

Generalizations $\vec{a} \cdot \vec{b} = a_i b_i$, $\delta_{ij} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

special relativity $g_{\mu\nu} = g^{\mu\nu} = \begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & -1 \end{pmatrix}$ (overall sign is convention) 8

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2701 Lecture 2

"metric tensor" [$g_{\mu\nu} \neq g^{\mu\nu}$ unless flat]

list in this order

On the board: reference $\epsilon_{abc} \epsilon_{def} = \delta_{bd} \delta_{cf} - \delta_{bf} \delta_{cd}$

ϵ - δ warm-ups (answers in lecture notes)

- ② $\vec{v} \cdot (\vec{v} \times \vec{w}) = \vec{w} \cdot (\vec{v} \times \vec{v}) - \vec{v} \cdot (\vec{v} \times \vec{w})$ "product rule"
- ③ $\vec{v} \times (\vec{v} \times \vec{w}) = \vec{v} (\vec{v} \cdot \vec{w}) - \vec{w} (\vec{v} \cdot \vec{v}) + (\vec{w} \cdot \vec{v}) \vec{v} - (\vec{v} \cdot \vec{v}) \vec{w}$
- ① $\vec{v} \times (\phi \vec{v}) = ?$ (=0)

"Spot the Error" (What is wrong for each of these?)

$$(\vec{v} \times \vec{v}) \cdot (\vec{v} \times \vec{w}) = \epsilon_{abc} \frac{\partial}{\partial x_b} v_c \epsilon_{def} \frac{\partial}{\partial x_e} w_f$$

problem
"d" should be "a"

$$\vec{v} \times (\vec{v} \times \vec{v}) = \epsilon_{abc} \frac{\partial}{\partial x_b} \epsilon_{cde} \frac{\partial}{\partial x_e} v_e$$

vector on left, "a" component on right
"d" should be "a"

$$(\vec{A} \times \vec{B})_a + (\vec{C} \times \vec{D})_a = \epsilon_{abc} A_b B_c + \epsilon_{def} C_e D_f$$

"a" appears 3 times
"c" " " "

$$[\vec{A} \times (\vec{B} \times \vec{C})]_a = \epsilon_{abc} A_b \epsilon_{acd} B_c C_d$$

$$\vec{v} \times (\vec{B} \cdot \vec{C}) = 0$$

$\vec{B} \cdot \vec{C}$ is scalar

Think about programming in mathematics using pattern matching for simplification

Recap of general algorithm with $\vec{v} \times (\vec{v} \times \vec{a}) = \vec{v} (\vec{v} \cdot \vec{a}) - \delta^2 \vec{a}$ example

1. Assign component labels (from outside in) $[\vec{v} \times (\vec{v} \times \vec{a})]_i$; no! i, j appear more than twice

2. Use ϵ_{ijk} 's for cross products: $= \epsilon_{ijk} \frac{\partial}{\partial x_j} (\vec{v} \times \vec{a})_k = \epsilon_{ijk} \frac{\partial}{\partial x_j} \epsilon_{klm} \frac{\partial}{\partial x_l} a_m = \epsilon_{ijk} \frac{\partial}{\partial x_j} \epsilon_{klm} \frac{\partial}{\partial x_l} a_m$ correct!

3. Eliminate 2 ϵ 's (no cross products on right side):

$$\epsilon_{ijk} \epsilon_{klm} = \epsilon_{kij} \epsilon_{klm} = (\delta_{il} \delta_{jm} - \delta_{im} \delta_{jl})$$

→ permute so k is first index (mathematics?)

4. Use δ_{ij} 's to eliminate indices: $= (\delta_{il} \delta_{jm} - \delta_{im} \delta_{jl}) \frac{\partial}{\partial x_j} \frac{\partial}{\partial x_l} a_m = \frac{\partial}{\partial x_m} \frac{\partial}{\partial x_i} a_m - \frac{\partial}{\partial x_m} \frac{\partial}{\partial x_j} a_j$

5. Rearrange as needed and identify dot products $= \frac{\partial}{\partial x_i} \frac{\partial}{\partial x_m} a_m - \frac{\partial}{\partial x_m} \frac{\partial}{\partial x_j} a_j = \frac{\partial}{\partial x_i} (\vec{v} \cdot \vec{a}) - \nabla^2 a_i = [\vec{v} (\vec{v} \cdot \vec{a}) - \nabla^2 \vec{a}]_i$ (now drop i 's)

Aside: Suppose you had a matrix $A \rightarrow A_{ij}$
 and it happened that $a_{ij} a_{ik} = \delta_{jk}$.
 Write in matrix form. What kind of matrix is A ?

(9)

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Answers to warm-ups:

$$[\vec{\nabla} \times (\vec{\nabla} \times \vec{w})]_a = \epsilon_{abc} \frac{\partial}{\partial x_b} (\vec{\nabla} \times \vec{w})_c = \epsilon_{abc} \frac{\partial}{\partial x_b} \epsilon_{cde} V_d W_e$$

$$= \epsilon_{cab} \epsilon_{cde} \frac{\partial}{\partial x_b} V_d W_e = (\delta_{ad} \delta_{bc} - \delta_{ac} \delta_{bd}) \frac{\partial}{\partial x_b} V_d W_e$$

or $\underbrace{\quad\quad\quad}_{\text{do derivatives}}$ $= \left(\frac{\partial}{\partial x_c} V_a W_e - \frac{\partial}{\partial x_b} V_b W_a \right)$ $\frac{\partial}{\partial x_b}$ acts on both

$$= \left(\frac{\partial V_a}{\partial x_c} \right) W_e + V_a \left(\frac{\partial W_e}{\partial x_c} \right) - \left(\frac{\partial V_b}{\partial x_b} \right) W_a - V_b \left(\frac{\partial W_a}{\partial x_b} \right)$$

assume \vec{w} and $\vec{\nabla}$ commute $= (\vec{w} \cdot \vec{\nabla}) [\vec{\nabla}]_a + [\vec{\nabla}]_a (\vec{\nabla} \cdot \vec{w}) - (\vec{\nabla} \cdot \vec{\nabla}) [w]_a - [\vec{\nabla} \cdot \vec{\nabla}] w_a$

remove d's $\vec{\nabla} \times (\vec{\nabla} \times \vec{w}) = (\vec{w} \cdot \vec{\nabla}) \vec{\nabla} + \vec{\nabla} (\vec{\nabla} \cdot \vec{w}) - \vec{w} (\vec{\nabla} \cdot \vec{\nabla}) - (\vec{\nabla} \cdot \vec{\nabla}) \vec{w}$ ✓

$$\vec{\nabla} \cdot (\vec{\nabla} \times \vec{w}) = \frac{\partial}{\partial x_a} (\vec{\nabla} \times \vec{w})_a = \frac{\partial}{\partial x_a} \epsilon_{abc} V_b W_c = \epsilon_{abc} \frac{\partial}{\partial x_a} V_b W_c$$

$$= \epsilon_{abc} \left[\left(\frac{\partial V_b}{\partial x_a} \right) W_c + V_b \left(\frac{\partial W_c}{\partial x_a} \right) \right]$$

$\frac{\partial}{\partial x_a}$ acts on both

assume $\vec{\nabla}$ and \vec{w} commute $= \epsilon_{cab} W_c \frac{\partial}{\partial x_a} V_b + \epsilon_{bac} V_b \frac{\partial}{\partial x_a} W_c$

cyclic permutation $\epsilon_{bac} = -\epsilon_{abc}$

$$= W_c (\vec{\nabla} \times \vec{\nabla})_c - V_b (\vec{\nabla} \times \vec{w})_b = \vec{w} \cdot (\vec{\nabla} \times \vec{\nabla}) - \vec{\nabla} \cdot (\vec{\nabla} \times \vec{w})$$
 ✓

$$[\vec{\nabla} \times (\phi \vec{\nabla} \phi)]_a = \epsilon_{abc} \frac{\partial}{\partial x_b} \left(\phi \frac{\partial}{\partial x_c} \phi \right) = \epsilon_{abc} \left[\left(\frac{\partial \phi}{\partial x_b} \right) \left(\frac{\partial \phi}{\partial x_c} \right) + \phi \frac{\partial}{\partial x_b} \frac{\partial}{\partial x_c} \phi \right]$$

$$= 0$$

antisymmetric \times symmetric in both terms (for $b \neq c$)

That's it for ∇ 's for now. But we'll keep coming back...

motivational question: consider $f(x) = \frac{1}{1+x^2}$ and its Taylor expansion about $x=0 \Rightarrow 1-x^2+x^4-\dots$

- For what range of x does this series converge? (10)
- One way: consider $f(z)$ for complex z and find nearest singularities!

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Switching gears \Rightarrow Complex Analysis

Introductory remarks:

- Complex analysis is a big subject, generally a semester course by itself in a math department
- We'll spend about 4-5 lectures!
- So we have to focus our attention and concentrate on results, not proofs

Partial list of motivations for complex analysis (see Arfken's discussion)

- real physical quantities can become complex as physical theory is made more general
 - add absorption and real index of refraction of light becomes complex
 - allow decay and real energies become complex (optical potential)
- $k \rightarrow ik$ relates Helmholtz to diffusion equation
- $t \rightarrow \tau = it \Rightarrow$ imaginary time equations ("Euclidean")
are key to numerical solutions of field theories (and other Monte Carlo methods like Green's Function Monte Carlo for S-eqn)
- insight into and tools for solving differential equations
- integrals in complex plane have many applications

Core competencies ("what you need to know")

- (1) comfortable with manipulations of complex variables and functions
 - both Cartesian and polar form
- (2) evaluation of integrals. Requires:
 - understanding of analyticity (Cauchy-Riemann relations)
 - singularities (poles, branch points, essential singularities)
 - Laurent series expansion
 - specific applications of contour integration (residues et al)
- (3) dispersion relations (but just a preview - details next semester)

probably omitted: conformal mapping, proofs of any of this!

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Reminders of complex number representation...

notation: $z = x + iy \iff (x, y)$ must know without thinking!

↑ ↘
real

$i^2 = -1, i^3 = -i, i^4 = 1$

"Argand diagram" or "complex z plane" mathematical!

$\text{Im } z = y$ $|z| \leftarrow$ notation

usually choose $0 \leq \theta < 2\pi$
or $-\pi < \theta \leq \pi$ so θ unique

but clearly some freedom!
 $3e^{i\pi/3} = 3e^{i(\pi/3+2\pi)} = 3e^{i(\pi/3+4\pi)} = 3e^{-5\pi/3}$

polar: $z = re^{i\theta}$

Complex conjugate notation $z^* = x - iy$ $zz^* = z^*z = |z|^2 = x^2 + y^2 \geq 0$
(or \bar{z} sometimes)

Recall how simple manipulations work through examples.

lea, problem 2.2: find an expression for $\cos 3\theta$ and for $\sin 3\theta$ in terms of $\cos \theta, \sin \theta$

Connection with complex numbers $e^{i\theta} = \cos \theta + i \sin \theta$ ← know results for $\theta = \frac{\pi}{2}, \pi, \frac{3\pi}{2}, 2\pi, \dots$ (read from Argand diagram)

How do I know this? Taylor series probably best:

$$e^{i\theta} = \sum_{n=0}^{\infty} \frac{(i\theta)^n}{n!} = \sum_{\text{even}} + \sum_{\text{odd}} = 1 - \frac{\theta^2}{2!} + \frac{\theta^4}{4!} - \dots + \frac{i\theta}{1!} - \frac{i\theta^3}{3!} + \frac{i\theta^5}{5!} - \dots$$

$\left. \begin{array}{l} \dots \\ \dots \end{array} \right\} \cos \theta$
 $\left. \begin{array}{l} \dots \\ \dots \end{array} \right\} i \sin \theta$

Question: is $e^{iz} = \cos z + i \sin z$ for complex z ? (of. real θ)
(ans: yes, the Taylor expansion holds)

remember z is complex \Rightarrow here

$$\cos z = \frac{e^{iz} + e^{-iz}}{2}, \quad \cosh(z) = \frac{e^z + e^{-z}}{2}, \quad \sin z = \frac{e^{iz} - e^{-iz}}{2i}, \quad \sinh z = \frac{e^z - e^{-z}}{2}$$

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Back to our problem ...

Take $r=1, z=e^{i\theta}$

$z^3 = (e^{i\theta})^3 = e^{i3\theta}$

$(\cos \theta + i \sin \theta)^3 = \cos 3\theta + i \sin 3\theta$

expand

$= \cos^3 \theta + 3 \cos^2 \theta (i \sin \theta) + 3 \cos (i \sin \theta)^2 + (i \sin \theta)^3 = \cos 3\theta + i \sin 3\theta$

In an equation, real and imaginary parts must be separately equal.

follows from complex conjugate property

[How do we know? Put all terms on one side:]

$(\text{Real part}) + i(\text{Imaginary part}) = 0$

$(\text{Real part}) - i(\text{Imaginary part}) = 0$

\Rightarrow add and subtract: $2 \text{Real part} = 0, 2i \text{Imag part} = 0$
so each separately zero]

equal: real $\cos^3 \theta - 3 \cos \theta \sin^2 \theta = \cos 3\theta$ ✓

$3 \cos^2 \theta \sin \theta - \sin^3 \theta = \sin 3\theta$

example notebook on Mathematics page

Is this a particularly powerful trick?

• For example, can Mathematica tell us this?

• Try these on computer

$\text{Cos}[3t] \rightarrow$ nothing

$\text{Cos}[3t]$ // Simplify or // FullSimplify (do both postfix // and functional)

? Trig* to see choices

$\text{Cos}[3t]$ // TrigExpand or TrigFactor

\Rightarrow works! Lesson: Mathematica can be very helpful, but not always automatically!

• Try $\text{Cos}[5t]$ or $\text{Cos}[50t]$ you wouldn't want to do by hand!

• Try TrigToExp and ExpToTrig on $\text{Cos}[z] + i \text{Sin}[z]$ and $\text{Exp}[iz]$

• Why does $\text{cos}[3t]$ // TrigExpand do nothing? Need Cos, not cos (uppercase)

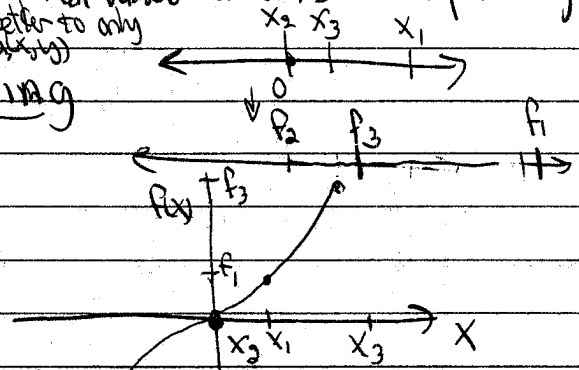
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Functions of a Complex Variable

$$z = x + iy \rightarrow w = f(z) = u(z) + i v(z)$$

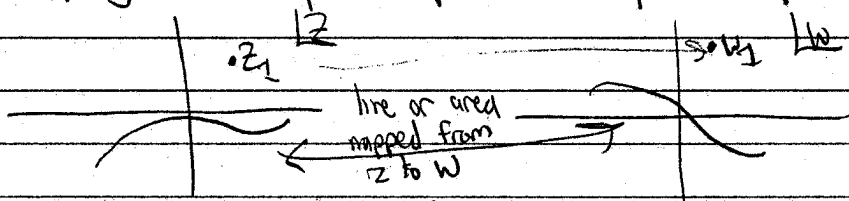
real valued functions of complex arguments
 (maybe better to only use $u(x,y)$)

ordinary function $f(x)$ is a mapping
 from $x \in \mathbb{R}$ to $f(x) \in \mathbb{R}$
 "element of" real numbers



⇒ represent as graph

Complex $z = x + iy$ to $w = u + iv$
 is mapping from complex z plane to complex w plane



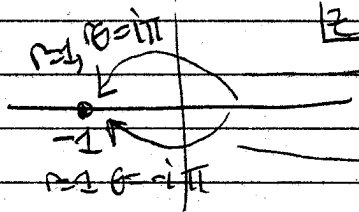
• Hard to draw an analogy to $f(x)$ vs. x (need 4-dimensional representation)
 • Instead, look how a line or a region maps, (more later)

Strange behavior can happen. Consider $w = z^{1/2}$

$$w = z^{1/2} = (re^{i\theta})^{1/2} = r^{1/2} e^{i\theta/2}$$

well defined positive square root

$\sqrt{-1}$?



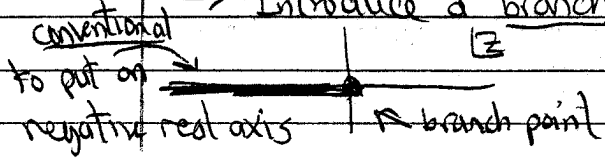
$$1^{1/2} e^{i\pi/2} = +i$$

$$1^{1/2} e^{-i\pi/2} = -i$$

different answers depending on how z is specified!

• Looks like same point in \mathbb{C} plane maps to two different values.

⇒ Introduce a branch cut to define $z^{1/2}$ as single valued.



More later! for now we've simply cut the plane so bottom and top not connected. (can't reach same point, so single-valued)

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Other functions with branch points: $(z-z_0)^{1/n}$, $\ln(z-z_0)$ (z_0 is branch point)

Two example problems (cf. P5#1)

a) Solve $f(z) = z^m = a$ with m integer and a complex

\Rightarrow find all distinct z

eg. $z^4 = 2$, key step \Rightarrow write $2 = 2e^{2\pi i n}$ where n is any integer

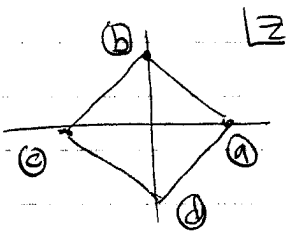
Simpler first $\Rightarrow a$ real

$z^4:$	$2e^{i0}$	$2e^{2\pi i}$	$2e^{4\pi i}$	$2e^{6\pi i}$	$2e^{8\pi i}$	$2e^{10\pi i}$
$z:$	$2^{1/4}$	$2^{1/4}e^{2\pi i/4}$	$2^{1/4}e^{4\pi i/4}$	$2^{1/4}e^{6\pi i/4}$	$2^{1/4}e^{8\pi i/4}$	$2^{1/4}e^{10\pi i/4}$
	$\times 1$	$\times e^{i\pi/2} = i$	$\times 1$	$\times -i$	$\times 1$	$\times i$
	(a)	(b)	(c)	(d)	(a)	(b)

\Rightarrow four distinct solutions

different branch cut

$-\pi < \theta \leq \pi$
or $0 \leq \theta < 2\pi$



constant angle increase until repeats \Rightarrow vertices of a square.

Generalize: $a = re^{i\theta} e^{i2\pi n} \Rightarrow z = a^{1/m} = r^{1/m} e^{i(\theta/m + 2\pi n/m)}$ $\leftarrow m$ distinct solutions

b) Find solutions in $\sin z = 6$. First question: which is most useful: z , $x+iy$, or $re^{i\theta}$?

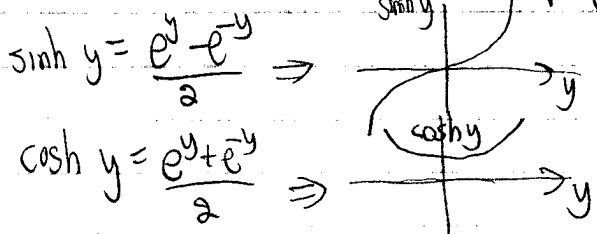
Here: use $\sin(x+iy) = (\sin x)(\cos iy) + (\cos x)(\sin iy) = 6$
 $= (\sin x)(\cosh y) + i(\cos x)(\sinh y) = u + i v$

prove using exponentials
 $e^{z+z_0} = e^{iz_1} e^{iz_2}$
 with $e^{iz} = \cos z + i \sin z$

\Rightarrow Equate real and imaginary parts: $u = (\sin x)(\cosh y) = 6$

$v = (\cos x)(\sinh y) = 0 \leftarrow$ start here, because it is zero

use plots to guide and check your solution



How do I know these plots? Mathematica or consider $|y|$ small and $|y|$ large, then join. Must do this!

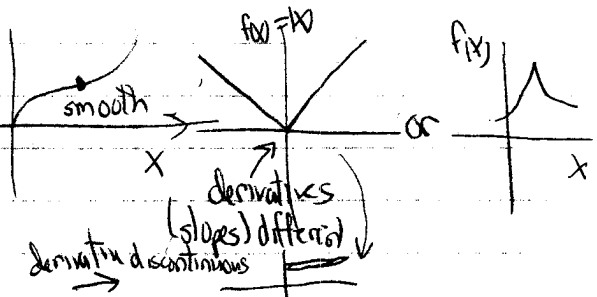
\Rightarrow Either $\sinh y = 0$ or $\cos x = 0$. If $\sinh y = 0$, then $y = 0$, $\cosh y = 1$ and $\sin x = 6 \Rightarrow$ none!
 So $\cos x = 0$, therefore $x = \pm\pi/2, \pm3\pi/2, \dots$. But $\sin x$ is then ± 1 , and only $+1$ has a solution because $\cosh y > 0 \Rightarrow x = (\frac{\pi}{2} + 2\pi n)$, $n = 0, \pm 1, \pm 2, \dots$ and $y = \cosh^{-1} 6 = \pm 2.478, \dots$
 (two y solutions from plot), [Try ArcCosh [6] in Mathematica. Need 6, or ArcCosh [6] / N to get the decimal results.]

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Let's put aside the tricky functions and think of nice ones: continuous and smooth

Smooth for ordinary functions means derivatives exist from both directions:

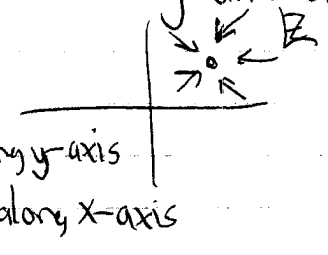
$$\left. \frac{df}{dx} \right|_{at\ x} = \lim_{dx \rightarrow 0} \frac{f(x+dx) - f(x)}{dx}$$



For complex functions, derivative defined similarly but $z+dz$ can approach z from many directions

$$\frac{df}{dz} = \lim_{dz \rightarrow 0} \frac{f(z+dz) - f(z)}{dz}$$

• requiring the same answer is a powerful constraint \Rightarrow analytic functions



Sufficient to consider just y-axis and x-axis approach

Do it:

$$\frac{df}{dz} \Big|_{x\text{-axis}} = \lim_{dz \rightarrow dx, 0} \frac{u(x+dx, y) + iv(x+dx, y) - [u(x, y) + iv(x, y)]}{dx} = \frac{du}{dx} + i \frac{dv}{dx}$$

$$\frac{df}{dz} \Big|_{y\text{-axis}} = \lim_{dz \rightarrow iy, 0} \frac{u(x, y+dy) + iv(x, y+dy) - [u(x, y) + iv(x, y)]}{idy} = \frac{1}{i} \left(\frac{du}{dy} + i \frac{dv}{dy} \right)$$

note!

equate real: $\frac{du}{dx} = \frac{dv}{dy}$ equate imag: $\frac{dv}{dx} = -\frac{du}{dy}$

Cauchy-Riemann equations \Rightarrow powerful constraint!

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If differentiable at z_0 and nearby, then analytic at $z=z_0$.
• If true for all z , then function is entire

A basic problem:

Check $f(z) = z^3$ for analyticity. Are C-R equations satisfied?

$$f(z) = z^3 = (x+iy)^3 = x^3 + 3x^2iy + 3x(iy)^2 + (iy)^3$$

$$= \underbrace{x^3 - 3x^2y}_u + i \underbrace{(3x^2y - y^3)}_v$$

Find u and v first

$$\frac{\partial u}{\partial x} = 3x^2 - 3y^2 \quad \frac{\partial v}{\partial y} = 3x^2 - 3y^2 \quad \frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \quad \checkmark$$

$$\frac{\partial u}{\partial y} = -6xy \quad \frac{\partial v}{\partial x} = 6xy \quad \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x} \quad \checkmark$$

\Rightarrow C-R satisfied and partial derivatives continuous everywhere \Rightarrow analytic. All polynomials work.
• consider e^z and related functions, \Rightarrow analytic

What is not analytic?

Another type of problem

Find analytic function $w(z) = u(x,y) + i v(x,y)$
given that $u(x,y) = x^3 - 3xy^2$ (so find $v(x,y)$)

$$\frac{\partial u}{\partial x} = 3x^2 - 3y^2 = \frac{\partial v}{\partial y} \xrightarrow{\text{integrate}} v = 3x^2y - y^3 + f(x)$$

← any function of x is integration constant (check $\frac{\partial v}{\partial y}$ explicitly)

$$-\frac{\partial u}{\partial y} = 6xy \text{ and } \frac{\partial v}{\partial x} = 6xy + \frac{df}{dx} \Rightarrow \text{equal if } \frac{df}{dx} = 0 \text{ or } f(x) = \text{const.}$$

$\Rightarrow w(z) = x^3 - 3xy^2 + i(3x^2y - y^3 + \text{const.})$ is analytic!

Very particular - can't just choose u and v !

Is $f(z) = \text{Re } z = x$ analytic?

$u=x, v=0$
 $\Rightarrow \frac{\partial u}{\partial x} = 1, \frac{\partial v}{\partial y} = 0$ not equal! So no, not analytic.

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Spot the error!
Find u
and v
Not u ≠ x
v ≠ y

Try $f(z) = \frac{1}{z}$ for satisfying C-R equations

$$\frac{1}{z} = \frac{1}{x+iy} = \frac{1}{x+iy} \frac{x-iy}{x-iy} = \frac{x-iy}{x^2+y^2} \Rightarrow u = \frac{x}{x^2+y^2}, v = \frac{-y}{x^2+y^2}$$

$$\frac{\partial u}{\partial x} = \frac{1}{x^2+y^2} + x \frac{-1}{(x^2+y^2)^2} 2x = \frac{1}{(x^2+y^2)^2} (x^2+y^2 - 2x^2) = \frac{y^2-x^2}{(x^2+y^2)^2}$$

$$\frac{\partial v}{\partial y} = \frac{-1}{x^2+y^2} + (-y) \frac{-1}{(x^2+y^2)^2} 2y = \frac{1}{(x^2+y^2)^2} (2y^2 - (x^2+y^2)) = \frac{y^2-x^2}{(x^2+y^2)^2}$$

$$\Rightarrow \boxed{\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}} \checkmark \text{ easier with Mathematica!}$$

Similarly, $\frac{\partial u}{\partial y} = \frac{-x}{(x^2+y^2)^2} 2y$ $\frac{\partial v}{\partial x} = \frac{+y}{(x^2+y^2)^2} 2x \Rightarrow \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x} \checkmark$

\Rightarrow analytic except when $x=y=0 \Rightarrow$ at $z=0$
 $f(z=0) = \infty$, called a "simple pole" (more later)
• If $\frac{1}{z-z_0}$, then pole at z_0 .

But now try $f(z) = \frac{1}{z^*} = \frac{1}{x-iy} = \frac{x+iy}{x^2+y^2}$ $u = \frac{x}{x^2+y^2}, v = \frac{y}{x^2+y^2}$

$$\frac{\partial u}{\partial x} = \frac{y^2-x^2}{(x^2+y^2)^2}, \frac{\partial v}{\partial y} = \frac{x^2-y^2}{(x^2+y^2)^2} \neq \frac{\partial u}{\partial x} \text{ so not analytic. Close doesn't count!}$$

↑
minus sign difference

Can't just assign u and v functions and get analytic w!

Next: Integrals of $f(z)$!