

Why epenthesis can help us answer the question of where phonology comes from

Rebecca L. Morley
The Ohio State University

Stony Brook Workshop on Epenthesis
September 19, 2021

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Why epenthesis¹ can help us answer the question of where phonology² comes from

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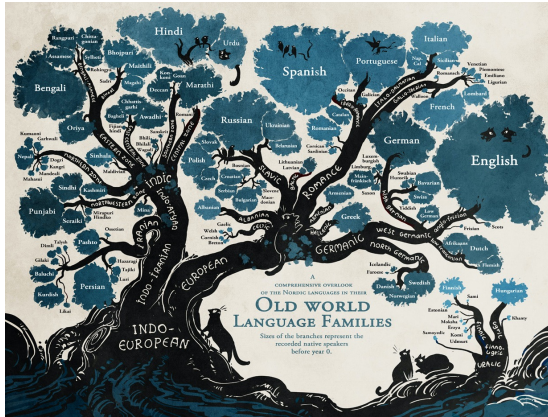
¹ There are a number of different kinds of epenthesis, and “epenthesis” means different things to different people

² Can phonology be reliably differentiated from phonetics?

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Where does phonology come from?

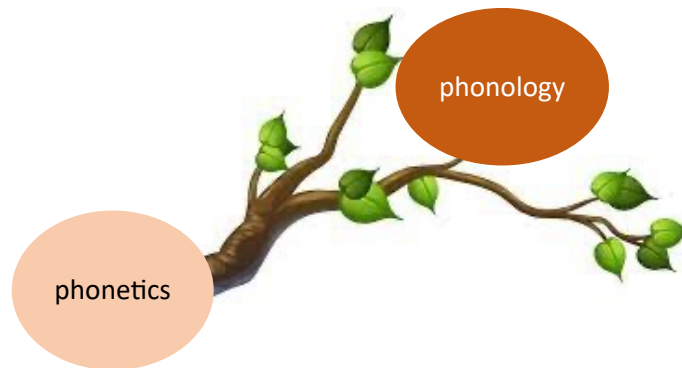


VS.



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- What differentiates phonetics from phonology?
 - Is phonology more abstract?
 - Do all phonological patterns have a phonetic source?
 - Are phonological patterns merely the residue of sound change?

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What is the most abstract phonological phenomenon?

EPENTHESIS!

CONSONANT EPENTHESIS

HARMONY-INCREASING

CONSONANT EPENTHESIS

not involving: w, j, h, ʔ, u, ɣ

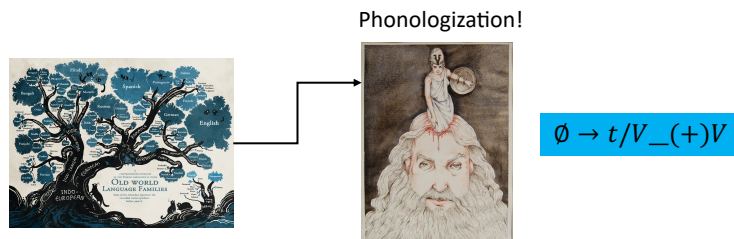
Ajyíninka Apurucayali : (better known as *Axininca Campa*) Payne (1981)

/i/ + /N/ + /kim/ + /i/ →	[iŋkimi]	s/he will hear
/i/ + /N/ + /pija/ + /i/ →	[impijati]	s/he will avenge
/i/ + /pija/ + /piro/ →	[ipijapiro]	s/he truly avenges

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Stage 1 : Where does Phonology come from?



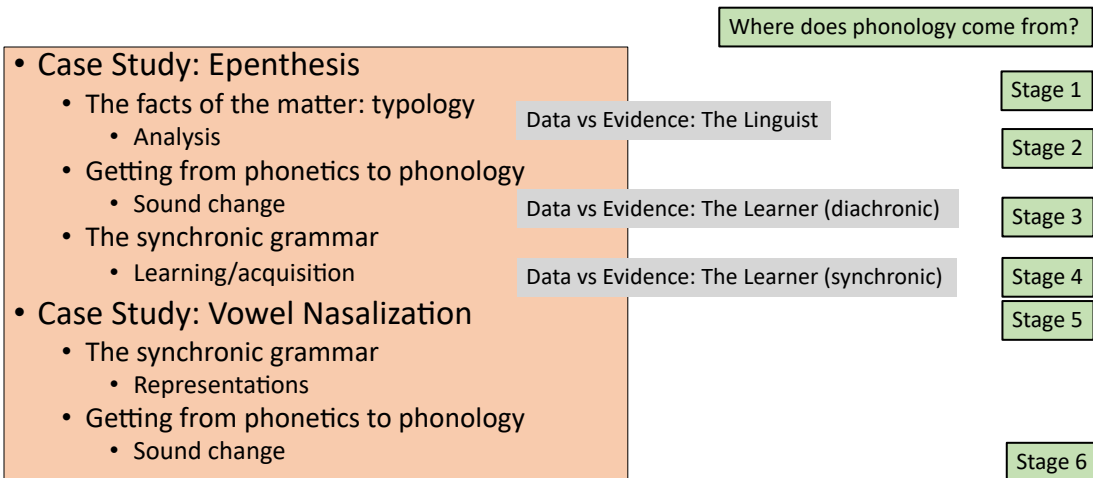
Assumptions:

- Phonological forms are generated via algorithm (rules/constraints)
- Synchronic phonological algorithms derive from diachronic processes
- Diachronic processes transform phonetic algorithms to phonological algorithms

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Itinerary



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A Quick Note about Notation

- I will use SPE-style notation in a number of slides
- It is only that these representations are more transparent and intuitive for people
- It does not mean that I am assuming that this is what the generative grammar looks like
- **In fact, all of the analysis I describe here is what must occur prior to the analysis of rule ordering or constraint interaction (what are the URs/inputs?)**
- What this means is that there is typically much less attention paid to this step of analysis by theoretical phonologists
- And a glaring absence of formal machinery, or even consensus heuristics

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Case Study

Epenthesis

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Data vs. Evidence

The Linguist

Morley, R. L. (2015). Deletion or epenthesis? On the falsifiability of phonological universals. *Lingua*, 154, 1-26.

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Data vs. Evidence

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Data vs. Evidence

The Linguist

Data	"3 year old sheepskin"	"parasitic worm"	
Acc.	[pamito]	[fisemo]	/o/
Nom.	[pami]	[fisem]	∅
	/pami/	/fisem/	

Evidence

The result of phonological analysis

$$\emptyset \rightarrow t/V_ (+) V$$

12

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Data vs. Evidence

The Linguist

Data	"3 year old sheepskin"	"parasitic worm"	
Acc.	[pamito]	[fisemo]	/o/
Nom.	[pami]	[fisem]	∅
	/pamit/	/fisem/	

Evidence

The result of phonological analysis

$t \rightarrow \emptyset / _\#$

13

13

Data vs. Evidence

The Linguist

Data	"3 year old sheepskin"	"parasitic worm"	
Acc.	[pamito]	[fisemo]	/to/
Nom.	[pami]	[fisem]	∅
	/pami/	/fisem/	

Evidence

The result of phonological analysis

$t \rightarrow \emptyset / C(+)__$

14

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Data vs. Evidence

The Linguist

	"3 year old sheepskin"	"parasitic worm"	"my favorite competitive foosball team"	
Acc.	[pamito]	[fisemo]	[oruo]	/o/
Nom.	[pami]	[fisem]	[oru]	∅
	/pami/	/fisem/	/oru/	

What happens when there are exceptions?

In reality, all patterns have exceptions

$t \rightarrow \emptyset / _ \#$

$\emptyset \rightarrow t / V _ (+) V$

With n exceptions

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Data vs. Evidence

The Linguist

What happens when epenthesis isn't always "selected"?

Data	"3 year old sheepskin"	"parasitic worm"	
Acc.	[pamito]	[fisemo]	/to/
Nom.	[pami]	[fisem]	∅
	/pami/	/fisem/	

$\emptyset \rightarrow t / V _ (+) V$

	"3 year old sheepskin"	"parasitic worm"	
Acc Pl.	[pamju]	[fisemu]	/u/
Nom. Pl.	[pamiz]	[fisemz]	/z/
	/pami/	/fisem/	

$i \rightarrow j / _ + u$

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Ajyíninka Apurucayali : (better known as Axininca Campa)

Axininca Campa: Arawakan [Payne 1981]					
t Insertion		Other			
Verbal Suffixes					
Reflexive		Interrogative			
/r + oti + a/ → [hotita]	"The get (put himself) in"	p.126	/pi + n + koma + iia + i/ → [pɨkomaŋimati]	"(You) ready to paddle"	p.123
cf. /r + i/ → [ti] / [i] (h)ka/	"The cut himself"	p.126	cf. /pi + n + i/ → [pɨŋi] / [i] (h)ka/	"(You) ready to cut"	p.123
Perfect		Non-Future			
/no + na + a/ i + ro/ → [nonatakiro]	"I have carried her"	p.109	/no + pi + i/ → [nopisi]	"I sweep"	p.122
cf. /r + i/ → [ti] / a + i + ro/ → [i] (h)ka/	"The has cut her"	p.234	cf. /r + i/ → [ti] / a + i + ro/ → [i] (h)ka/	"The cut it"	p.116
Progressive		Adverbializer			
/r + oti + aia + a/ → [hotitaia]	"He is getting it"	p.31	/i/ → [i] / i + iia/ → [i] (h)rimiti/	"at night"	(136) p.177 p.185 p.198 p.200
cf. /r + i/ → [ti] / aia + i/ → [i] (h)ka/	"He is cutting"	p.233			
Departure		Vowel glide deletion			
/r + i + impi + a/ → [himpiti] / a + a/ → [himpoti] (tanaka)	The followed along behind, in addition, departing"	p.44 p.191 p.209	/r + au + a/ i + ro/ → [haakro]	"He has taken it"	p.116
cf. /r + kanti + a/ a + i + au + a/ → [hanti] / a + a + ni/ → [hanti] (kaŋa) (au) (

--[iniŋ] 'that'			
Repetitive			
/tr + N + koma + i/ → [himpitiŋa]	p.108	cf. /no + i/ → [noŋi]	p.44 p.187 p.200 p.201
cf. /pok + aa + i/ → [pokaŋi]	p.43		
Recently			
		/ŋaŋi + i/ → [ŋaŋiŋi]	(142) p.180 p.191 p.208
Future		Reflexive Future	
/tr + N + koma + i/ → [himpitiŋa]	p.108	/tr + N + kisi + i/ → [himpitiŋa]	p.129
cf. /tr + N + i/ → [ti]	p.126	cf. /tr + N + i/ → [ti]	p.129
Infinitive		Nominative	
/koma + a/ → [komaŋi]	p.55	/tr + N + kisi + i/ → [himpitiŋa]	p.129
cf. /tr + i/ → [ti]	p.126		
Receiving			
/tr + koma + i/ → [himpitiŋa]	p.43 p.188 p.203	/hito + i/ → [hitoŋi]	p.110
cf. /tr + i/ → [ti]	p.126		
Resolved			
/tr + aa + i/ → [aataŋi]	p.46	/ŋiŋi + i/ → [ŋiŋiŋi]	p.128
cf. /tr + koma + i/ → [himpitiŋa]	p.108	/kisi + i/ → [kisiŋi]	p.128
cf. /tr + i/ → [ti]	p.126		
Passive			
/tr + i/ → [ti]	p.126		
1 st person singular			
/tr + i/ → [ti]	p.126		
cf. /tr + i/ → [ti]	p.126		
Purpose			
/tr + oti + a/ → [hotitaŋa]	p.43 p.193 p.212	/ŋiŋi + i/ → [ŋiŋiŋi]	p.128

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“Non-minimal” consonant epenthesis

Seg	Language	Seg	Language
t	Ajyíninka Apurucayali, Maori, Odawa Ojibwa, French, Amharic, Plains Cree, Maru, Finnish, Korean, Kodava	j	Turkish, Uyghur, Greenlandic, various Indic languages, Arabic, Slavic, Tamil, Kodava
k	Maru, Kodava	h	Ayutla Mixtec, Chipewyan, Huariapano, Slave (Bear Lake, Hare), Tigre, Tucanoan, Yagua, Yucatec Maya, Huaripano, Onondaga
g	Mongolian; Buryat	w	Abajero Guajiro, Greenlandic, Arabic, Chamicuro, Tamil
r	English, German, Uyghur, Zaraitzu Basque, Seville Spanish, Anejom, Japanese, Southern Tati	ʔ	Chadic, Cupeno, Lariki, Misantla Totonac, Mohawk, Tsishaath Nootka, Hawaiian, Arabic, Selayarese, German, Ilokano, Czech, Kisar, Malay, Koryak, Indonesian, Gokana, English, Konni, Tunica, Tubatulabal, Nancowry, Tamil
n	Korean, Greek, Dutch, German dialects, Sanskrit, Murut, Tunica	x	Land Dayak
l	Bristol English, Midlands American English, Motu	ʃ	Basque dialects
v	Marathi	ʒ	Cretan and Mani Greek, Basque dialects
b	Basque dialects	ŋ	Buginese
s/z	French, Land Dayak, Dominican Spanish	N	Inuktitut, East Greenlandic, Uradhi, Kaingang

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Epenthesis Typology

Working Diagnostic

Epenthesis is considered the best analysis for patterns that have

- at least 65% of possible contexts participating
- AND an absolute number of at least 5 participating morphemes.
- OR more than 10 participating morphemes

Non-Minimal Segments		Minimal Segments	
Seg.	Language	Seg.	Language
t	Cree	ʔ	Selayarese
t	A. Apurcali	ʔ	Misantla Totonac
k	Waropen	j	Turkish
g	Buryat	j	Berber
n	Dutch		

Maximum number of default epenthesis languages: 9/56

Minimum number of default epenthesis languages : 0/56

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Stage 2 : Where does Phonology come from?

Phonologization!



$\emptyset \rightarrow t/V_ (+)V$

Evidence for this is not great

Assumptions:

- Phonological forms are generated via algorithm (rules/constraints)
- Synchronic phonological algorithms derive from diachronic processes
- Diachronic processes transform phonetic algorithms to phonological algorithms

And there are no formal metrics for assessing either the data or the evidence

[t] does not appear out of thin air

20

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Data vs. Evidence

The Learner

Diachronic

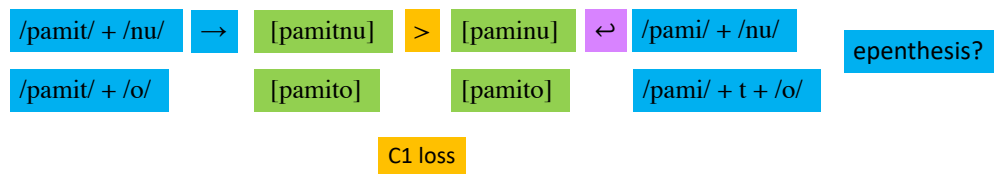
Morley, R. L. (2012). The emergence of epenthesis: An incremental model of grammar change. *Language Dynamics and Change*, 2(1), 59-97.

21

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Data vs. Evidence

The Learner: Diachronic



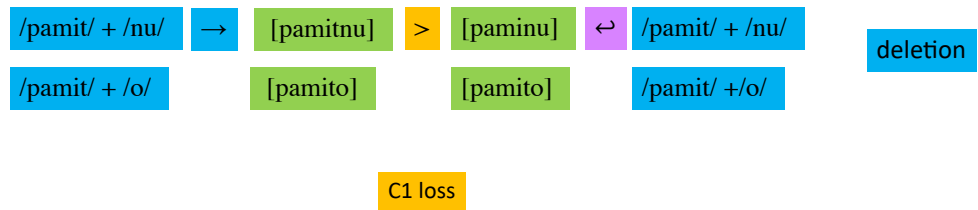
"rule inversion" Venneman (1972)

22

22

Data vs. Evidence

The Learner: Diachronic



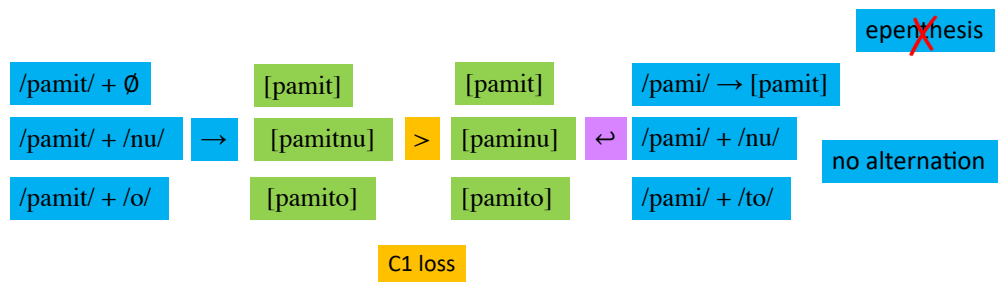
"rule inversion" Venneman (1972)

23

23

Data vs. Evidence

The Learner: Diachronic



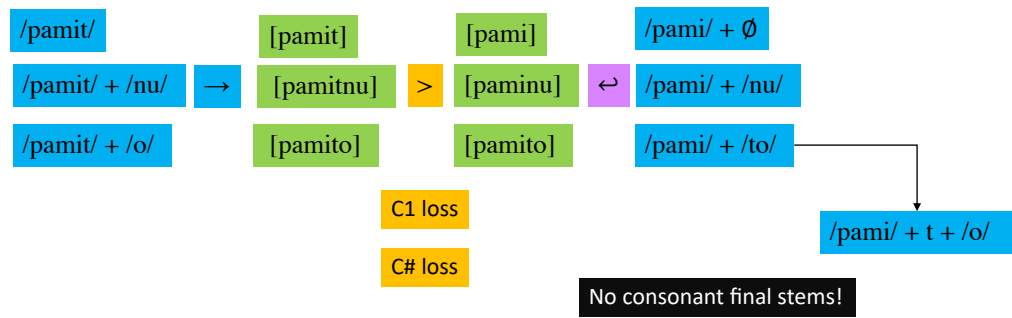
"rule inversion" Venneman (1972)

24

24

Data vs. Evidence

The Learner: Diachronic



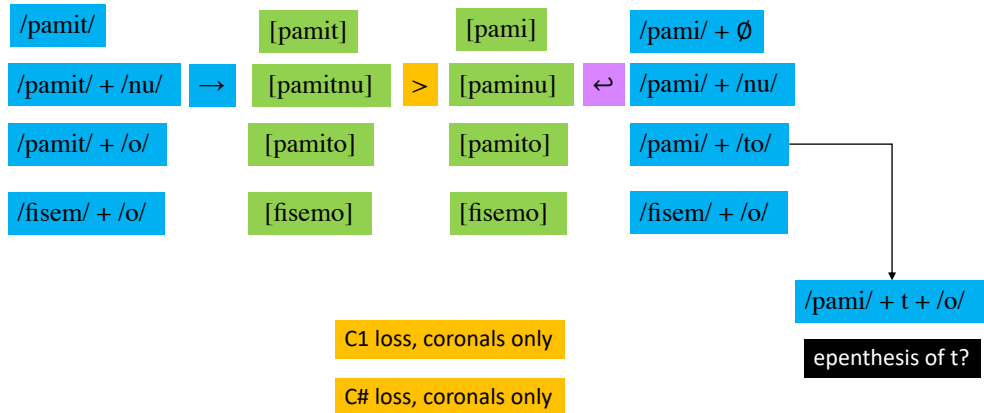
"rule inversion" Venneman (1972)

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Data vs. Evidence

The Learner: Diachronic



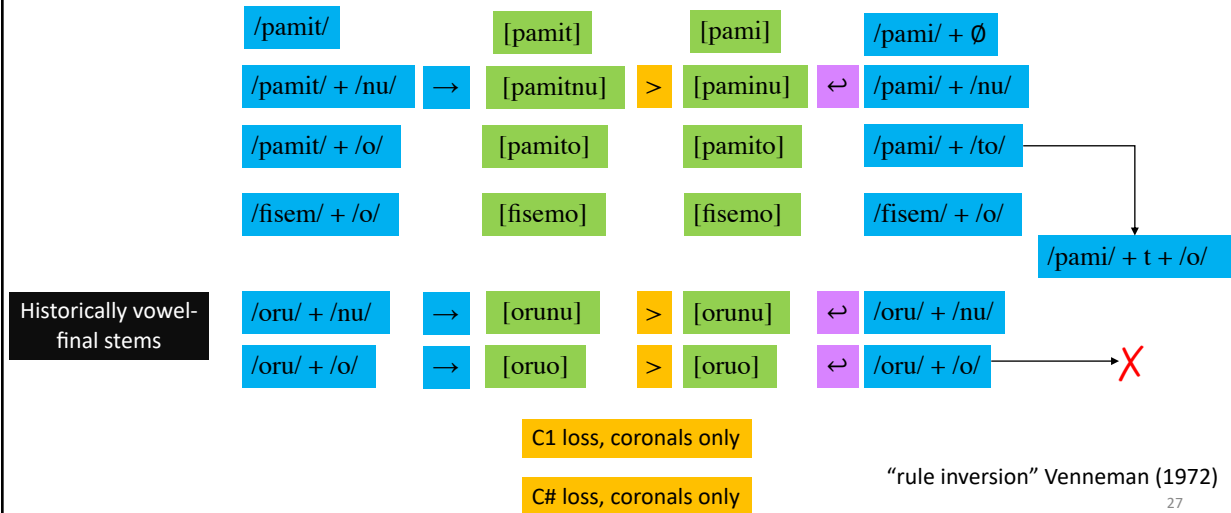
"rule inversion" Venneman (1972)

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Data vs. Evidence

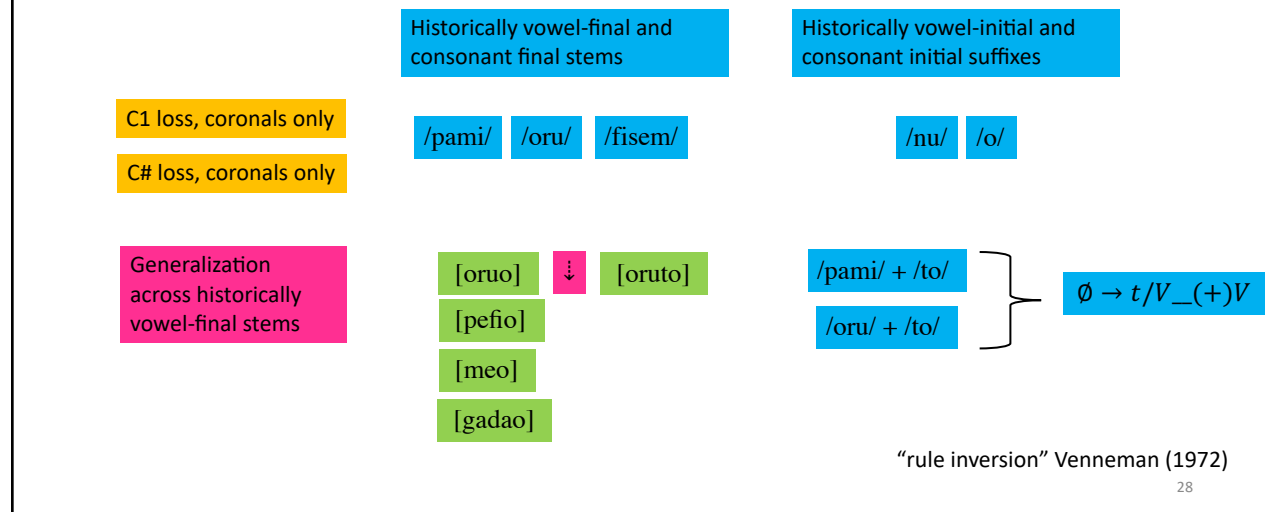
The Learner: Diachronic



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Data vs. Evidence

The Learner: Diachronic



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Data vs. Evidence

The Learner: Diachronic

Otherwise: deletion, suppletive allomorphy

1. Under deletion in consonant clusters C_1 deletes (alternatively, the prefix-final consonant deletes)
2. But only a subset of C_1 's delete
3. Both consonant-final and vowel-final stems are present at time t_i (before deletion)
4. At time t_j (after deletion), the underlying representation of the suffix is vowel-initial, and the underlying representation of the stem is vowel-final
5. All stems end in the same consonant at time t_i (or generalization is required)
6. Regularization over all allomorphs that occur after vowel-final stems (reduction to -CVX)
7. *Failure* to generalize to consonant-final stems (retaining the -VX allomorph)
8. Regularization across all affixes, such that all affixes choose the same C in -CVX/-VX alternations

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Stage 3 : Where does Phonology come from?

Phonologization!



$\emptyset \rightarrow t/V_ (+)V$

Evidence for this is not great

And there are no formal metrics for assessing either the data or the evidence

Assumptions:

- Phonological forms are generated via algorithm (rules/constraints)
- Synchronic phonological algorithms derive from diachronic processes
- Diachronic processes transform phonetic algorithms to phonological algorithms

[t] does not appear out of thin air

Learner's input is messy/inconsistent

Rule inversion is harder than it seems

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Data vs. Evidence

The Learner
Synchronic

Morley, R. L. (2018). Is phonological consonant epenthesis possible? A series of artificial grammar learning experiments. *Phonology*, 35(4), 649-688.

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Data vs. Evidence

The Learner: Synchronic

Generalization across all vowel-initial suffixes

Generalization across all vowel-final stems






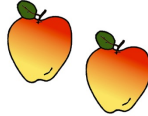









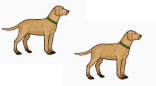
Generalization across all consonant-final stems

No generalization **between** C and V final stems

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Experiments

Training		Test	
 ['ɹatu]	 ['ɹatuwæk]	 ['daɹum]	 ???
			
 ['hædi]	 ['hædijæk]	 ['ribæz]	 ???
			

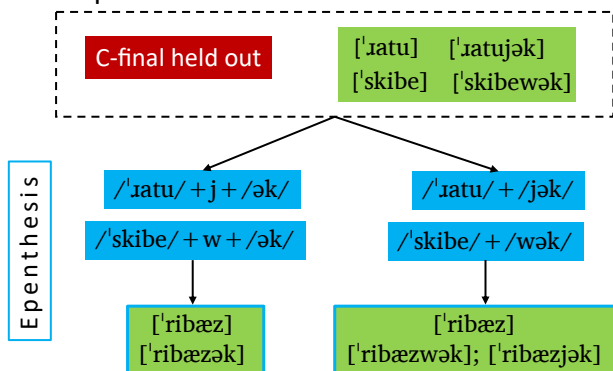
33

33

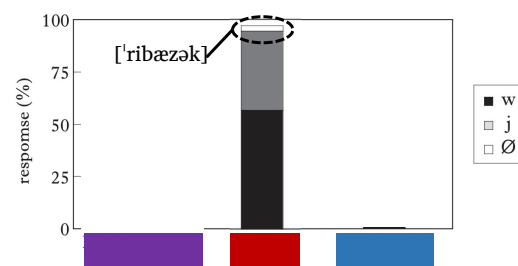
Data vs. Evidence

The Learner: Synchronic

1. Impoverished stimuli:



Consonant-Final Test Items



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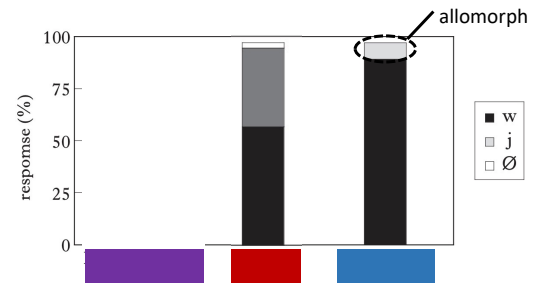
Data vs. Evidence

The Learner: Synchronic

1. Impoverished stimuli:

C-final held out	['ɪatu]	['ɪatujək]
	['skibe]	['skibewək]
Front vowel final held out	['ɪatu]	['ɪatujək]
	['daxum]	['daxumwək]

Consonant-Final Test Items



35

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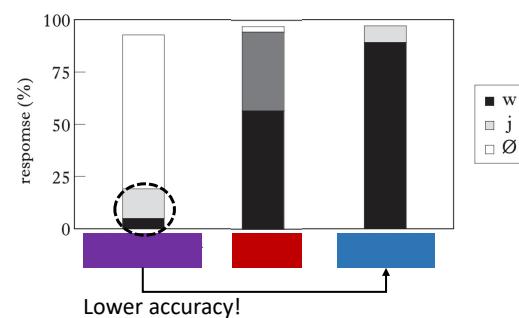
Data vs. Evidence

The Learner: Synchronic

1. Impoverished stimuli:

C-final held out	['ɪatu]	['ɪatujək]
	['skibe]	['skibewək]
Front vowel final held out	['ɪatu]	['ɪatujək]
	['daxum]	['daxumwək]
Full pattern:	['ɪatu]	['ɪatujək]
	['skibe]	['skibewək]
	['daxum]	['daxumək]

Consonant-Final Test Items



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Stage 4 : Where does Phonology come from?

Phonologization!



$\emptyset \rightarrow t/V_ (+)V$

Learners don't generalize as much as expected

Epenthesis doesn't seem to be in the hypothesis space (or deletion, for that matter)

Evidence for this is not great

And there are no formal metrics for assessing either the data or the evidence

Assumptions:

- Phonological forms are generated via algorithm (rules/constraints)
- Synchronic phonological algorithms derive from diachronic processes
- Diachronic processes transform phonetic algorithms to phonological algorithms

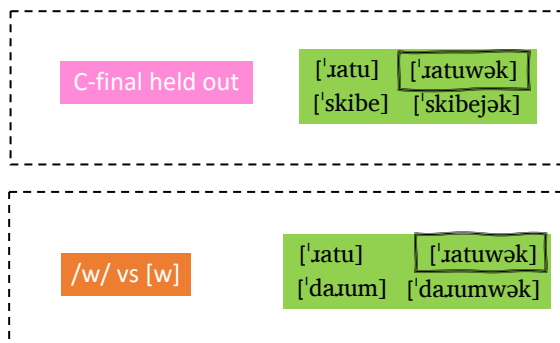
[t] does not appear out of thin air

Learner's input is messy/inconsistent

Rule inversion is harder than it seems

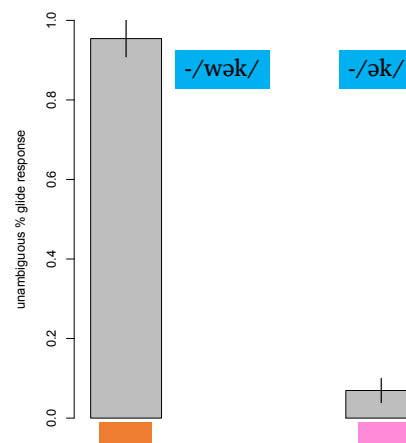
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A completely unexpected result...



Single allomorph analysis so highly preferred that it completely flips perception of ambiguous acoustic signal

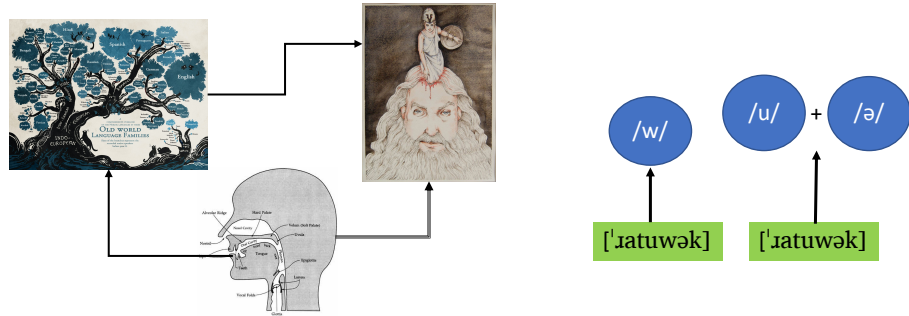
Consonant-Final Test Items



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Stage 5 : Where does Phonology come from?



Assumptions:

- phonological categories are composed of phonetic representations
- Changes in phonetic representations produce changes in phonological representations
- Speech perception is inherently abstract: segmentation and categorization
- Speech perception is inherently ambiguous
- Changes in individual parses produce changes in the make-up of phonological categories

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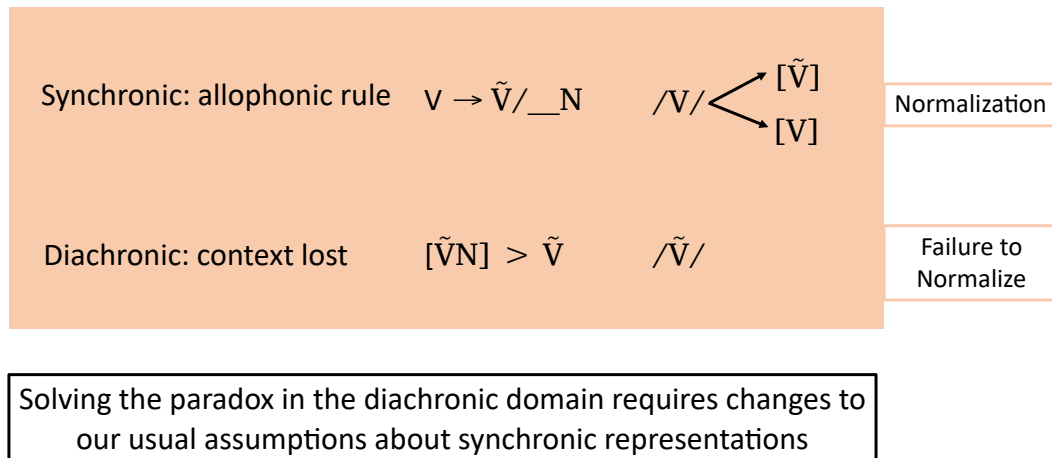
Case Study Vowel Nasalization

Morley, R.L. Sound Structure and Sound Change: A Modeling Approach. *Conceptual Foundations of Language Science Monograph Series*. Language Science Press (2019)

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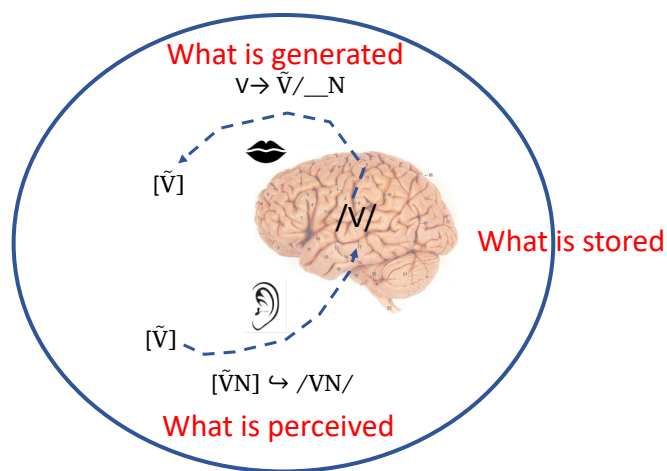
The Actuation Paradox



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The usual assumptions



- Categories have a single realization (or all realizations are identical)

- There exists a **process** that generates predictable elements at the phone level

- Only unpredictable material is **stored**

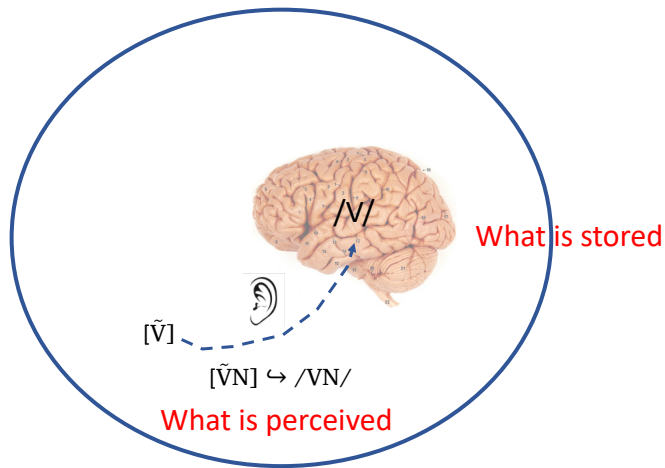
- Words are generated by concatenating phonemes

- Rules are applied to phoneme-level representations of words prior to production

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The usual assumptions



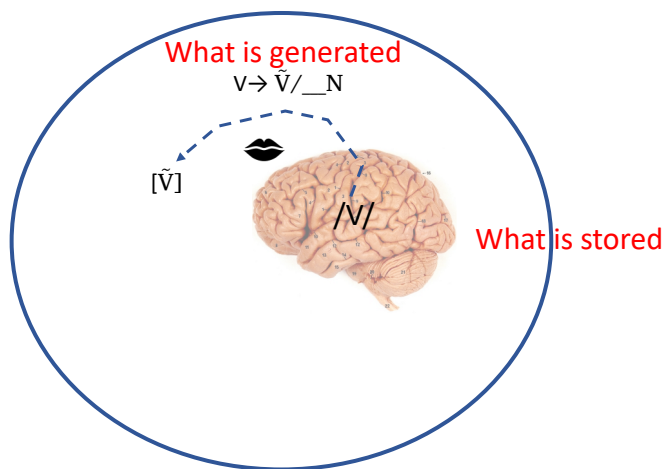
- Perception tokens are identical to production tokens

- Inputs are normalized to recover underlying representations

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The usual assumptions



- Perception tokens are identical to production tokens

- Allophonic rules affect only one of the two segments involved

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Representational Assumptions

- Categories have a single realization (or all realizations are identical)
- There exists a **process** that generates predictable elements at the phone level
- Only unpredictable material is **stored**
- Words are generated by concatenating phonemes
- Rules are applied to phoneme-level representations of words prior to production
- Perception tokens are identical to production tokens
- Inputs are normalized to recover underlying representations
- Allophonic rules affect only one of the two segments involved

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Representational Assumptions

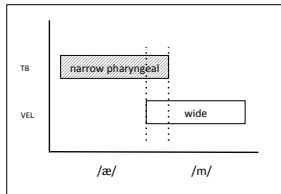
- ~~Categories have a single realization (or all realizations are identical)~~
- There exists a **process** that generates predictable elements at the phone level
- ~~Only unpredictable material is stored~~
- ~~Words are generated by concatenating phonemes~~
- Rules are applied to phoneme-level representations of words prior to production
- ~~Perception tokens are identical to production tokens~~
- Inputs are normalized to recover underlying representations
- ~~Allophonic rules affect only one of the two segments involved~~

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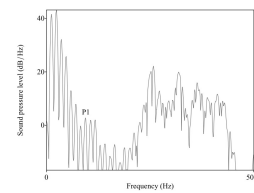
Where do allophones come from?

Production Representation



Browman & Goldstein 1988

Perception Representation



Neither input nor output actually consists of abstract, discrete units V,N

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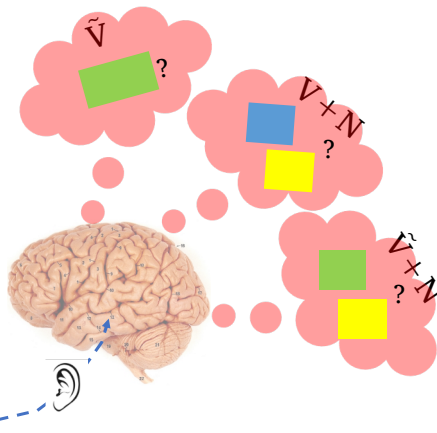
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Perception ⇔ Production

Hypotheses about articulations



[VN]



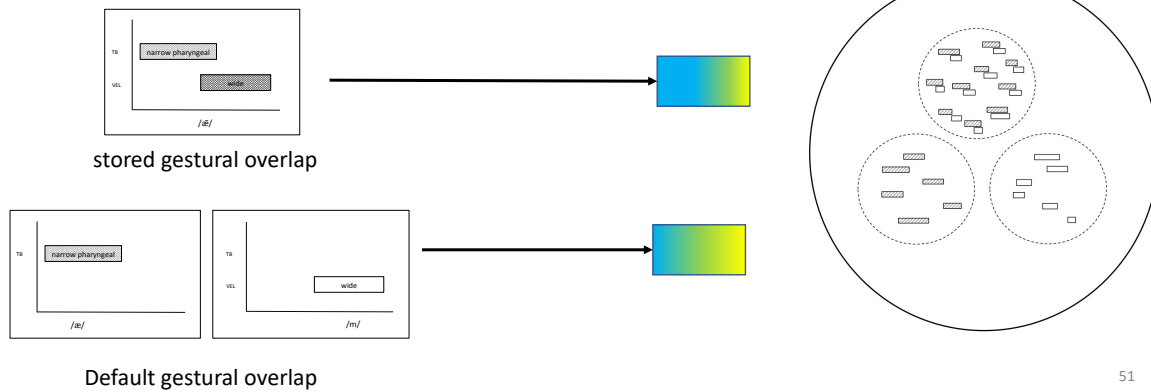
- Single-segment parse is always available
- Multiple acoustic and articulatory representations stored
- Change occurs over distribution of variants

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Synchrony ⇔ Diachrony

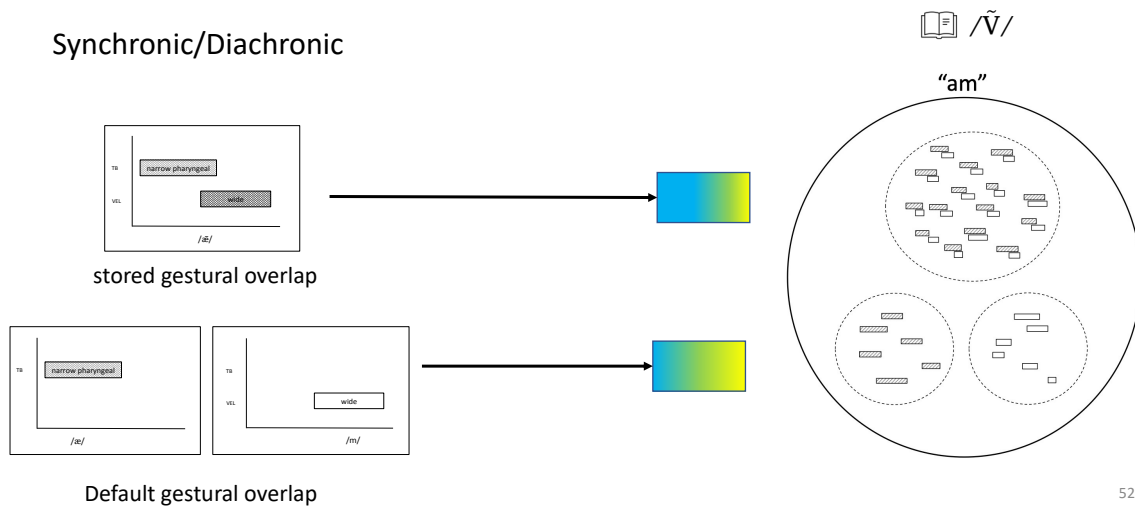
Synchronic/Diachronic



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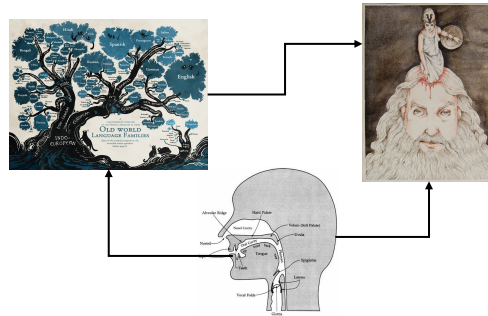
Synchrony ⇔ Diachrony

Synchronic/Diachronic



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Stage 6 : Where does Phonology come from?



Assumptions:

- Words are generated by executing articulatory plans
- Word recognition can occur prior to phoneme identification
- Acoustic word tokens are stored without normalization
- *Inferred* articulatory tokens are also stored
- Listener picks best hypothesis available regarding articulatory targets

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Thank you!

Paul Smolensky, Ariel Goldberg, Matt Goldrick, Peter Culicover, Colin Wilson, Jennifer Culbertson

Bridget Smith, Bjoern Koehnlein, Nohyong Kim

Emily Clem, MarDez Desmond, Christina Heaton, Lark Hovey, Dahee Kim, Karen Kuhn, Sara Pennington, Joseph Conley, Evan Nelson, Hannah Young, Jessica Jelinger, and Allie Baker

If any of this looks interesting, I'm always looking for good graduate students!

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