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Friday 8805 Class



Recap the general Hartree-Fock (HF) discussion

- Restricted (for now) to local $\hat{V} \Rightarrow V(\vec{x}, \vec{y})$ more general (non-local)

• Translationally invariant means $V(\vec{x}, \vec{y}) = V(\vec{x} - \vec{y})$

- Limited variational calculation \Rightarrow trial wave function is the best Slater determinant from your choice of A single-particle wave functions $\{\phi_i(\vec{x})\}$, $i=1, \dots, A$ when $i =$ complete set of quantum #'s

• Piazza: Why do ϕ_i and ϕ_j have to be different for $i \neq j$? like $\psi_{l, m, n}$ or $\psi_{k, m, n}$

• Generally think of $\vec{x} \equiv (\vec{r}, \sigma) \Rightarrow \int d\vec{x} \Rightarrow \sum_{\alpha} \sum_{\beta} \int d\vec{r} \rightarrow$ matrix indices

so we can have $V(\vec{x}, \vec{y}) = \frac{q^2}{4\pi |\vec{r}_x - \vec{r}_y|} (\vec{\sigma}_x \cdot \vec{\sigma}_y) (\vec{r}_x \cdot \vec{r}_y)$ matrix indices for both

Non-local: \vec{r}_x, \vec{r}_x' and \vec{r}_y, \vec{r}_y'

• So $|\Psi_{HF}\rangle = \det\{\phi_i(\vec{x}), i=1 \dots A\} \Leftarrow$ antisymmetric product wave function

• Question: What if we just used $\phi_1(\vec{x}_1) \phi_2(\vec{x}_2) \phi_3(\vec{x}_3) \dots \phi_A(\vec{x}_A)$ without antisymmetrizing? Is this a variational calculation?

(Note: we violate the principle of anti-symmetry, don't we?)

Does this make it unphysical?

• Take $\hat{H} = \sum_{i=1}^A \hat{T}(\vec{x}_i) + \frac{1}{2} \sum_{i,j=1}^A \hat{V}(\vec{x}_i, \vec{x}_j)$ in 1st quantized form

Then (see section 8 notes)

$$\langle \Psi_{HF} | \hat{H} | \Psi_{HF} \rangle = \sum_{i=1}^A \frac{1}{2m} \int d\vec{x} \nabla \phi_i^* \nabla \phi_i$$

From Ring & Schuck notation

$$H: + \frac{1}{2} \sum_{i,j=1}^A \int d\vec{x} \int d\vec{y} |\phi_i(\vec{x})|^2 V(\vec{x}, \vec{y}) |\phi_j(\vec{y})|^2$$

(no contraction of indices)

$$F: - \frac{1}{2} \int d\vec{x} \int d\vec{y} \sum_{i=1}^A \phi_i^*(\vec{x}) \phi_i(\vec{y}) V(\vec{x}, \vec{y}) \sum_{j=1}^A \phi_j^*(\vec{y}) \phi_j(\vec{x})$$

What would these look like in detail if $V(\vec{x}, \vec{y}) \Rightarrow V(\vec{r}_x, \vec{r}_y) \vec{\sigma}_x \cdot \vec{\sigma}_y$

$$H: \int d\vec{r}_x d\vec{r}_y \phi_i^*(\vec{r}_x) (\sigma_x^a) \phi_i(\vec{r}_x) V(\vec{r}_x, \vec{r}_y) \phi_j^*(\vec{r}_y) (\sigma_y^a) \phi_j(\vec{r}_y)$$

with implied sum over a, β, γ, δ

Historical: what do the terms first quantized & second quantized mean? re Ring good notes?

From Ring & Schuck notation

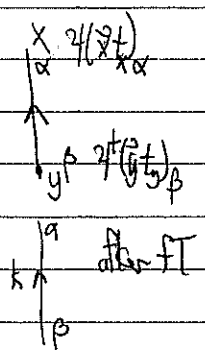
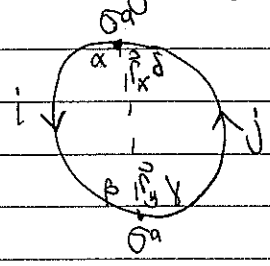
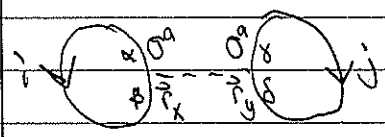
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The Fock term is (note that the "1" and "2" go away)

later: propagators or Green's functions

$$\int \int d\vec{x} d\vec{y} \phi_i^*(\vec{x}) \sigma_{\alpha}^a \phi_i(\vec{x}) V(\vec{x}, \vec{y}) \phi_j^*(\vec{y}) \sigma_{\beta}^a \phi_j(\vec{y})$$

Check the correspondence to the Feynman diagrams:



The i and j label lines

Practical: Is there an issue with anti-commuting? \Rightarrow e.g. uncertain minus signs?

The Lagrangian version would be $(\psi^\dagger \sigma^4) (\psi \sigma^4)$, which is much closer to the diagram, (in Ring and Schuck's version, the contractions are in \vec{x}, \vec{y})
 - But check minus sign overall.

$$\text{Then } \sum_{\sigma_i^a} \left(\langle \Psi_{HF} | \hat{A} | \Psi_{HF} \rangle - \sum_{j=1}^A \epsilon_j \int d\vec{y} |\phi_j(\vec{y})|^2 \right) = 0$$

$$\Rightarrow \left[-\frac{\nabla^2}{2m} + \Gamma_H(\vec{x}) \right] \phi_i(\vec{x}) + \int d\vec{y} \Gamma_F(\vec{x}, \vec{y}) \phi_i(\vec{y}) = \epsilon_i \phi_i(\vec{x})$$

$$\Gamma_H(\vec{x}) = \int d\vec{y} V(\vec{x}, \vec{y}) \sum_{j=1}^A |\phi_j(\vec{y})|^2 \quad \text{with } \vec{x} = \vec{y} \text{ and } \sigma^a = -\sigma^a$$

$$\Gamma_F(\vec{x}, \vec{y}) = -V(\vec{x}, \vec{y}) \sum_{j=1}^A \phi_j^*(\vec{y}) \phi_j(\vec{x})$$

Some physics comments:

- In $|\Psi_{HF}\rangle$, each of the A particles occupies a definite single-particle state (up to antisymmetry from identical particles)
- \Rightarrow each particle moves in a single-particle potential that comes from its average interaction with all other particles, accounting for identical particles.
- Must be solved self-consistently

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Self-consistency solution procedure:

1. Start the cycle. Suppose we have guesses for the A w/ $\phi_i(\vec{x})$
(eg. harmonic oscillator lowest energy). Alternative would be to
guess Γ_H and Γ_F .

2. Calculate $\Gamma_H(\vec{x})$ and $\Gamma_F(\vec{x}, \vec{y})$ by substitution.

3. Solve integro-diff. eq. for the lowest A $\phi_i(\vec{x})$'s and E_i 's.

4. Check how much the E_i 's have changed. If ^{change is} within a specified
tolerance, go to 5. If not, go to 2.

Questions: (for Piazza)

- Does this always converge? How can we accelerate convergence?
- Step 3 and lowest sounds potentially problematic, (open shell? charged cc.)
- What if the occupancy is not either 0 or 1 for each state i
in a particular iteration?
- What if you did this at finite temperature with a chemical potential?

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Let's try the uniform system with local $V(\vec{x}, \vec{y}) = C_0 \delta(\vec{x} - \vec{y})$

For step 1, let's guess $\phi_i(\vec{x}) = \frac{1}{\sqrt{2}} e^{i\vec{k}_i \cdot \vec{x}} \eta_\alpha$ where \vec{k}_i are the discrete

$$\Rightarrow \Gamma_H(\vec{x}) = \int d^3y C_0 \delta(\vec{x} - \vec{y}) \sum_{j=1}^A |\phi_j(\vec{y})|^2$$

$$= C_0 \frac{1}{\sqrt{2}} \cdot \frac{\sqrt{2}}{(2\pi)^3} \int d^3k \cdot \nu$$

$\nu \leftarrow \text{independent of } \vec{x}$

momentum levels and α is the spin coordinate $\eta = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$
 so if $\alpha=1, 2$ then $\eta = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$
 then $\eta_{\alpha=1} = 1, \eta_{\alpha=2} = 0$
 Take A lowest k_i^2 's.

What if $V(\vec{x}, \vec{y})$ unspecified? (but spin independent)

$$\Gamma_H(\vec{x}) = \int d^3y V(\vec{x} - \vec{y}) \sum_{j=1}^A |\phi_j(\vec{y})|^2 = \int d^3y V(\vec{x} - \vec{y}) \cdot \nu$$

$\nu \leftarrow \text{still independent of } \vec{x}$

What is $\int d^3y V(\vec{x} - \vec{y})$? Any volume integral can be thought of as a Fourier transform with $\vec{k} = 0$.

First switch to $\vec{y}' = \vec{y} - \vec{x}$ and use $V(\vec{y}') = V(\vec{y}')$

Or use $\vec{y}' = \vec{x} - \vec{y}$ and $\int d^3y = \int d^3y'$ after variable interchange

$$\Rightarrow \int d^3y V(\vec{x} - \vec{y}) = \int d^3y' V(\vec{y}') = \int d^3y' V(\vec{y}') e^{-i\vec{k} \cdot \vec{y}'} \Big|_{\vec{k}=0} = \tilde{V}(0)$$

$$\Rightarrow \Gamma_H(\vec{x}) = \int_{\text{op}} \tilde{V}(0) \int_{\text{op}} C_0 \nu \Rightarrow \text{spatial constant in S-eqn}$$

$$\Gamma_F(\vec{x}, \vec{y}) = -V(\vec{x} - \vec{y}) \int_{\text{op}} \frac{1}{2} \frac{1}{(2\pi)^3} \int d^3k e^{i\vec{k} \cdot (\vec{x} - \vec{y})}$$

$$\Rightarrow \int_{\text{op}} \Gamma_F(\vec{x}, \vec{y}) \phi_i(\vec{y}) = - \int_{\text{op}} \frac{1}{(2\pi)^3} \int d^3k \int d^3y V(\vec{x} - \vec{y}) e^{i\vec{k} \cdot (\vec{x} - \vec{y})} \frac{1}{\sqrt{2}} e^{i\vec{k} \cdot \vec{y}} C_0 \eta_\alpha$$

$$= - \int_{\text{op}} \frac{1}{(2\pi)^3} \int d^3k' \int d^3y' V(\vec{y}') e^{i\vec{k}' \cdot \vec{y}'} e^{-i\vec{k}' \cdot (\vec{x} - \vec{y}')} \frac{1}{\sqrt{2}} e^{i\vec{k}' \cdot \vec{x}} \eta_\alpha$$

$\tilde{V}(\vec{k} - \vec{k}')$ $\phi_i(\vec{x})$ again

$$\Rightarrow \text{Is a solution with } E_{\vec{k}} = \frac{\hbar^2 k^2}{2m} + \int_{\text{op}} \tilde{V}(0) - \int_{\text{op}} \tilde{V}(\vec{k} - \vec{k}')$$

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Check $\langle \Psi_{\text{HF}} | \hat{H} | \Psi_{\text{HF}} \rangle$ for uniform system with local $V(\vec{x}, \vec{y}) = C_0 \delta^3(\vec{x} - \vec{y})$

$$\Rightarrow \phi_i(\vec{x}) = \frac{1}{\sqrt{\Omega}} e^{i\vec{k}_i \cdot \vec{x}} \eta_{\alpha}$$

kinetic: $\sum_{i=1}^N \frac{1}{2m} \int d^3x \nabla \phi_i^* \cdot \nabla \phi_i$

$$\begin{aligned} \Rightarrow E^{(0)} &= \nu \sum_{\vec{k}} \frac{1}{2m} \int d^3x \frac{1}{\Omega} (-i\vec{k} \cdot i\vec{k}) e^{-i\vec{k} \cdot \vec{x}} e^{i\vec{k} \cdot \vec{x}} \eta_{\alpha}^{\dagger} \eta_{\alpha} \\ &= \frac{\nu}{\Omega} \int \frac{d^3k}{(2\pi)^3} \frac{k^2}{2m} \int d^3x \frac{1}{\Omega} \cdot 1 = \frac{3}{5} \frac{\nu k_F^2}{2m} \checkmark \end{aligned}$$

direct $\frac{1}{2} \sum_{i,j=1}^N \int d^3x \int d^3y |\phi_i(\vec{x})|^2 V(\vec{x}, \vec{y}) |\phi_j(\vec{y})|^2$

$$\rightarrow \frac{C_0}{2} \left(\nu \sum_{\vec{k}} 1 \right) \left(\nu \sum_{\vec{k}} 1 \right) \int d^3x \frac{1}{\Omega} \frac{1}{\Omega} = \frac{C_0}{2} \left(\frac{\nu}{\Omega} \sum_{\vec{k}} 1 \right)^2 = \frac{C_0}{2} \nu^2 \checkmark$$

exchange $-\frac{1}{2} \sum_{i,j=1}^N \int d^3x \int d^3y \phi_i^*(\vec{x}) \phi_i(\vec{y}) V(\vec{x}, \vec{y}) \phi_j^*(\vec{y}) \phi_j(\vec{x})$

spin contractions at nu different $\sum_{\alpha\beta} \delta_{\beta\alpha} = \nu$

$$\rightarrow -\frac{C_0}{2} \frac{1}{\nu} \left(\frac{\nu}{\Omega} \sum_{\vec{k}} 1 \right)^2 = -\frac{C_0}{2} \nu \checkmark$$

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\Rightarrow The plane wave guess is already the self-consistent result, with eq

$$\text{For } \psi(\vec{x}-\vec{y}) = C_0 \delta(\vec{x}-\vec{y}), \quad E_p = \frac{k^2}{2m} + g(1-\frac{1}{2})C_0$$

$$\text{and } \langle \hat{H} | \hat{H} | \hat{H} \rangle = \frac{3}{5} \frac{k^2}{2m} + \frac{C_0}{2} (1-\frac{1}{2})g^2$$

$$\Rightarrow \sum_k E_k ?$$

No, double counting of potential \Rightarrow subtract $\frac{1}{2} \langle V \rangle$