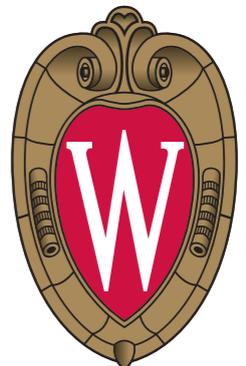


Chiral matter wavefunctions in warped compactifications

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Outline

1. Motivation and setup
2. Unmagnetized branes
3. Magnetized branes
4. Warped kinetic terms
5. Conclusions

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Motivation

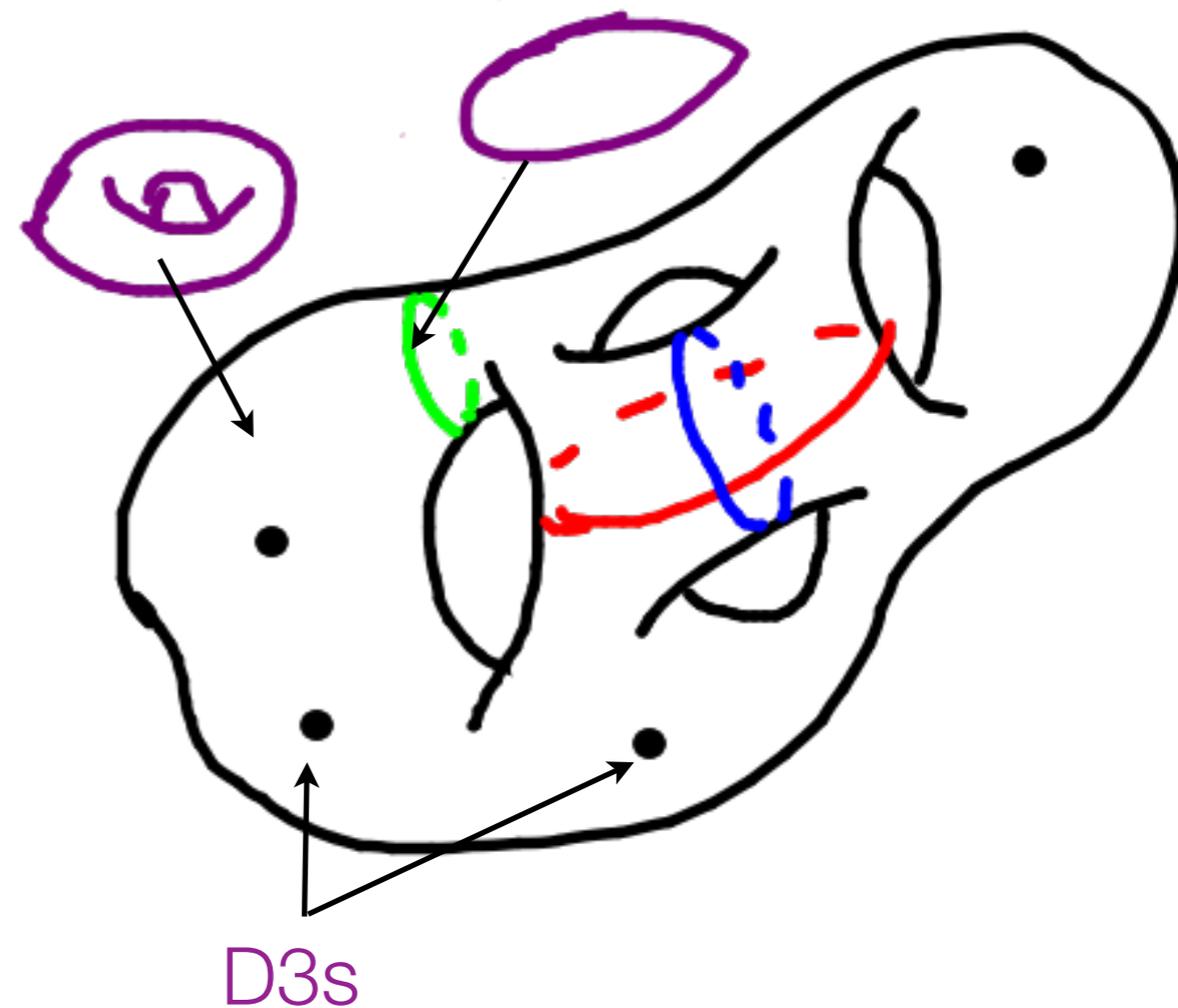
- **Warping** plays an important role in string models:
 - Generation of the electroweak hierarchy [RS; GKP]
 - Stabilization of moduli [KKLT;...]
 - Late-time acceleration [KKLT;...] and inflation [KKLMMT;...]
 - Gauge/gravity duality
- In type II theories, **realistic** models require **open strings**
- A **4D effective action** is valuable for detailed phenomenology

Motivation (cont.)

- Alternatively, consider an F-theory compactification
- In such constructions must satisfy the **D3-tadpole condition**

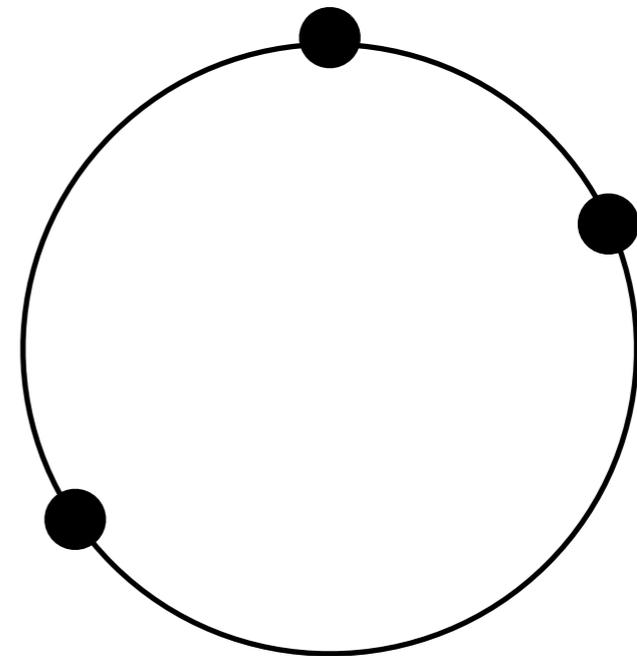
$$\frac{\chi(X)}{24} = N_{D3} + \int_{B_3} H^{(3)} \wedge F^{(3)}$$

- Additional ingredients will cause **warping** which will modify 4D EFT



Warped effective field theory

- In a **flat** background, CFT techniques can be used to determine an EFT (Kähler metrics, Yukawa couplings [Lüst et. al.; Cvetič et. al.; Ibáñez et. al;...])
- However, warping in type II usually involves **Ramond-Ramond** fluxes and it is difficult to make use of CFT techniques



Warped effective field theory

- Alternative: **Dimensional reduction** of a higher dimensional EFT

$$-\frac{1}{2g_8^2} \int_{\mathcal{W}} d^8x \sqrt{g} F^2$$

$$A_\mu(x, y) = A_\mu(x) s(y)$$

- Requires knowledge of **wavefunctions** of light degrees of freedom

$$-\frac{1}{4g_8^2} \int_{\mathbb{R}^{1,3}} d^4x F_{\mu\nu} F^{\mu\nu} \int_{S_4} d^4y \sqrt{g} s^2$$

- Here, my focus is on open string modes (see **B. Underwood's** talk for closed strings)

$$\frac{1}{g_4^2} = \frac{\mathcal{V}_{S_4}}{g_8^2}$$

Setup

- For simplicity, compactify IIB on $\mathbb{T}^6 = \mathbb{T}_1^2 \times \mathbb{T}_2^2 \times \mathbb{T}_3^2$

$$ds_{10}^2 = e^{2\alpha} dx_4^2 + e^{-2\alpha} dz^m d\bar{z}^{\bar{m}}$$

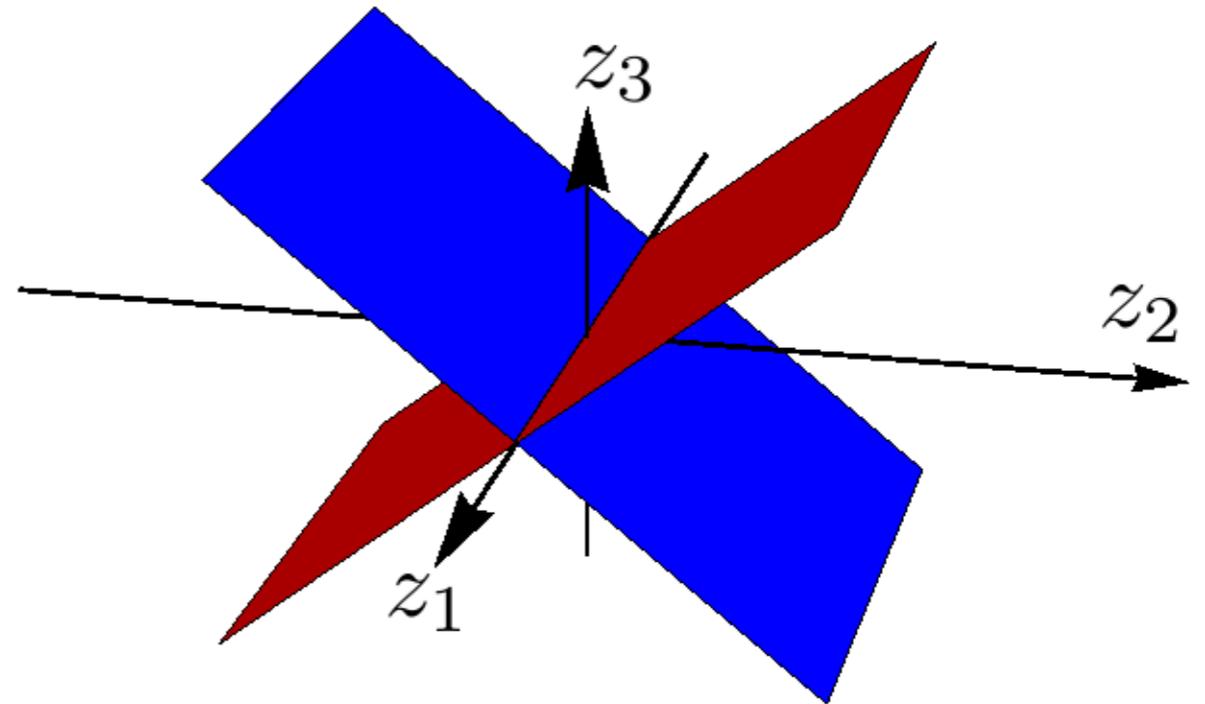
$$F^{(5)} = (1 + *_{10}) F_{\text{ext}}^{(5)} \quad F_{\text{ext}}^{(5)} = e^{4\alpha} \wedge \text{dvol}_{\mathbb{R}^{1,3}} \quad G^{(3)} = 0$$

- Add two **probe** D7 branes

$$D7_1 : z^3 = M_3 z^2$$

$$D7_2 : z^3 = -M_3 z^2$$

- Gives a $U(1) \times U(1)$ gauge symmetry



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Single D-brane

- For a **single** D7-brane, the 4D bosonic d.o.f. are
 - Gauge boson A_μ
 - Wilson lines A_α
 - Worldvolume deformations Φ
- Dynamics described by the DBI+CS action

$$S_{D7} = S_{D7}^{\text{DBI}} + S_{D7}^{\text{CS}}$$

$$S_{D7}^{\text{DBI}} = -\tau_{D7} \int_{\mathcal{W}} d^8x (\text{Im } \tau)^{-1} \sqrt{M_{\alpha\beta}}$$

$$M_{\alpha\beta} = P[g_{\alpha\beta} + B_{\alpha\beta}] + \lambda F_{\alpha\beta}$$

$$S_{D7}^{\text{CS}} = \tau_{D7} \int_{\mathcal{W}} P \left[\mathcal{C} \wedge e^{B^{(2)}} \right] \wedge e^{\lambda F^{(2)}}$$

$$\lambda = 2\pi\alpha'$$

Intersections as Higgsing

- When the branes are coincident, the symmetry is **enhanced** to $U(2)$. The transverse fluctuations are promoted to an adjoint-valued scalar Φ

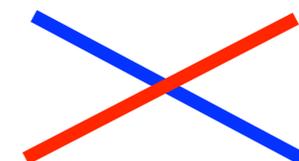
Position of D7₁ Position of D7₂

$$\Phi = \begin{pmatrix} \phi^a & \phi^- \\ \phi^+ & \phi^b \end{pmatrix}$$

7_1 - 7_2 strings (bifundamental)

- **vevs** for $\phi^{a,b}$ correspond to background D7 positions

$$\langle \phi^a \rangle = \lambda^{-1} M_3 z^2 \quad \langle \phi^b \rangle = -\lambda^{-1} M_3 z^2$$



Myers action

- Bosonic fluctuations governed by the **Myers action**

$$S_{D7} = S_{D7}^{\text{DBI}} + S_{D7}^{\text{CS}}$$

$$S_{D7}^{\text{DBI}} = -\tau_{D7} \int_{\mathcal{W}} d^8x \text{Str} \left\{ (\text{Im } \tau)^{-1} \sqrt{\det M_{\alpha\beta} \det Q_j^i} \right\}$$

symmetrization

$$S_{D7}^{\text{CS}} = \tau_{D7} \int_{\mathcal{W}} \text{Str} \left\{ \text{P} \left[e^{i\lambda \iota_{\Phi} \iota_{\Phi} \mathcal{C}} \wedge e^{B^{(2)}} \right] \wedge e^{\lambda F^{(2)}} \right\}$$

interior product

non-Abelian pullback: $\text{P}[v_{\alpha}] = v_{\alpha} + \lambda v_i D_{\alpha} \Phi^i$

where:

$$M_{\alpha\beta} = \text{P} \left[E_{\alpha\beta} + (\text{Im } \tau)^{-1/2} E_{\alpha i} (Q^{-1} - \delta)^{ij} E_{j\beta} \right] + \lambda (\text{Im } \tau)^{1/2} F_{\alpha\beta}$$

$$E_{MN} = g_{MN} + (\text{Im } \tau)^{1/2} B_{MN} \quad Q_j^i = \delta_j^i - i\lambda [\Phi^i, \Phi^k] (\text{Im } \tau)^{-1/2} E_{kj}$$

Myers action (cont.)

- Bulk fields given as a **non-Abelian Taylor expansion**

adjoint valued $\Psi[\Phi] = \sum_{n=0}^{\infty} \frac{\lambda^n}{n!} \Phi^{i_1} \dots \Phi^{i_n} \partial_{i_1} \dots \partial_{i_n} \Psi_0$

neutral $\Rightarrow \Psi_0 + \mathcal{O}(\lambda)$ need small angle

- Leading order in α' , action is **Higgsed** warped Yang-Mills
- Equations of motion are **second order** and hard to solve in general

Fermionic action

- To get first order equations, can use fermionic action
- d.o.f. encoded in two 10D M-W spinors $\Theta = \begin{pmatrix} \theta_1 \\ \theta_2 \end{pmatrix}$
- Abelian case: [\[Martucci, Rosseel, Van denBleeken, Van Proeyen;...\]](#)

$$S_{D7}^F = \frac{1}{g_8^2} \int d^8x (\text{Im } \tau)^{-1} \sqrt{\det M_{\alpha\beta}} \bar{\Theta} P_-^{D7} [(\mathcal{M}^{-1})^{\alpha\beta} \Gamma_\beta \mathcal{D}_\alpha - \mathcal{O}] \Theta$$

Involves Γ_{D7}

$$\begin{aligned} \delta_\epsilon \Psi_M &= \mathcal{D}_M \epsilon \\ \delta_\epsilon \lambda &= \mathcal{O} \epsilon \end{aligned}$$

Fermionic action (cont.)

- In a warped geometry ($G^{(3)} = 0$),

$$\mathcal{D}_M = \nabla_M + \frac{1}{4} \not{F}^{(5)} \Gamma_M \quad \mathcal{O} = 0$$

- After κ -fixing

$$S_{D7}^F = \frac{1}{g_8^2} \int_{\mathcal{W}} d^8x \bar{\theta} \left\{ e^{-\alpha} \not{\partial}_{\mathbb{R}^{1,3}} + e^{\alpha} \not{\partial}_{\mathbb{T}^4} + e^{\alpha} \frac{1}{2} \not{\partial}_{\mathbb{T}^4} \alpha (1 + 2\Gamma_{S^4}) \right\} \theta$$

4-cycle chirality

- Non-Abelian modification (leading α') [Wynants]:

$$\not{\partial} \rightarrow \not{D} \quad \delta\mathcal{L} = -i\bar{\theta} e^{-\alpha} \Gamma_i [\Phi^i, \theta]$$

and take trace

Adjoint fields

- Warping effect on adjoint zero-mode wavefunctions are **mild**

Vector multiplet: $A_\mu \sim \text{const}$ $\psi_0 \sim e^{-3\alpha/2}$

Wilson line multiplet: $A_m \sim \text{const}$ $\psi_{1,2} \sim e^{\alpha/2}$

Deformation multiplet: $\phi \sim \text{const}$ $\psi_3 \sim e^{-3\alpha/2}$

Consistent with **supersymmetry**

Equations of motion

- For the bifundamental modes, take the ansatz

$$\psi_{0,3}^{\mp} = e^{-3\alpha/2} \chi_{0,3}^{\mp}$$

↑ ↑
gaugino, modulino

$$\psi_{1,2}^{\mp} = e^{\alpha/2} \chi_{1,2}^{\mp}$$

↑
wilsonini

- Equations of motion:

$$0 = \partial_1 \chi_1^{\mp} + \partial_2 \chi_2^{\mp} + e^{-4\alpha} D_3^{\mp} \chi_3^{\mp}$$

$$0 = \partial_1 \chi_0^{\mp} + \partial_2^* \chi_3^{\mp} - D_3^{\pm*} \chi_2^{\mp}$$

$$0 = \partial_1^* \chi_3^{\mp} - \partial_2 \chi_0^{\mp} - D_3^{\pm*} \chi_1^{\mp}$$

$$0 = \partial_1^* \chi_2^{\mp} - \partial_2^* \chi_1^{\mp} + e^{-4\alpha} \hat{D}_3^{\mp} \chi_0^{\mp}$$

$$D_3^{\mp} = \mp i M_3 \bar{z}^2$$

BPS conditions

- For a single D7 brane, the equations of motion follow from F- and D-flatness conditions: [\[Jockers, Louis; Martucci\]](#)

fundamental 3-form \rightarrow

$$W = \int_{\mathcal{S}_4} P[\gamma] \wedge e^{\lambda F^{(2)}} \quad D = \int_{\mathcal{S}_4} P[\text{Im } \eta] \wedge e^{\lambda F^{(2)}} \quad \text{warped Kähler form}$$

$$d\gamma = \Omega \wedge e^{B^{(2)}} \quad \eta = e^{2\alpha} \text{Im } \tau e^{iJ} \wedge e^{B^{(2)}}$$

- Comparing to the CS-action, the non-Abelian version should be [\(see also \[Butti et. al.\]](#))

$$W = \int_{\mathcal{S}_4} \text{Str} \left\{ P \left[e^{i\lambda \iota_{\Phi} \iota_{\Phi}} \gamma \right] \wedge e^{\lambda F^{(2)}} \right\} \quad D = \int_{\mathcal{S}_4} \text{S} \left\{ P \left[e^{i\lambda \iota_{\Phi} \iota_{\Phi}} \text{Im } \eta \right] \wedge e^{\lambda F^{(2)}} \right\}$$

These yield the previous equations of motion with $\psi_0 = 0$

Unwarped zero mode

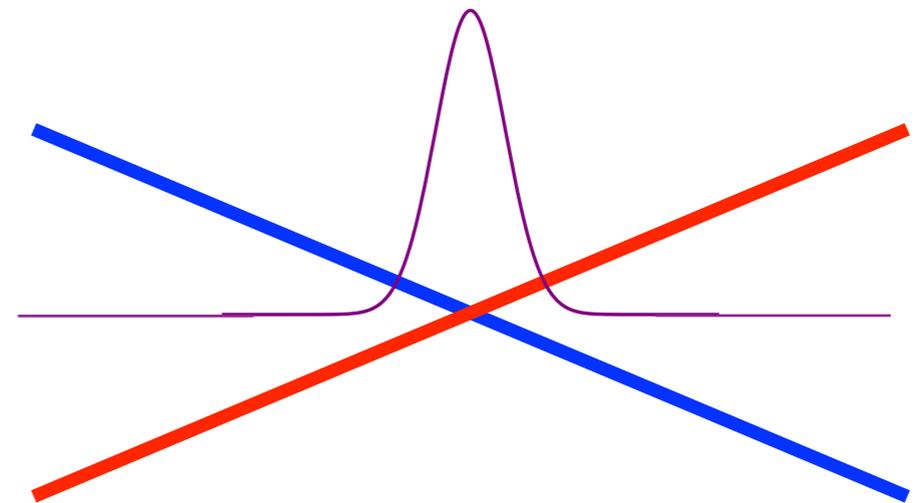
- In the absence of warping, the zero modes are **exponentially** localized on the intersection

$$\psi_{0,1}^{\mp} = 0$$

$$\psi_2^{\mp} = \sigma^{\mp}(x^{\mu}) e^{-M_3 |z^2|^2}$$

$$\psi_3^{\mp} = \pm i \sigma^{\mp}(x^{\mu}) e^{-M_3 |z^2|^2}$$

4D field



- **Mixture** of deformation modulus and Wilson line of the un-Higgsed theory

Warped zero mode

- For arbitrary warping, no **simple** analytic solution
- In the **weak warping** case, can treat the warping as a **perturbation**

$$e^{-4\alpha} = 1 + \epsilon\beta \quad \epsilon \ll 1$$

- Can then expand the warped zero mode in terms of the unwarped **massive** modes

Unwarped spectrum

- The equation of motion for the massive modes is

$$\mathbf{D}^{\mp} \mathbf{X}_{\lambda}^{\mp} = m_{\lambda} \mathbf{X}_{\lambda}^{\pm*} \quad \mathbf{D}^{\mp} = \begin{pmatrix} 0 & \partial_1 & \partial_2 & D_3^{\mp} \\ -\partial_1 & 0 & D_3^{\pm*} & -\partial_2^* \\ -\partial_2 & -D_3^{\pm*} & 0 & \partial_1^* \\ -D_3^{\mp} & \partial_2^* & -\partial_1^* & 0 \end{pmatrix} \quad \mathbf{X}_{\lambda}^{\mp} = \begin{pmatrix} \chi_0^{\mp} \\ \chi_1^{\mp} \\ \chi_2^{\mp} \\ \chi_3^{\mp} \end{pmatrix}$$

- Easiest to work in a **rotated** basis $\mathbf{X}'^{\mp} = \mathbf{J}^{-1} \mathbf{X}^{\mp}$

$$\mathbf{J} = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1/\sqrt{2} & i/\sqrt{2} \\ & & i/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} \quad \begin{aligned} \partial_1 &\rightarrow \partial_1 \\ \partial_2 &\rightarrow \hat{D}'_2^{\mp} = \frac{1}{\sqrt{2}} (\partial_2 \pm M_3 \bar{z}^2) \\ \partial_3 &\rightarrow \hat{D}'_3^{\mp} = \frac{i}{\sqrt{2}} (\partial_2 \mp M_3 \bar{z}^2) \end{aligned}$$

Unwarped spectrum (cont.)

- Boundary conditions:
 - **Periodicity** along \mathbb{T}_1^2
 - **Localized** on intersection
- - - sector modes built from **ladder** operators (giving two simple harmonic oscillator algebras) and Fourier modes



$$\varphi_{mnp}^- = h_{mn}(z^1, \bar{z}^1) [i(\hat{D}'_2^+)]^l (i\hat{D}'_3^-)^p e^{-\kappa|z^2|^2}$$

↑
Fourier mode

Unwarped spectrum (cont.)

- Unwarped spectrum:

$$m_\lambda^2 = m^2 + n^2 + M_3(l + p + 1)$$

$$\Phi_\lambda'^- = (\varphi_{mnlp}^-, 0, 0, 0)$$

$$m_\lambda^2 = m^2 + n^2 + M_3(l + p + 1)$$

$$\Phi_\lambda'^- = (0, \varphi_{mnlp}^-, 0, 0)$$

$$m_\lambda^2 = m^2 + n^2 + M_3(l + p)$$

$$\Phi_\lambda'^- = (0, 0, \varphi_{mnlp}^-, 0)$$

$$m_\lambda^2 = m^2 + n^2 + M_3(l + p + 2)$$

$$\Phi_\lambda'^- = (0, 0, 0, \varphi_{mnlp}^-)$$

Expanding the warped zero mode

- Write the **warped** zero mode as

$$\mathbf{X}^- = \mathbf{\Phi}_0^- + \sum_{\lambda} c_{\lambda} \mathbf{\Phi}_{\lambda}^-$$

unwarped modes

- To leading order

$$c_{\lambda} = \frac{1}{m_{\lambda}^2} \int_{S_4} d^4 y (\mathbf{\Phi}_{\lambda}^-)^* \cdot (\mathbf{D}_0^+)^* \beta \mathbf{K}^- \mathbf{\Phi}_0^-$$

where

$$\mathbf{D}^- = \mathbf{D}_0^- + \epsilon \beta \mathbf{K}^- + \mathcal{O}(\epsilon^2)$$

- Examples given in [\[1011.xxxx\]](#)

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Chirality

- Without magnetic flux, the spectrum is **vector-like**
- In order to have a **chiral** theory, the intersection must be **magnetized**

$$\frac{1}{2\pi} \int_{\mathbb{T}^2} F^{(2)} = M_1 \sigma_3$$

- SUSY requires [Marino, Minasian, Moore, Strominger; ...]

$$F^{(2)} = - *_{4} F^{(2)}$$

Hodge-* on \mathcal{S}_4

Unwarped zero modes

- For example, if $M_1 > 0$, only the $-$ -sector has zero modes
- Due to magnetic flux, wavefunction are quasi-periodic
[Cremades, Ibáñez, Marchesano;...]

$$\varphi_0^{j,-} = e^{-\kappa |z^2|^2} e^{2\pi i M_1 z^1 \text{Im } z^1} \vartheta \left[\begin{matrix} j/2M_1 \\ 0 \end{matrix} \right] (2M_1 z^1, i2M_1)$$

$$\kappa = \sqrt{\left(\frac{M_1}{2}\right)^2 + M_3^2}$$

$$j = 0, \dots, 2M_1 - 1$$

families orthogonal: $\int_{S_4} d^4 y (\varphi_0^{j,-})^* \varphi_0^{k,-} = \delta^{kj}$

Warped zero modes

- As in unmagnetized case, warped zero mode has no general simple analytic solution
- Again, expand in unwarped **massive** modes
- Spectrum built from **three** QSHO algebras

$$\varphi_{nlp}^{j,-} = (iD_1'^{-})^n [i(D_2'^{+})]^l (i\hat{D}_3'^{-})^p \varphi_0^{j,-}$$

$$\begin{aligned} D_1'^{\mp} &= \partial_1 \mp M_1 \bar{z}^{\bar{1}} \\ D_2'^{\mp} &\propto \partial_2 \pm \kappa \bar{z}^{\bar{2}} \\ D_3'^{\mp} &\propto i(\partial_2 \mp \kappa \bar{z}^{\bar{2}}) \end{aligned}$$

Warped zero modes (cont.)

- Expand warped zero mode in terms of unwarped massive modes

$$\mathbf{X}^{j,-} = \mathbf{\Phi}_0^{j,-} + \sum_{k,\lambda} c_\lambda^k \mathbf{\Phi}_\lambda^{k,-}$$

then

$$c_\lambda^k = \frac{1}{m_\lambda^2} \int_{\mathcal{S}_4} d^4y (\mathbf{\Phi}_\lambda^{k,-})^* \cdot (\mathbf{D}_0^+)^* \beta \mathbf{K}^- \mathbf{\Phi}_0^{j,-}$$

unwarped modes

family mixing is generic

- Examples given in [\[1011.xxxx\]](#)

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Adjoint fields

- Recall the adjoint field wavefunctions

$$\psi_0 \sim e^{-3\alpha/2} \quad \psi_{1,2} \sim e^{\alpha/2} \quad \psi_3 \sim e^{-3\alpha/2}$$

- The resulting 4D kinetic terms are

$$\mathcal{L} \ni \mathcal{K}_{i\bar{i}} \partial_\mu \zeta^i \partial^\mu \bar{\zeta}^{\bar{i}} + \frac{1}{4g_4^2} F_{\mu\nu} F^{\mu\nu}$$

$$\frac{1}{g_4^2} = \frac{1}{g_8^2} \int_{S_4} d^4 y e^{-4\alpha}$$

$$\mathcal{K}_{1\bar{1}} = \mathcal{K}_{2\bar{2}} = \frac{1}{g_8^2} \mathcal{V}_w \int_{S_4} d^4 y$$

$$\mathcal{K}_{3\bar{3}} = \frac{1}{g_8^2} \mathcal{V}_w \int_{S_4} d^4 y (\text{Im } \tau)^{-1} e^{-4\alpha}$$

$$\mathcal{V}_w = \int_{X_6} d^6 y e^{-4\alpha}$$

Chiral matter fields

- Warping modifications for chiral matter more complex

$$S = -\frac{1}{g_8^2} \int_{\mathcal{W}} d^8x \sqrt{\tilde{g}} \operatorname{tr} \left\{ \frac{1}{2} \eta^{\mu\nu} \tilde{g}^{ab} F_{\mu a} F_{\nu b} + e^{-4\alpha} \eta^{\mu\nu} \tilde{g}_{ij} \partial_\mu \Phi^i \partial_\nu \Phi^j \right\}$$

$$\mathcal{K}_{j\bar{k}}^\mp = \frac{1}{g_8^2 \mathcal{V}_{\mathcal{W}}} \int_{\mathcal{S}_4} d^4y (\operatorname{Im} \tau)^{-1} (\mathbf{X}^{k,\mp})^* \cdot e^{\# \alpha} \mathbf{X}^{j,\mp}$$

$$e^{\# \alpha} = \operatorname{diag} (e^{-4\alpha}, 1, 1, e^{-4\alpha})$$

- $\mathbf{X}^{j,\mp}$ is the **warped** zero mode, not simply related to unwarped zero mode

Chiral matter fields (cont.)

- In the **weak warping** limit, the first order correction is

$$\delta\mathcal{K}_{j\bar{k}}^{\mp} = \frac{1}{\mathcal{V}} \int_{\mathcal{S}_4} d^4y (\text{Im } \tau)^{-1} (\chi_3^{k,\mp})^* \beta \chi_3^{j,\mp} - \frac{\delta\mathcal{V}}{\mathcal{V}} (\mathcal{K}_{j\bar{k}}^{\mp})_0$$

unwarped



- Generic warp factors will introduce **off-diagonal** terms in the Kähler metric
- Second order corrections make use of warped wavefunctions (work in progress...)

Summary and future directions

- Studied the wavefunctions for **bifundamental matter** in warped compactifications in both the chiral and non-chiral cases
- Needed to develop warped effective field theory and detailed phenomenology (though still work to be done!)
- Warping effects are more intricate than for adjoint matter; require a **series expansion** in unwarped massive modes
- General warp factors induce **off-diagonal** terms in the Kähler metric
- Extension to Calabi-Yau case is likely tricky...
- With a non-SUSY source (such as $\overline{D3}$ -branes), wavefunctions can be used to study **soft** terms (work in progress)