x_\alpha}{(x^2)^2} f(1-f)$$

$$- 4 \eta_{\nu\alpha} \frac{x_\alpha x_\mu}{x^2} f' - 4 \eta_{\mu\nu} f' - 4 \eta_{\alpha\mu} \frac{x_\alpha x_\nu}{x^2} f'$$

Now require  $F_{\mu\nu} = \tilde{F}_{\mu\nu}$ :

$\eta_{\mu\nu}$  - term:  $-\frac{f(1-f)}{x^2} = -f' \Rightarrow x^2 f' - f(1-f) = 0$

$\eta_{\nu\alpha} / \eta_{\alpha\mu}$  term:  $-\frac{f(1-f)}{(x^2)^2} + \frac{f'}{x^2} = \frac{f(1-f)}{(x^2)^2} - \frac{f'}{x^2}$

$\Rightarrow$  again get  $x^2 f' - f(1-f) = 0$ .

$\eta_{\alpha\mu} / \eta_{\mu\nu}$  terms:  $\frac{1}{(x^2)^2} f(1-f) - \frac{f'}{x^2} = -\frac{1}{(x^2)^2} f(1-f) + \frac{1}{x^2} f'$

$\Rightarrow$  the same.

We see that  $x^2 f' - f(1-f) = 0$

$$\xi = x^2 \Rightarrow \xi' = f(1-f)$$

$$\Rightarrow \frac{df}{f(1-f)} = \frac{d\xi}{\xi} \Rightarrow \ln \xi + \text{const} = \int \frac{df}{f(1-f)}$$

$$= \int df \left( \frac{1}{f} + \frac{1}{1-f} \right) = \ln f - \ln(1-f) = \ln \frac{f}{1-f}$$

$$\Rightarrow \frac{f}{1-f} = c \cdot \xi \Rightarrow f = c \xi (1-f) \Rightarrow$$

$$\Rightarrow f(1 - c \xi) = -c \xi \Rightarrow f = \frac{-c \xi}{1 - c \xi} =$$

$$= \frac{\xi}{\xi - \frac{1}{c}} \Rightarrow f(x^2) = \frac{x^2}{x^2 + \rho^2}, \text{ where } \rho^2 = -\frac{1}{c}$$

is the integration constant  $\Rightarrow$

$$A_\mu^a = \frac{2 \gamma a \mu \nu x_\nu}{x^2 + \rho^2}$$

$x_\mu A_\mu = 0$   
gauge

solves YM equations in vacuum.

$\Rightarrow$  single - instanton solution

(Belavin, Polyakov, Schwartz, Tyupkin '75)

$\Rightarrow \rho \sim$  "size" of instanton, arbitrary.

=> this solution corresponds to  $Q = 1$

=> provides a tunneling transition between a vacuum with CS number  $n$  to a vacuum with CS number  $n + 1$ .

=> the action is  $S_E = \frac{8\pi^2}{g^2} |Q| = \frac{8\pi^2}{g^2}$

=> the tunneling amplitude is

$P_{\text{tunneling}} \propto e^{-S_E} = e^{-\frac{8\pi^2}{g^2}} = e^{-\frac{2\pi}{\alpha_s}}$

$P \propto e^{-\frac{2\pi}{\alpha_s}}$  ~ not analytic at  $\alpha_s = 0$  =>

-> non-perturbative!

QCD vacuum may be full of instantons and anti-instantons popping out of the vacuum & disappearing.

anti-instanton:  $Q = -1$  solution,  $\gamma_{\mu\nu} \rightarrow \bar{\gamma}_{\mu\nu}$

$$\bar{\gamma}_{\mu\nu} = \begin{cases} \epsilon_{\mu\nu\rho\sigma}, & \mu, \nu = 1, 2, 3 \\ -\delta_{\mu\nu}, & \nu = 4 \\ \delta_{\mu\nu}, & \mu = 4 \end{cases}$$

~ gives a transition between  $n_{CS} \rightarrow n_{CS} - 1$  vacua.